

1 **BIO-OIL AND BIO-CRUDE GASIFICATION FOR**
2 **SYNGAS PRODUCTION: ENERGY, EXERGY**
3 **AND ENVIRONMENTAL ANALYSES**

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40 **ABSTRACT**

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This study investigates the thermodynamic and environmental performance of indirect biomass gasification pathways based on fast pyrolysis products. A simulation framework was developed in Aspen Plus® integrating the Ranzi kinetic mechanism to model the conversion of bio-oil and bio-crude, considering both air and steam as gasifying agents. The environmental performance was evaluated using the Waste Reduction Algorithm.

The results reveal a clear trade-off between energy efficiency and exergy performance depending on the gasification medium. Air-based gasification achieves higher energy efficiencies, while steam-based configurations enhance exergy performance due to increased hydrogen production in the syngas. Among the evaluated feedstocks, oil palm empty fruit bunches (EFB) show superior thermodynamic performance compared to rice husk (RH), which is mainly limited by its higher ash content.

For EFB, the highest energy efficiency reaches 54.5% under air gasification, while steam operation improves exergy efficiency up to 70.1%. In contrast, RH presents lower efficiency values, ranging from approximately 43% in energy efficiency and up to 64% in exergy efficiency depending on the operating conditions. Similar trends are observed for bio-crude gasification, although with slightly lower energy efficiencies due to increased thermal requirements.

From an environmental perspective, higher potential environmental impact (PEI) values are associated with rice husk, particularly under steam conditions, due to additional emissions linked to ash-related processes. Despite this, the overall environmental indicators remain within acceptable ranges compared to similar studies.

These findings demonstrate that indirect gasification routes based on bio-oil and bio-crude constitute a promising alternative to conventional biomass gasification, offering improved exergy performance and competitive environmental outcomes. The results provide relevant insights for the design and optimization of sustainable biorefinery systems.

69 **1. INTRODUCTION**
70

71 To fight climate change, we need to curb our consumption of fossil fuels. For the
72 chemical and plastic industries, it is mandatory to find alternative carbon sources to shift
73 towards more sustainable, climate-friendly production and consumption. Renewable
74 carbon comprises all carbon sources that avoid or substitute for the use of additional
75 fossil carbon from the geosphere [1], [2]. The focus here is on residual lignocellulosic
76 biomass (RLB), a ubiquitous source of biogenic carbon. Biogenic carbon refers to carbon
77 that is part of the natural carbon cycle, or short-cycle carbon, according to the IPCC,
78 originating from contemporary biological processes such as photosynthesis [2]. In this
79 case, RLB is first transformed via fast pyrolysis into bio-oil, biochar, and non-condensable
80 gases [3]. Pyrolysis oil or a mixture of pyrolysis oil and pulverized biochar (bio-crude) can
81 then be gasified into syngas, the starting point to produce high-value chemicals and fuels
82 [4] [5]. Here, we use energy, exergy, and environmental analyses to compare these
83 alternatives.

84 Biomass gasification has been widely identified as a viable route for generating
85 gases with low to medium heating values [6], [7]. In recent years, research efforts have
86 focused on improving the design and performance of gasification systems from a process
87 engineering perspective. For example, Ishaq et al. [8] proposed an integrated
88 configuration that combines biomass gasification with thermoelectric-based
89 multigeneration systems, aiming to enhance process sustainability. Similarly, Zhang et al.
90 [9] developed an autothermal gasification approach based on CaO looping to improve
91 overall energy efficiency. In another study, Wu et al. [10] introduced a hybrid gasification

92 system using a steam–air mixture coupled with solar energy, integrating cooling, heating,
93 and power production. Their findings indicate that such combined systems can effectively
94 utilize both solar resources and biomass availability within specific regions

95 An alternative to the direct biomass gasification route is the indirect method. The
96 indirect method uses fast pyrolysis to produce additional biomass-derived products, such
97 as bio-oil, biochar, and non-condensable gases [11]. Li et al. [12] demonstrated that a two-
98 stage bio-oil gasification process is more economical than conventional biomass
99 gasification. Their study evaluated the economic feasibility of an integrated production
100 pathway combining fast pyrolysis and bio-oil gasification and compared it with the
101 biomass gasification assessment conducted by Swanson et al. [13]. Similarly, Zheng et al.
102 [14] studied, *via* experiments, the effect of air and low-temperature steam as the
103 gasification agents on the bio-oil gasification performance. The authors observed that the
104 H₂ concentration using air and steam was higher than that for bio-oil air gasification. In
105 addition, bio-oil can also be blended with other energy sources to exploit its energy
106 properties. For instance, Feng et al. [15] assessed the behavior of three bio-oil samples
107 blended with coal/char. The authors investigated the combustion of these mixtures and
108 observed synergy between bio-oil and coal/char, demonstrating bio-oil's versatility as a
109 viable energy resource.

110 Evaluating both direct and indirect biomass gasification pathways provides
111 valuable insight into their respective benefits and limitations. In this context, the present
112 work employs process simulation tools to analyze different gasification scenarios
113 involving biomass, bio-oil, and bio-crude, considering air and steam as gasifying agents.

114 Moreover, a comprehensive assessment is performed to compare the technical
115 performance and environmental implications of these routes for syngas production from
116 oil palm residues and rice husk (RH), applying the Waste Reduction Algorithm (WAR) [16].
117 Several methodologies have been reported in the literature to quantify environmental
118 impacts, among which the Tool for the Reduction and Assessment of Chemical and Other
119 Environmental Impacts (TRACI) [17], the Waste Reduction Algorithm (WAR) [18], and Life
120 Cycle Assessment (LCA) [19] are the most widely used.

121 In this work, the fast pyrolysis process is modeled by coupling Aspen Plus® with an
122 external computational routine developed by the authors, which is based on the Ranzi
123 kinetic mechanism. This framework provides a detailed representation of biomass
124 thermal decomposition and oxidation, incorporating 53 chemical species and 29 reactions
125 across solid, liquid, and gaseous phases [20].

126 The Ranzi mechanism is employed to predict the formation and composition of
127 bio-oil, biochar, and non-condensable gases, which are subsequently used as input
128 streams in the Aspen Plus® simulation environment. Since certain compounds defined in
129 the kinetic scheme are not available in the Aspen Plus® database, their thermophysical
130 properties are estimated using the correlations reported by Gorensek et al. [21], ensuring
131 consistency in the simulation of the biomass conversion process.

132 Bio-oil, with a higher density and energy content than solid biomass, offers
133 improved transport and storage capabilities. This enables a flexible biomass supply for
134 centralized syngas production, decoupling biomass supply and syngas demand [22].
135 However, if only bio-oil is used for gasification, about one-third of the biogenic carbon in

136 the original biomass would remain inaccessible for syngas production. Therefore,
137 pulverized biochar can be mixed with biooil to form a dense slurry or bio-crude [23]. Bio-
138 crude is a promising carbon carrier among other alternatives, such as pure bio-oil,
139 charcoal, and (torrefied) pellets [2]. With a density of about 1200 kg/m^3 and an HHV of
140 18 to 25 GJ/m^3 , bio-crude has one-half to two-thirds the volumetric energy density of
141 heating oil ($\text{HHV } 36 \text{ GJ/m}^3$) [4]. Among the processing advantages of bio-oil and bio-crude
142 gasification are almost complete carbon conversion, high gasification pressure, and
143 almost tar-free syngas [4].

144 A review by [23] summarizes bio-crude preparation technology and compares it
145 with conventional coal slurry technology, highlighting the simplicity of the bio-crude
146 preparation process. This work also discusses the effects of biochar particle size, loading
147 level, and adsorption capacity, as well as the addition of other chemicals such as methanol
148 and glycerol. As highlighted by Henrich et al. [24], bio-crude can be pumped into a slagging
149 entrained-flow gasifier, atomized, and converted to syngas at high pressure and
150 temperature.

151 Pressurized bed gasifiers produce an almost tar-free syngas by providing high
152 gasification temperatures and pressures. This gasifier outlet condition simplifies
153 downstream gas purification processes and eliminates the need for intermediate
154 compression before synthesis. Syngas could be supplemented with low-emissions
155 hydrogen and then used to produce chemical products such as synthetic natural gas and
156 methanol[25] [26]. Steam gasification is quite promising in this context for reducing the

157 required external hydrogen, if carbon conversion (mainly to CO, but also to CO₂ and CH₄)
158 is almost complete.

