



Metric Mean Dimension and Mean Hausdorff Dimension Varying the Metric

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Abstract

Let $f : \mathbb{M} \rightarrow \mathbb{M}$ be a continuous map on a compact metric space \mathbb{M} equipped with a fixed metric d , and let τ be the topology on \mathbb{M} induced by d . We denote by $\mathbb{M}(\tau)$ the set consisting of all metrics on \mathbb{M} that are equivalent to d . Let $\text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f)$ and $\text{mdim}_{\text{H}}(\mathbb{M}, d, f)$ be, respectively, the metric mean dimension and mean Hausdorff dimension of f . First, we will establish some fundamental properties of the mean Hausdorff dimension. Furthermore, it is important to note that $\text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f)$ and $\text{mdim}_{\text{H}}(\mathbb{M}, d, f)$ depend on the metric d chosen for \mathbb{M} . In this work, we will prove that, for a fixed dynamical system $f : \mathbb{M} \rightarrow \mathbb{M}$, the functions $\text{mdim}_{\mathbb{M}}(\mathbb{M}, f) : \mathbb{M}(\tau) \rightarrow \mathbb{R} \cup \{\infty\}$ and $\text{mdim}_{\text{H}}(\mathbb{M}, f) : \mathbb{M}(\tau) \rightarrow \mathbb{R} \cup \{\infty\}$ are not continuous, where $\text{mdim}_{\mathbb{M}}(\mathbb{M}, f)(\rho) = \text{mdim}_{\mathbb{M}}(\mathbb{M}, \rho, f)$ and $\text{mdim}_{\text{H}}(\mathbb{M}, f)(\rho) = \text{mdim}_{\text{H}}(\mathbb{M}, \rho, f)$ for any $\rho \in \mathbb{M}(\tau)$. Furthermore, we will present examples of certain classes of metrics for which the metric mean dimension is a continuous function.

Keywords Mean topological dimension · Metric mean dimension · Mean Hausdorff dimension · Topological entropy · Box dimension · Hausdorff dimension

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1 Introduction

The mean topological dimension of a dynamical system (\mathbb{M}, f) , denoted by $\text{mdim}(\mathbb{M}, f)$, where \mathbb{M} is a compact topological space and f is a continuous map, is an invariant under topological conjugacy. This concept was introduced by Gromov in 1999 [11]. It serves as an essential tool for understanding systems with infinite topological entropy. In 2000, Lindenstrauss and Weiss [16] demonstrated that the left-shift map defined on $([0, 1]^n)^{\mathbb{Z}}$ has a mean topological dimension equal to n , where n is a positive integer. We define the mean topological dimension in Sect. 2.

The concept of mean topological dimension is closely related to problems involving the embedding of minimal dynamical systems. The works [12, 13, 16, 19] demonstrate that any minimal system with a mean topological dimension less than $\frac{n}{2}$ can be embedded into the shift map on $([0, 1]^n)^{\mathbb{Z}}$. It is worth noting that the value $\frac{n}{2}$ is optimal in this context. In [8], the author constructed minimal subshifts on a countable infinite amenable group with arbitrarily mean topological dimension. It is also worth mentioning that calculating the mean topological dimension is a challenging task. Consequently, it becomes crucial to obtain upper bounds for the mean topological dimension of a dynamical system.

The metric mean dimension for dynamical systems defined on compact metric spaces, introduced by Lindenstrauss and Weiss in 2000 [16], offers upper bounds for the mean topological dimension. Since its introduction, the notion of metric mean dimension has been extensively studied, as we can see in the works [4, 5, 7, 15, 20, 25], among other works.

In 2019, Lindenstrauss and Tsukamoto [18] introduced a new tool that provides a better upper bound for the mean topological dimension: the mean Hausdorff dimension. However, it is important to note that both the metric mean dimension and mean Hausdorff dimension are not invariant under topological conjugacy; they depend on the chosen metric for the space.

In summary, the metric mean dimension and mean Hausdorff dimension depend on three variables: the dynamics represented by f , the space denoted as \mathbb{M} , and the metric d employed on \mathbb{M} . We denote by $\text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f)$ and $\text{mdim}_{\text{H}}(\mathbb{M}, d, f)$ the metric mean dimension and the mean Hausdorff dimension of f , respectively.