159 Recent studies have further expanded the understanding of biomass-derived
160 feedstocks and their thermochemical conversion into syngas, highlighting both
161 technological advancements and integration opportunities [27]. These contributions
162 emphasize the optimization of gasification systems and improvements in process
163 efficiency [28], as well as the exploration of hybrid and multi-stage conversion routes
164 involving intermediate products such as bio-oil and biochar [29]. In particular, prior works
165 have addressed modeling and simulation approaches, experimental analyses of
166 gasification performance, and the evaluation of process variables affecting syngas
167 composition and yield [30]. Additionally, increasing attention has been given to integrated
168 systems that enhance carbon utilization and energy efficiency, as well as to the coupling
169 of pyrolysis and gasification stages to improve the overall sustainability of biomass
170 valorization pathways [31]. Collectively, these studies demonstrate the growing interest
171 in developing flexible and efficient thermochemical routes for renewable syngas
172 production and underline the need for comprehensive assessments that combine
173 technical, thermodynamic, and environmental criteria.

174 In addition to the thermodynamic evaluation, this study provides a detailed
175 characterization of exergy flows, irreversibility sources, unit performance, and
176 environmental impacts, which are classified into toxicological and atmospheric
177 categories. This integrated perspective enables a more comprehensive understanding of

178 process behavior and supports the identification of potential improvement strategies
179 from both thermodynamic and environmental viewpoints.

180 A key contribution of this work is the application of the Waste Reduction
181 Algorithm to assess the environmental performance of syngas production from rice husk
182 and oil palm empty fruit bunches. Furthermore, the simultaneous use of process
183 simulation with both environmental and exergy analyses offer a robust framework for
184 evaluating and comparing alternative designs based on sustainable biomass resources.

185 The structure of the paper is as follows. Section 2 outlines the gasification routes
186 analyzed and describes the methodological approach for the exergy and environmental
187 assessments. Section 3 discusses the main findings and their implications, while Section 4
188 summarizes the conclusions and highlights directions for future research.

189 **2. MATERIALS AND METHODS**

190

191 **2.1 Selected feedstock**

192 The biomass feedstocks considered in this study are rice husk (RH) and oil palm
193 empty fruit bunches (EFB). Their physicochemical characteristics, including ultimate,
194 proximate, and sulfur analyses, as well as their energy content, are summarized in Table
195 1. Within Aspen Plus®, these materials are treated as non-conventional components,
196 requiring the definition of specific analyses ULTANAL, PROXANAL, and SULFANAL to
197 properly estimate their thermodynamic properties for simulation purposes.

198 For the simulation setup, Aspen Plus® requires that both ultimate and proximate
199 analyses be provided on a dry basis. Additionally, the moisture content of the biomass is

200 incorporated as an input parameter to account for its effect on the drying stage within
201 the fast pyrolysis process.

202 **2.2 Fast pyrolysis process, bio-oil and bio-crude gasification simulations**

203 The process simulation is structured into several sequential stages, including
204 feedstock preparation, drying, pyrolysis, phase separation, combustion of by-products,
205 and final gasification of bio-oil or bio-crude. The composition of bio-oil used as input data
206 in Aspen Plus® is derived from the Ranzi kinetic model. A schematic representation of the
207 overall indirect gasification pathway is shown in Figure 1.

208 Initially, the biomass undergoes a size reduction step to meet the particle size
209 requirements for fast pyrolysis, typically below 3 mm [32]. This operation is modeled
210 through a crushing and screening system. The subsequent drying stage is implemented
211 using an RStoic reactor, coupled with a calculator block that adjusts moisture removal
212 based on the initial water content of the feedstock.

213 The thermal decomposition of biomass takes place in the pyrolysis section under
214 oxygen-free conditions, producing bio-oil, biochar, and non-condensable gases [32]. This
215 stage is represented by two Ryield reactors. The first reactor converts the non-
216 conventional biomass into conventional components such as C, H₂, N₂, O₂, Cl, S, ash, and
217 H₂O, enabling their treatment within Aspen Plus®. The second reactor incorporates the
218 product distribution predicted by the Ranzi model through an external computational
219 routine, defining the yields of bio-oil, biochar, and gaseous species [20].

220 Following pyrolysis, a quenching system separates the condensable and non-
221 condensable fractions, identified as bio-oil–water mixtures and NCG streams,

222 respectively. This section can be conceptually associated with equipment such as flash
223 separators or knockout drums and includes two aqueous streams corresponding to
224 different cooling conditions [33].

225 Part of the biochar produced is directed to a combustion stage to supply the heat
226 required by the pyrolysis process. In this section, the biochar is decomposed into
227 elemental species using a Ryield reactor, and the resulting mixture is combusted in an
228 RGibbs reactor operating with air. A design specification block determines the air flow
229 rate needed to satisfy the thermal demand. Depending on the feedstock characteristics,
230 a fraction of the biochar may remain unconverted and can be considered for alternative
231 applications such as soil amendment or energy storage [34], [35] [36].

232 The heat generated from biochar and non-condensable gas combustion is
233 integrated into a heat balance block, which ensures that the energy requirements of the
234 drying and pyrolysis stages are met under adiabatic conditions. The pyrolysis temperature
235 is set at 480 °C. The combustion of NCG is also modeled using an RGibbs reactor with
236 controlled air input to achieve complete conversion.

237 Finally, the gasification stage converts bio-oil or bio-crude into syngas using either
238 air or steam as the gasifying medium. This step is simulated using an RGibbs reactor,
239 where the product gas mixture consists mainly of CO, CO₂, H₂, CH₄, N₂, H₂O, and O₂. For
240 air gasification, the process is evaluated by varying the equivalence ratio (Φ) between 0.2
241 and 0.9, while in steam gasification scenarios, the analysis is based on different steam-to-
242 bio-oil ratios.

243

244 **2.3 Energy and exergy analysis**

245

246 Aspen Plus® was employed to determine the energy requirements associated with the
247 fast pyrolysis process and the subsequent gasification of bio-oil or bio-crude. In addition,
248 the physical exergy values of the process streams were directly obtained from the
249 simulation results. However, the estimation of chemical exergy required the
250 implementation of complementary calculation approaches due to its dependence on
251 specific thermodynamic properties.

252 Equations 1 and 2 show the energy and exergy balances. In these equations, the
253 subscripts *in* and *out* representing inlet and outlet, respectively. Equations 3 show \dot{X} total
254 exergy. The exergy includes physical (\dot{X}_{ph}) and chemical (\dot{X}_{ch}) components, estimated via
255 Equations 4 and 5, respectively.

256

$$\sum \dot{E}_{in} = \sum \dot{E}_{out} \quad (1)$$

$$\sum \dot{X}_{in} = \sum \dot{X}_{out} + \sum \dot{X}_{dest} \quad (2)$$

$$\dot{X} = \dot{X}_{ph} + \dot{X}_{ch} \quad (3)$$

$$\dot{X}_{ph} = \dot{m}[h - h_0 - T_0(s - s_0)] \quad (4)$$

$$\dot{X}_{ch} = \dot{n} \sum x_i(x_i^{ch} + RT_0 \ln x_i) \quad (5)$$

257

258 The terms h and s represent specific enthalpy and entropy, respectively, while h_0 ,
259 T_0 , and s_0 denote enthalpy, temperature, and entropy in the dead state (298.15 K and
260 101.325 kPa). Similarly, x_i indicates the molar fraction of component i , \dot{n} is the molar flow
261 rate, R corresponds to the universal gas constant, and x_i^{ch} is the standard chemical exergy

262 of component i . The x_i^{ch} estimation of the compounds evaluated in this study followed
263 a similar procedure to that reported by Peters et al. [37]. For known components, the
264 standard chemical exergy was obtained from references [38][39]. For solids streams such
265 as biomass and biochar, the x_i^{ch} calculation used Equation 6 [40]. In this equation, the
266 subscript sol could indicate biomass or biochar as corresponding. Here, HHV is in kJ/kg.

$$x_{i\ sol}^{ch} = 1.047 \cdot HHV \quad (6)$$

267

268 On the other hand, for compounds with known Gibbs energy of formation (\bar{g}),
269 their x_i^{ch} calculation used the change of the Gibbs energy defined in Equation 7.

$$x_i^{ch} = -\Delta G + \sum_{prod} x_i (x_{i\ prod}^{ch}) - \sum_{react} x_i (x_{i\ react}^{ch}) \quad (7)$$

270 Here, ΔG is the change in Gibbs energy and subscripts $prod$ and $react$, mean
271 products and reactive, respectively. Finally, in streams where biochar leaves the process
272 at temperatures higher than 25°C, the physical exergy was estimated based on Equation
273 8 [37].

$$\dot{X}_{ph\ biochar} = \dot{m} \cdot Cp_{biochar} \cdot \left[(T - T_0) - T_0 \cdot \ln \left(\frac{T}{T_0} \right) \right] \quad (8)$$

274 In Equation 8, $\dot{X}_{ph\ biochar}$ denotes the physical exergy of biochar (kW), with the
275 $Cp_{biochar}$ representing the specific heat capacity of the biochar using the correlation
276 derived from data reported by Gunnar [41] for temperatures between 273 K and 1273 K.
277 Equation 9 is a correlation for the estimation of $Cp_{biochar}$.

278 Equation 10 was used to calculate energy efficiency in this study. Equation 11
279 shows the variables to calculate the exergy efficiency (η_{Ex}). Exergy efficiency represents
280 the exergy gained ($\dot{E}x_{gained}$) by streams of syngas and biochar.

$$\eta_t = \frac{\dot{E}_{reached}}{\dot{E}_{in}} \quad 9$$

$$\eta_{Ex} = \frac{\dot{E}x_{gained}}{\dot{E}x_{in}} \quad 10$$

281

282 2.4 Environmental Assessment

283

284 The environmental assessment of the evaluated gasification routes was carried
285 out using the Waste Reduction Algorithm (WAR). This approach allows the estimation of
286 environmental impacts in chemical processes based on key parameters such as mass flow
287 rates, stream compositions, and energy requirements [42]. Within this framework, the
288 concept of potential environmental impact (PEI) is introduced as an indicator to quantify
289 the possible effects of emissions or accidental releases on surrounding ecosystems [43].

290 Two main perspectives are considered when evaluating PEI: the impact associated
291 with process outputs and the impact generated within the system. The total PEI leaving
292 the system provides a basis for comparing different process alternatives in terms of their
293 environmental burden on external surroundings and is also useful for assessing the
294 suitability of a given location for plant installation [44]. In contrast, the PEI generation rate
295 reflects the net impact produced by the process itself, allowing the identification of
296 whether the transformation pathways result in products that are harmful than the
297 original feedstock [42], [45].

298

299 PEI out is defined by Equation 12. Here $i_{out}^{(cp)}$, $i_{out}^{(ep)}$, $i_{we}^{(ep)}$, and $i_{we}^{(cp)}$ are the rate of
300 PEI leaving the system due to chemical interactions, the rate of PEI out of the system due
301 to energy generation processes, and the rate of PEI out of the system as a result of the
302 release of waste energy due to energy generation and chemical processes, respectively
303 [18][46][47].

$$i_{out}^{(t)} = i_{out}^{(cp)} + i_{out}^{(ep)} + i_{we}^{(cp)} + i_{we}^{(ep)} \quad 11$$

304

305 PEI generated is defined by Equation 13, where $i_{in}^{(cp)}$ and $i_{in}^{(ep)}$ are the input rate
306 of PEI to the chemical process and the energy generation process, respectively.

$$i_{gen}^{(t)} = i_{out}^{(ep)} - i_{in}^{(cp)} + i_{out}^{(cp)} - i_{in}^{(ep)} + i_{we}^{(cp)} + i_{we}^{(ep)} \quad 12$$

307

308 The analysis considers eight distinct environmental impact categories, which are
309 grouped into two main classifications: toxicological and atmospheric effects. The
310 toxicological group includes indicators such as human toxicity by ingestion (HTPI), human
311 toxicity through dermal exposure (HTPE), aquatic toxicity potential (ATP), and terrestrial
312 toxicity potential (TTP).

313 The atmospheric category comprises indicators related to air emissions and global
314 environmental effects, including Global Warming Potential (GWP), Ozone Depletion
315 Potential (ODP), Photochemical Oxidation Potential (PCOP), and Acidification Potential
316 (AP).

317

318 **3. RESULTS AND DISCUSSION**

319
320 The process simulation was carried out in Aspen Plus® by defining the operating
321 conditions described in Section 2 together with the chemical composition of the selected
322 feedstocks, allowing the determination of the corresponding mass and energy balances.
323 The composition of the products generated during fast pyrolysis, including non-
324 condensable gases, bio-oil, biochar, and water fractions, was obtained from the model
325 previously reported by Buelvas et al. [22].

326 327 **3.1 Energy and exergy analysis**

328
329 This section summarizes the key findings from the energy and exergy
330 evaluations, which are represented through Sankey and Grassmann diagrams under a
331 selected equivalence ratio.

332 333 **3.1.1 Bio-oil gasification**

334
335 For air gasification, the CO and H₂ values obtained in this study (15.69% and 2.2%
336 for rice husk, respectively) are similar to the CO reported by Zheng et al. [48] (15.4%) but
337 show a notable decrease in the H₂ content (30.69% in Zheng et al.). This may be due to
338 the lower operating temperature (628.7 °C vs. 1000 °C), which reduces the reforming
339 reaction rate and favors the formation of CO₂ (28.8% vs. 8%). On the other hand, in steam
340 gasification, the simulated results show a significant increase in the H₂ fraction (43.3% for
341 rice husk), in line with that reported by Guo et al. [49] (62.1%), although with differences
342 attributable to the lower steam/bio-oil ratio (0.25 vs. 0.5) and the use of different raw
343 materials. These results underscore the process's sensitivity to temperature, the oxidizing

344 agent, and the type of biomass used, and the role of its work in optimizing operating
345 conditions to maximize fuel gas production.

346 Fig 3a and 3b show the Sankey diagram for the fast pyrolysis simulation of EFB
347 biomass, with bio-oil gasification using air and steam as gasification agents. The bio-oil
348 gasification with air used an equivalence ratio (Φ) of 0.25. Steam-based gasification used
349 a steam-to-bio-oil ratio (S/B) of 0.25. Fig 3c and 3d show the Grassmann diagrams for the
350 same cases described in Fig 3a and 3b. Exergy assessment does not consider exergy
351 associated with macroscopic kinetic and potential energy changes.

352 For Fig 3a, the energy efficiency is 54.5%, while for Fig 3b, it is 49.8%. Exergy
353 efficiency reached 64.1 and 70.1% for the cases described in Figs 3c and 3d, respectively.
354 Although the stream Flue gas NCG contains an exploitable exergy, this was not considered
355 in this study. Future studies could explore options to exploit this stream, which amounts
356 to 5.3% and 4.7% of the exergy for cases described in Figs 3c and 3d, respectively.

357 On the other hand, Figs 4a and 4b show the Sankey diagram for the fast pyrolysis
358 simulation of biomass from RH, with bio-oil gasification using air and steam as gasification
359 agents. Like EFB biomass, the air and steam gasification used a Φ of 0.25 and an S/
360 B of 0.25. The energy efficiencies for the cases described in these Figs were 43.0% and
361 37.6% for air and steam as gasification agents, respectively. These values were 21% and
362 24% lower than those reported for EFB under the same operating conditions. This
363 difference may be due to the high ash content of rice husk, which affects the biomass's
364 energy content.

365 The lower efficiencies obtained for rice husk are strongly associated with its
366 elevated ash fraction, which reduces the effective combustible content and increases the
367 thermal energy required to heat inert mineral matter that does not contribute to syngas
368 production.

369 Similarly, the stream flue gas NCG was about 13.8% and 13.6% for air and steam
370 as gasification agents, respectively, lower than for the same cases evaluated for EFB. Figs
371 4c and 5d depict the Grassmann diagrams for the same cases tried in Figs 4a and 4b. As
372 in the simulations for EFB, the calculated efficiency represents the exergy gained by the
373 syngas and biochar streams in Figs 4a and 4b, which amounted to 54.4% and 62.5%,
374 respectively.

375 A clear trade-off is observed between energy and exergy efficiencies depending
376 on the gasification agent. Air based gasification consistently exhibits higher energy
377 efficiencies, while steam based gasification leads to superior exergy performance.

378 The higher energy efficiencies obtained with air can be attributed to its
379 autothermal nature, in which partial oxidation reactions provide the heat required to
380 sustain the gasification process. This reduces the need for external energy input, resulting
381 in lower overall energy consumption. In contrast, steam gasification is an endothermic
382 process that requires additional heat supply, which negatively affects the overall energy
383 efficiency.

384 However, from an exergy perspective, steam gasification significantly enhances
385 thermodynamic performance. This improvement is primarily due to the increased
386 production of hydrogen through steam reforming and water gas shift reactions. Hydrogen

387 rich syngas has a higher chemical exergy content, which explains the increase in exergy
388 efficiency despite the higher energy input required. Therefore, steam gasification
389 promotes a more effective conversion of available energy into useful chemical work.

390 **3.1.2 Bio-crude gasification**

391
392 To provide an additional comparison of products obtained from the fast pyrolysis
393 simulation, this study considered bio-crude gasification using air and steam as the
394 gasification agents. Bio-crude is the mixture of bio-oil and biochar obtained in the fast
395 pyrolysis process.

396 In the simulation, all biochar formed in EFB biomass was used to produce bio-
397 crude. On the contrary, simulations using RH required a portion of the biochar to meet
398 the thermal demand of the fast pyrolysis process. Therefore, the non-required biochar
399 was mixed with bio-oil to form bio-crude in simulations using RH.

400 The bio-crude gasification with air as the gasification agent used an equivalence
401 ratio of 0.25, while the steam gasification used a steam-to-bio-oil ratio of 0.25. In addition,
402 Figs 5c and 5d display the Grassmann diagrams for these conditions. Compared with bio-
403 oil gasification cases, the energy efficiencies achieved in bio-crude gasification for EFB are
404 50.7% and 44.3%, which are 6.8% and 11% lower than those for bio-oil gasification with
405 air and steam as the gasification agents, respectively. In addition, an increase in heat
406 losses is evident, amounting to 15.2% and 17.0%, or 8.1% and 9.8% higher than those for
407 bio-oil gasification using air and steam, respectively. The increase in heat losses observed
408 during bio-crude gasification is mainly associated with the additional thermal demand
409 required for the conversion of biochar particles suspended in the slurry. Unlike volatile

410 bio-oil compounds, biochar undergoes slower heterogeneous gasification reactions,
411 which intensify thermal irreversibilities and increase the fraction of energy dissipated as
412 sensible heat rather than converted into chemical energy in the syngas.

413 Figs 5c and 5d depict the Grassmann diagrams for the same cases tried in Figs 5a
414 and 5b. The exergy efficiencies obtained in the bio-crude gasification are 62.89% and
415 71.93% for air and steam, respectively, as the gasification agents. A 1.9% reduction was
416 observed for bio-crude gasification with air, while the case with steam increased by 2.5%.
417 Although the exergy efficiency is similar to that for bio-oil gasification, more energy input
418 is necessary for bio-crude gasification.

419 Furthermore, Figs 6a and 6b depict the Sankey diagrams for RH fast pyrolysis
420 simulation and bio-crude gasification using air and steam as gasification agents, while Figs
421 8c and 8c correspond with the Grassmann diagrams for the same conditions. Figs 6a and
422 6b show that the energy efficiencies reached 41.13 and 37.66% for air and steam as the
423 gasification agents, respectively. The values are similar to those observed in bio-oil
424 gasification cases. The principal difference occurred in the syngas temperature for air as
425 the gasification agents, which reached 801.59 °C, 17.4% higher than the bio-oil
426 gasification at the same Φ value. This result reveals more energy input is necessary to
427 complete the bio-crude gasification process.

428 The exergy efficiencies obtained in the bio-crude gasification are 53.94% and
429 64.06% for air and steam, respectively, as the gasification agents. The gained exergy was
430 associated with the syngas stream. These values are similar to those reached in bio-oil
431 gasification, independently of the gasification agent. For example, bio-crude gasification

432 with air yields an exergy efficiency of 0.85%, compared with bio-oil, while bio-crude
433 gasification with steam as the gasification agent yields an exergy efficiency 2.4% higher.
434 However, as was mentioned, bio-crude gasification demands more energy, increasing the
435 flow of air and steam.

436 Steam gasification is the most important route for utilizing bio-crude, especially
437 when supplemented with low-emissions hydrogen to produce synthetic natural gas,
438 methanol, and other Power - to - X products. Producing hydrogen-rich syngas by bio-crude
439 steam gasification is a current research hotspot, and the means of regulation include
440 changing the type of gasification agent, temperature, pressure, and using different
441 catalysts. It is challenging to design industrial gasification facilities due to the complexity
442 of the slurry system, and the development of simulation modeling methods offers hope
443 for advancing bio-crude development.

444 When comparing bio-oil and bio-crude gasification, a reduction in energy
445 efficiency is observed for bio-crude cases, accompanied by comparable or slightly higher
446 exergy efficiencies, particularly under steam gasification conditions. This behavior can
447 be explained by the presence of biochar in bio-crude. Unlike the lighter and more
448 reactive compounds present in bio-oil, biochar undergoes slower heterogeneous
449 reactions that require higher temperatures and longer residence times. As a result,
450 additional thermal energy is needed to achieve complete conversion, increasing overall
451 energy consumption and reducing energy efficiency.

452 From an exergy standpoint, however, the incorporation of biochar contributes to
453 a more complete utilization of the carbon content in the original biomass. This enhances
454 the chemical exergy of the produced syngas, particularly when steam is used, as the
455 additional carbon in reforming reactions that generate hydrogen rich gas. Consequently,
456 bio-crude gasification can achieve competitive or even superior exergy efficiencies
457 despite its higher energy demand.

458 Overall, the results highlight a fundamental trade-off between energy efficiency
459 and exergy performance across the evaluated scenarios. While air gasification minimizes
460 energy requirements, steam gasification maximizes the quality and usefulness of the
461 produced syngas. These findings suggest that process optimization should not be based
462 solely on energy efficiency but must also consider exergy metrics, particularly in
463 applications where syngas quality is critical, such as hydrogen or synthetic fuel
464 production. Additionally, feedstock selection plays a crucial role, as biomass with high
465 ash content can significantly deteriorate process performance due to increased
466 irreversibilities and reduced effective energy conversion.

467 **3.2 Environmental analysis**

468 The environmental performance of the processes modeled in Aspen Plus® is
469 analyzed in the following section.

470 **3.2.1 Bio-oil gasification**

471 The environmental assessment began with the estimation of both the output and
472 generation rates of potential environmental impact (PEI). Four operating scenarios were
473 considered to evaluate the influence of feedstock type and gasifying agent: EFB and RH,

474 each analyzed under air and steam conditions. The corresponding results are summarized
475 in Table 2.

476 The analysis reveals that the highest PEI output rates are associated with the use
477 of rice husk as feedstock, reaching values of 86.53 PEI/h for air gasification and 61.16
478 PEI/h for steam-based operation. This behavior is mainly linked to the requirement of
479 utilizing a portion of the produced biochar to supply thermal energy within the process,
480 which involves combustion stages that generate flue gases and ash residues, thereby
481 increasing the overall environmental burden.

482 Although the air-driven configuration with rice husk exhibits the largest PEI rate
483 on a mass basis, the steam-based scenario shows a more significant impact when
484 normalized per unit of product. In this case, the PEI output reaches 0.136 PEI/kg,
485 indicating that each kilogram of syngas and biochar produced is associated with a
486 relatively higher environmental load.

487 Regarding the internal generation of PEI, all evaluated scenarios show comparable
488 trends, although differences can be observed depending on the operating conditions. In
489 particular, the EFB case with steam gasification presents the highest values, reaching
490 24.14 PEI/h and 0.048 PEI/kg. This indicates that, under these conditions, the process
491 contributes more significantly to the formation of environmentally harmful substances
492 within the system compared to other configurations.

493 Moreover, the PEI leaving the system, expressed as a mass per unit of product
494 stream, for the toxicological category. In this case, it was found that the ATP category's
495 contribution is insignificant compared to the other impact categories. Therefore, a

496 commercial installation of these processes could be located near aquatic ecosystems
497 without causing environmental problems for the ecosystems.

498 The rice husk process yields the highest PEI production rates. HTPI and TTP are the
499 categories that contribute the largest proportion to the output PEI, with a value of 0.0532
500 PEI/kg in the vapor scenario for each biomass. This result indicates that the plants for this
501 process should be in an ecologically resistant area, without contact with animals or
502 vegetation. However, it is worth noting that these values remained low for this type of
503 processing, confirming the favorable environmental performance of both scenarios [50].
504 Furthermore, these two categories are closely related, as both are calculated based on
505 the lethal dose per ingestion that would kill 50% of a sample of rats. When analyzing the
506 components of the process, 33% of the components contribute to these impact
507 categories (HTPI and TTP), with acrolein and furfural making the most significant
508 contributions at 46 mg/kg and 65 mg/kg (normalized impact scores of 8.18 and 5.79,
509 respectively).

510 On the other hand, the GWP and ODP values are insignificant in all cases,
511 suggesting that these bioprocesses would not pose significant concerns for global
512 atmospheric pollution over time. However, the PCOP category had the greatest impact,
513 at 0.0112 PEI/kg, in the rice husk and steam scenario.

514 This impact is primarily associated with product streams that could accelerate
515 photochemical smog formation in these systems. In turn, a value of 0.00578 PEI/kg (rice
516 husk and steam scenario) was obtained in the AP category. This metric, associated with
517 potential environmental acidification, could indicate that the process generates

518 moderate gas emissions into the atmosphere (compared to the other categories
519 analyzed) that can later end up in soil and water bodies.

520 Table 2 summarizes the environmental impact produced within the system on a
521 per-unit-of-product basis (PEI/kg product), considering both toxicological and
522 atmospheric effects. The results indicate that the toxicological category exhibits both
523 positive and negative generation rates depending on the operating conditions.

524 In the case of air gasification, negative values are observed for the HTPI and TTP
525 indicators, which suggests a net reduction of environmental impact within the system.
526 This behavior implies that the harmful effects associated with the incoming substances
527 are lower than those of the streams leaving the process. In contrast, the steam-based
528 scenarios show positive generation values of approximately 0.018 PEI/kg for the same
529 categories, indicating the presence of additional impacts related to human and terrestrial
530 toxicity.

531 Despite these differences, the magnitude of the generated impacts remains
532 relatively low compared to values reported in similar studies [50] [51], confirming the
533 overall favorable environmental performance of the evaluated processes.

534 **3.2.2 Bio-crude gasification**

535 Regarding PEI generation rates, this process yields excellent results, with negative
536 magnitudes across all scenarios. In the case of rice husk, it obtained generation rates of -
537 39.49 and -23.89 PEI/h as shown in Table 3. When the PEI out and the PEI generated have
538 opposite values but are equal or nearly equal in magnitude, the process completely
539 compensates for its emitted environmental impact with actions that counteract it,

540 achieving a net balance in environmental impact. This may reflect positive environmental
541 practices and mitigation strategies implemented to reduce its environmental footprint
542 and promote sustainability. Rice husk gasification with air obtained higher impact output
543 rates in this case.

544 Analyzing the impact categories, we found that this process yielded values for the
545 HTPI, HTPE, and TTP categories, which correspond to toxicological, and for the PCOP and
546 AP categories, which correspond to atmospheric. In this case, all toxicological categories
547 showed negative generation rates; this outcome indicated that this biorefinery could
548 transform incoming raw materials into less harmful products to terrestrial and human
549 health. In the HTPI category, it can be noted that the scenarios with EFB, both water and
550 steam, exhibit output PEI rates of 0 and negative generation rates. This result indicates
551 that, for each unit of mass of product generated, the process mitigates or absorbs
552 negative environmental impacts of -0.0067 and -0.0126 units for air and steam,
553 respectively.

554 Regarding the AP category, it can be observed that the EFBs do not exhibit output
555 or PEI generation rates, indicating that this process is neutral with respect to these
556 categories. In turn, in the atmospheric category, the PCOP presents the highest PEI output
557 rates, 0.0144 and 0.0163 PEI/kg for EFB and rice husk, respectively, with the steam-
558 gasification scenario contributing the most for biomass. This indicates that these
559 processes are generating a significant amount of substances or compounds that have the
560 potential to contribute to the formation of smog and other air quality problems,

561 highlighting the importance of taking measures to reduce this negative impact on air
562 quality and environmental health.

563 The results obtained in this study highlight the potential of indirect biomass
564 gasification routes, particularly those involving bio-oil and bio-crude, as promising
565 pathways for syngas production. However, several aspects require further investigation
566 to enhance the reliability and industrial applicability of these systems.

567 First, although the present work employed equilibrium-based modeling in Aspen
568 Plus®, the literature reports bio-crude steam gasification experiments, both catalytic
569 [52][53] and non-catalytic [54], [55]. Although biochar acts as both a carbon source and
570 an in-situ catalyst, enhancing reactivity, syngas quality, and tar suppression [56]. Catalytic
571 steam gasification experiments in fixed beds at the lab scale yield relatively high hydrogen
572 yields of around 800 °C, with carbon conversion of 66-80% [57][23]. Steam gasification of
573 bio-crude can also produce hydrogen-rich gas, and the high gas yields (especially for H₂
574 and CO) are obtained at around 900 °C [54].

575 In comparison, the results of this study, under similar high-temperature
576 conditions, show trends in hydrogen-rich syngas production comparable to those
577 observed under steam-based scenarios. However, the absence of explicit catalytic effects
578 in the present Aspen Plus® simulations may explain the lower hydrogen yields observed
579 relative to catalytic experimental studies. This highlights the potential role of biochar not
580 only as an energy carrier in bio-crude formulations but also as a promoter of gasification
581 reactions. Therefore, incorporating catalytic effects or more detailed reaction

582 mechanisms into the model could further improve the prediction of syngas composition
583 and process performance.

584 Second, while steam gasification improves exergy efficiency, it also requires
585 additional external energy input, revealing a clear trade-off between thermodynamic
586 performance and process energy demand. Future optimization studies should focus on
587 integrating heat recovery systems, process intensification strategies, or renewable heat
588 sources to mitigate these energy penalties.

589 From an environmental perspective, the results indicate that feedstock selection
590 plays a critical role in determining potential environmental impacts. Biomass with high
591 ash content, such as rice husk, leads to higher PEI values due to increased solid residues
592 and emissions. Therefore, future studies should evaluate pretreatment strategies or
593 blending approaches to reduce ash-related impacts and improve overall sustainability.

594
595 Additionally, the use of the Waste Reduction Algorithm (WAR) provided valuable
596 insights into process-level environmental performance. However, integrating WAR with
597 life cycle assessment (LCA) methodologies would allow for a more comprehensive
598 evaluation, capturing upstream and downstream impacts and enabling more robust
599 sustainability comparisons.

600 Finally, this study supports the potential of bio-oil and bio-crude as intermediate
601 energy carriers that enable the decoupling of biomass supply and syngas production. This
602 opens opportunities for decentralized pyrolysis units coupled with centralized gasification
603 facilities. Future research should explore the techno-economic feasibility and supply chain

604 implications of such configurations, particularly in regions with dispersed biomass
605 resources.

606 Overall, advancing bio-crude gasification requires a multi-scale approach
607 combining improved reaction modeling, process integration, and sustainability
608 assessment to bridge the gap between simulation and industrial deployment.

609 **4. CONCLUSIONS**

610 This study developed a simulation framework in Aspen Plus® to evaluate the
611 thermodynamic performance of bio-oil and bio-crude gasification pathways for syngas
612 production using two different feedstocks: oil palm empty fruit bunches (EFB) and rice
613 husk (RH). The results indicate that air gasification of EFB provides the highest energy
614 efficiency (54.5%), along with a corresponding exergy efficiency of 64.1%. In contrast,
615 systems based on rice husk exhibit lower performance due to their elevated ash content,
616 with energy and exergy efficiencies of 43.03% and 54.4%, respectively.

618 For bio-crude gasification, EFB achieves energy efficiencies of 50.7% and 44.3%
619 under air and steam conditions, respectively, whereas rice husk reaches 41.1% and 37.6%
620 under the same operating conditions. Overall, the use of steam as a gasifying agent
621 enhances exergy efficiency for both feedstocks, although this advantage is accompanied
622 by an increase in energy demand.

623 From an environmental perspective, higher potential environmental impacts (PEI)
624 are associated with bio-oil gasification, particularly when rice husk is used as feedstock.
625 The maximum PEI values were observed for steam-based operation with rice husk,
626 reaching 61.1 PEI/h. This behavior is mainly attributed to the higher ash content and the

627 generation of additional pollutants during the conversion process. Future research should
628 focus on integrating broader sustainability criteria, including safety, health
629 considerations, and process uncertainty, to support more comprehensive decision-
630 making.

631 Overall, the results indicate that indirect gasification pathways based on bio-oil
632 and bio-crude can offer performance comparable to that of direct biomass gasification,
633 particularly when low-ash feedstocks are used. However, the identified trade-offs
634 between energy efficiency, exergy performance, and environmental impacts highlight the
635 need for integrated process optimization strategies. These findings suggest that feedstock
636 selection and process configuration are critical design variables for developing efficient
637 and sustainable biorefineries.

638
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651 **NOMENCLATURE**

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EFB	Empty Fruit Bunches
RH	Rice Husk
HHV	Higher Heating Value, kJ/kg
LCA	Life Cycle Assessment
Qdry	Heat demanded by the drying section [kW]
Qncg	Heat demanded by combustion of NCG [kW]
Qcomb	Heat demanded by combustion of biohcar[kW]
W	Input power [kW]
\dot{m}	Mass flow [kg/h]
η_T	Energy efficiency
η_{Ex}	Exergy efficiency
\dot{E}	Energy flow rate [kW]
\dot{X}	Total exergy [kW]
\dot{X}_{ph}	Physical exergy [kW]
\dot{X}_{ch}	Chemical exergy [kW]
$Cp_{biochar}$	Specific heat capacity of biochar [kJ/kg K]
$\dot{X}_{ph_{biochar}}$	Physical exergy of biochar [kW]
x_i^{ch}	Standard chemical exergy of component i.
h	Enthalpy [kJ/kg]
s	Entropy [kJ/kg K]

T_0	Temperature in the dead state (298.15 K and 101.325 kPa) [°C]
s_0	Enthalpy in the dead state (298.15 K and 101.325 kPa) [kJ/kg]
h_0	Entropy in the dead state (298.15 K and 101.325 kPa) [kJ/kg]
x_i	Molar fraction of component i
\dot{n}	Molar flow rate, mol/s or mol/h?
NCG	Non-condensable-Gases
WAR	Waste Reduction Algorithm
PEI	Potential environmental impact
ATP	Potential aquatic toxicity
HTPI	Human toxicity potential by ingestion
TTP	Terrestrial toxicity potential
HTPE	Human toxicity potential by inhalation or dermal exposure
ODP	Ozone depletion potential
GWP	Global warming potential
PCOP	Photochemical oxidation potential
PTX	
W	Intlet Work [kW]
R	Universal gas constant, kJ/kmol K
AP	Acidification potential
$i_{we}^{(cp)}$	Rate of PEI out of the system because of the release of waste energy due to chemical processes
$i_{out}^{(cp)}$	Rate of PEI leaving the system due to chemical interactions

- $i_{out}^{(ep)}$ Rate of PEI out of the system due to energy generation processes
- $i_{we}^{(ep)}$ Rate of PEI out of the system because of the release of waste energy due to energy generation
- $i_{in}^{(cp)}$ Input rate of PEI to the chemical process
- $i_{in}^{(ep)}$ input rate of PEI to the energy generation process

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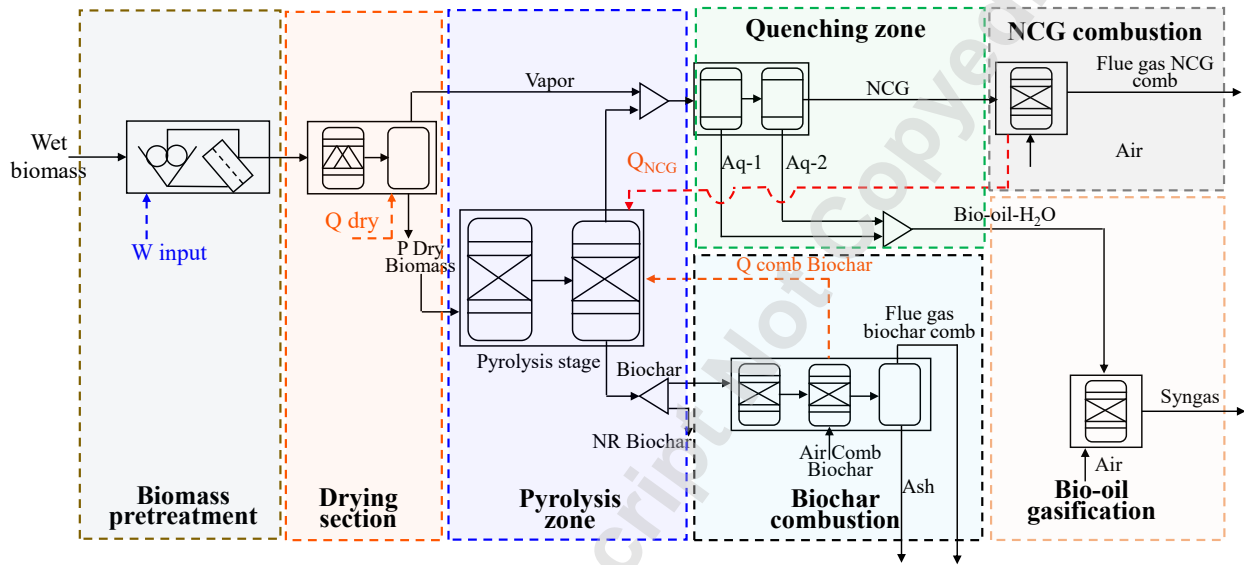
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Figure Captions List

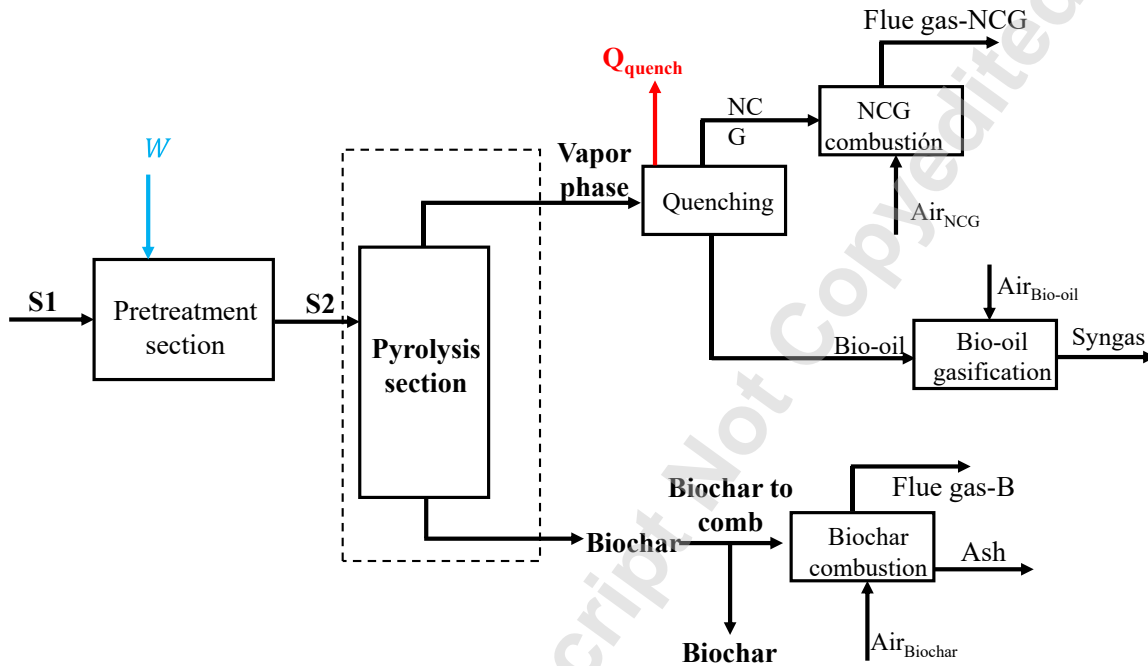
Fig 1. Schematic diagram of the simulation of the fast pyrolysis process. In this Fig, Q_{dry} , Q_{ncg} , and Q_{comb} mean the heat demanded by the drying section and the heat supplied by the combustion of NCG and biochar, respectively.



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875 Fig 2. Schematic diagram summarizing the main streams used for energy and exergy
876 calculations. Airbio-oil changes to airbio-crude, steambio-oil, or steambio-crude, as
877 applicable to the gasification scenario evaluated.

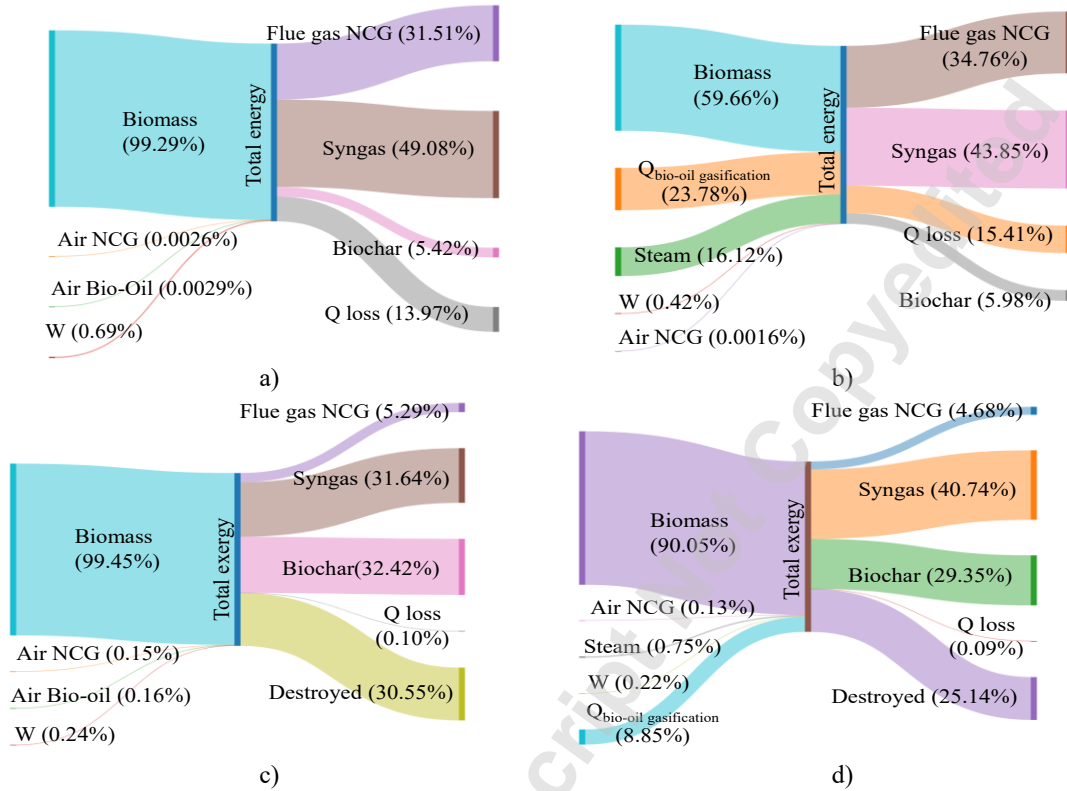
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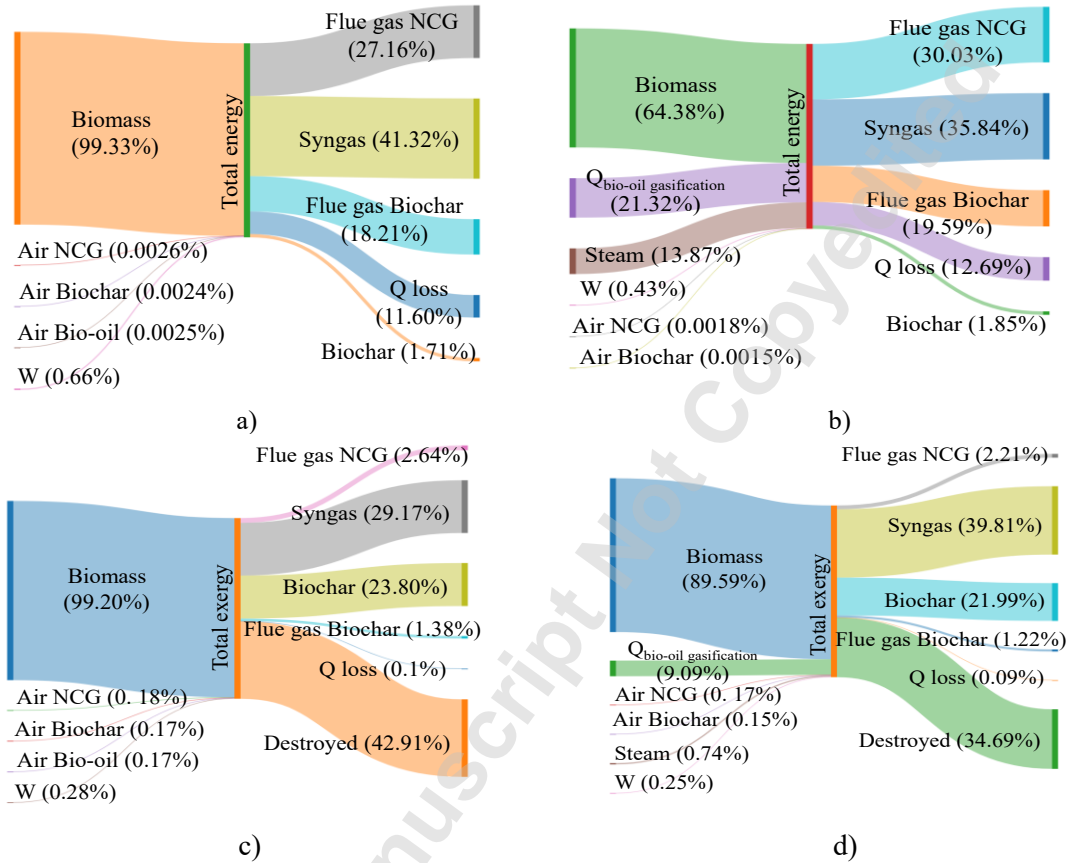
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881 Fig 3. Sankey diagrams for the fast pyrolysis-bio-oil gasification of empty fruit bunches a)
 882 using air and b) using steam as gasification agent. Corresponding Grassmann diagrams c)
 883 using air and d) using steam as gasification agent.
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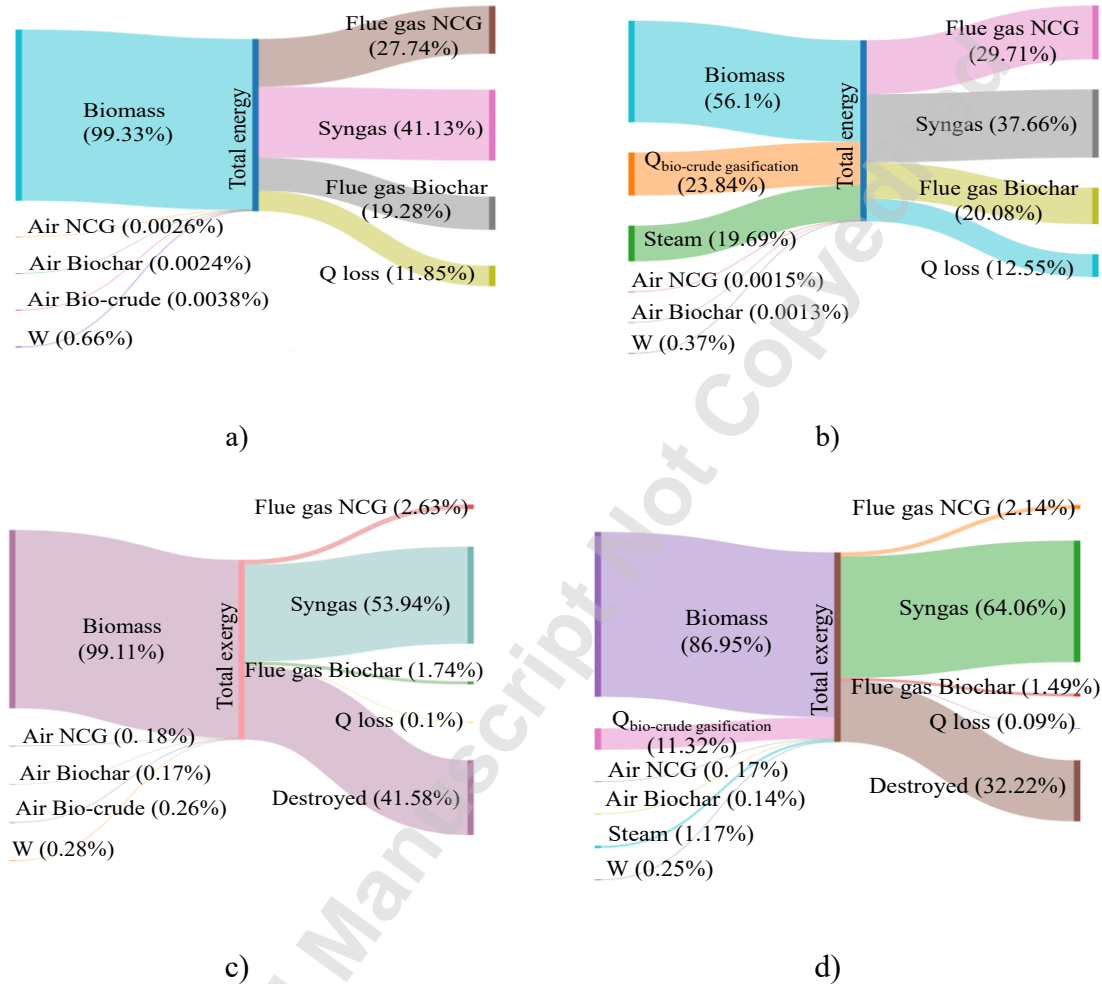
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905 Fig 4. Sankey diagrams for the fast pyrolysis and bio-oil gasification for rice husk. a) using
 906 air as gasification agent. b) using steam as gasification agent. Grassmann diagrams for fast
 907 pyrolysis and bio-oil gasification. c) using air as gasification agent. d) using steam as
 908 gasification agent.
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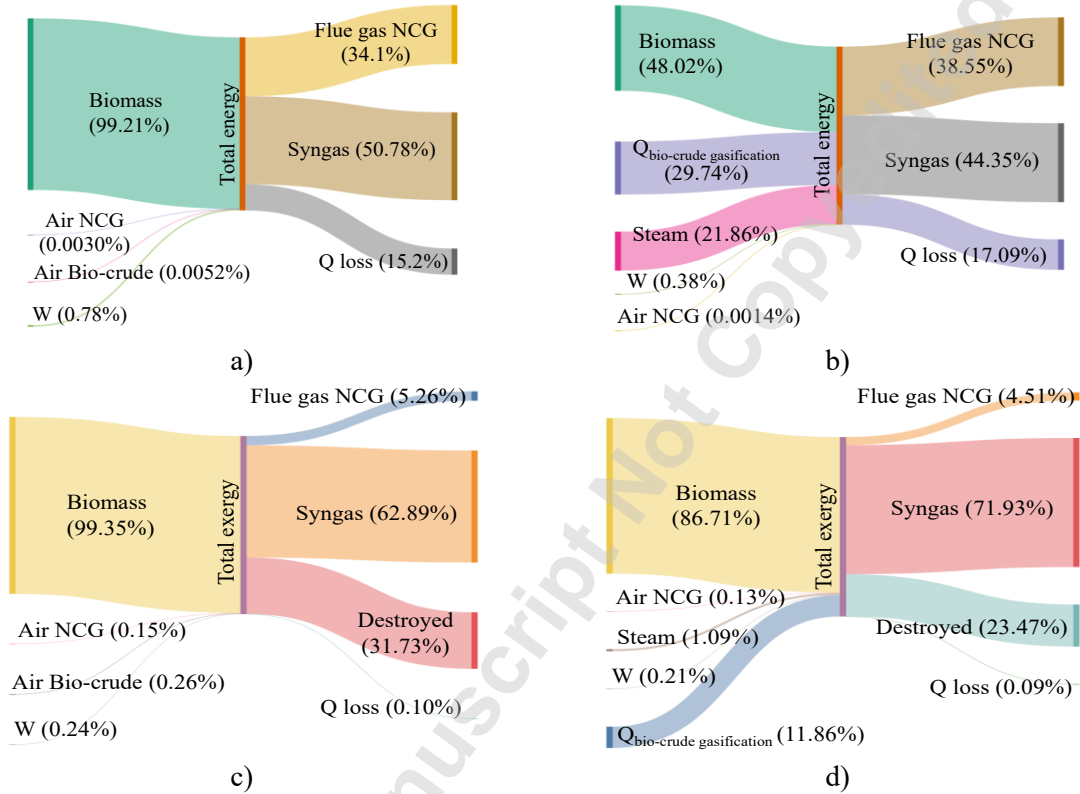
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927 Fig 5. Grassmann diagrams for EFB the fast pyrolysis and bio-crude gasification. a) results
 928 for rice husk using air as the gasification agent. b) results for rice husk using steam as
 929 gasification agent. c) results for rice husk using air as gasification agent. d) results for rice
 930 husk using steam as gasification agent.



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944 Fig 6. Grassmann diagrams for RH fast pyrolysis and bio-crude gasification. A) results for
 945 EFB using air as the gasification agent. B) results for EFB using steam as gasification agent.
 946 C) results for EFB using air as gasification agent. D) results for EFB using steam as
 947 gasification agent.
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Table Caption List

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Table 1. Ultimate and proximate analysis on a dry basis (db) and higher heating value

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(HHV) of the feedstock. Ultimate analysis adapted from the Phyllis database [58] .

Analysis/parameter	Rice husk, RH	Empty fruit bunches, EFB
	ULTANAL %wt, db	%wt, db
C	39.3	45.7
H	4.7	5.5
N	0.0	0.0
Cl	0.0	0.0
S	0.0	0.0
O	35.9	43.5
PROXANAL	%wt, db	
Volatile matter, VM	60.0	71.1
Fixed carbon, FC	20.0	23.7
Ash, %wt db	20.0	5.1

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981 Table 2. Global environmental impacts of the bio-oil gasification process using air and
 982 steam.
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Condition	Oxidizing agent	Empty Fruit Bunch		Rice Husk	
		PEI/h	PEI/kg	PEI/h	PEI/kg
Iout	Air	25	0.033	88	0.08
	Steam	39	0.078	61	0.135
Igen	Air	3	0.002	9	0.004
	Steam	24	0.048	11	0.023

Category	Oxidizing agent	Empty Fruit Bunch		Rice Husk	
		PEI/h	PEI/kg	PEI/h	PEI/kg
HTPI	Air	0.007	-0.001	0.032	-0.002
	Steam	0.03	0.018	0.053	0
HTPE	Air	0.002	0.005	0.006	0
	Steam	0.012	0.005	0.007	0.002
TTP	Air	0.007	-0.001	0.032	-0.002
	Steam	0.03	0.018	0.053	0
PCOP	Air	0.002	0.003	0.005	0.005
	Steam	0.006	0.007	0.011	0.011
AP	Air	0	0	0.003	0.003
	Steam	0	0	0.006	0.006

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Table 3. Global environmental impacts of the bio-crude gasification process using air and steam

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Condition	Oxidizing agent	Empty Fruit Bunch		Rice Husk	
		PEI/h	PEI/kg	PEI/h	PEI/kg
Iout	Air	25	0.03	88	0.08
	Steam	40	0.08	62	0.135
Igen	Air	3	0.002	6	0.004
	Steam	23	0.05	9	0.02

Category	Oxidizing agent	Empty Fruit Bunch		Rice Husk	
		PEI/h	PEI/kg	PEI/h	PEI/kg
HTPI	Air	0.008	-0.002	0.032	-0.003
	Steam	0.03	0.018	0.053	0
HTPE	Air	0.003	0.001	0.007	0.001
	Steam	0.012	0.005	0.008	0.002
TTP	Air	0.008	-0.002	0.032	-0.002
	Steam	0.031	0.018	0.053	0
PCOP	Air	0.004	0.003	0.006	0.005
	Steam	0.007	0.007	0.011	0.011
AP	Air	0.002	0.002	0.004	0.003
	Steam	0.003	0.003	0.006	0.006

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