Several works explore the metric mean dimension concerning the dynamics and the invariant space in which these dynamics operate. For instance, in [6], the authors establish that, for C^0 -generic homeomorphisms acting on a compact, smooth, boundaryless manifold \mathbb{M} with dimension greater than one, the upper metric mean dimension concerning the smooth metric coincides with the dimension of the manifold. Furthermore, in [3] it is proved the set of all homeomorphisms on \mathbb{M} with metric mean dimension equal to a fixed $\alpha \in [0, \dim(\mathbb{M})]$ is dense in $\text{Hom}(\mathbb{M})$, where $\dim(\mathbb{M})$ is the topological dimension of \mathbb{M} . These results are similarly demonstrated in [2] for the case of the mean Hausdorff dimension. Moreover, in [1] it is proved that if $\dim(\mathbb{M}) \geq 2$, the mapping

$$\text{mdim}_{\mathbb{M}}(\mathbb{M}, \cdot, d) : \text{Hom}(\mathbb{M}) \rightarrow \mathbb{R} \quad f \mapsto \text{mdim}_{\mathbb{M}}(\mathbb{M}, f, d)$$

is not continuous anywhere.

The dependence of the metric mean dimension on the metric has been explored in various works. For instance, in [16] it is proven that for any metric d on \mathbb{M} , we have

$$\text{mdim}(\mathbb{M}, f) \leq \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f).$$

Furthermore, it is conjectured that for any dynamical system (\mathbb{M}, f) , there exists a metric d on \mathbb{M} such that

$$\text{mdim}(\mathbb{M}, f) = \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f).$$

This conjecture has been verified for specific cases of dynamical systems (see [18], Theorem 3.12). In [18], the authors present an example of a left shift $(A^{\mathbb{Z}}, \sigma)$ and two metrics d and d' on $A^{\mathbb{Z}}$ such that

$$\text{mdim}_{\mathbb{M}}(A^{\mathbb{Z}}, d, \sigma) = \frac{1}{2} = \text{dim}_{\text{B}}(A) \quad \text{and} \quad \text{mdim}_{\mathbb{M}}(A^{\mathbb{Z}}, d', \sigma) = 0,$$

where $\text{dim}_{\text{B}}(A)$ denotes the box dimension of A (for the definition of box dimension, see [9], Section 3.1). In Example 4.1, we will provide an example of a fixed dynamical system $f : [0, 1] \rightarrow [0, 1]$ such that for any fixed $a \in [0, 1]$ there exists an explicit metric d_a on $[0, 1]$ such that $\text{mdim}_{\mathbb{M}}([0, 1], d_a, f) = \text{mdim}_{\text{H}}([0, 1], d_a, f) = a$ (see Remark 4.2).

In [6], Corollary D states that there exist a dense subset of metrics \mathcal{D} on $[0, 1]$ and a generic subset \mathcal{G} of $C^0([0, 1])$ such that

$$\text{mdim}_{\mathbb{M}}([0, 1], \rho, f) = 1 \text{ for all } f \in \mathcal{G}, \text{ for all } \rho \in \mathcal{D}.$$

Next, in [21], Theorem 1.1 states that if A is a finite set, then

$$\text{mdim}_{\mathbb{M}}(\mathcal{X}, d_{\alpha}, \sigma_1) = \frac{2h_{\text{top}}(\mathcal{X}, \sigma_1, \sigma_2)}{\log \alpha},$$

where $\sigma_1((x_{m,n})_{m,n \in \mathbb{Z}}) = ((x_{m+1,n})_{m,n \in \mathbb{Z}})$ and $\sigma_2((x_{m,n})_{m,n \in \mathbb{Z}}) = ((x_{m,n+1})_{m,n \in \mathbb{Z}})$ are defined in $A^{\mathbb{Z}^2}$, \mathcal{X} is a closed subset of $A^{\mathbb{Z}^2}$ invariant under both σ_1 and σ_2 and

$$d_{\alpha}(x, y) = \alpha^{-\min\{|u|_{\infty} : x_u \neq y_u\}},$$

where $|u|_{\infty} = \max(|m|, |n|)$ for $u = (m, n) \in \mathbb{Z}^2$ and $\alpha > 1$. In Examples 4.4 and 4.5, we will consider a similar metric \mathbf{d}_{α} on the Cantor set \mathcal{C} and calculate the metric mean dimension of some particular maps on $(\mathcal{C}, \mathbf{d}_{\alpha})$.

From Examples 7.1 and 7.3, we can conclude that, for any $b \in [n, \infty)$, there exists a metric d_b on $([0, 1]^n)^{\mathbb{Z}}$ such that

$$\text{mdim}_{\mathbb{M}}(([0, 1]^n)^{\mathbb{Z}}, d_b, \sigma) = \text{mdim}_{\text{H}}(([0, 1]^n)^{\mathbb{Z}}, d_b, \sigma) = b$$

(see (7.2) and (7.3)).

The purpose of this work is to explore the continuity of the metric mean dimension on the metric d on \mathbb{M} . We will prove that, in general, the functions $d \mapsto \text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f)$ and $d \mapsto \text{mdim}_{\mathbb{H}}(\mathbb{M}, d, f)$ are not continuous anywhere. On the other hand, we will present examples of certain classes of metrics for which $d \mapsto \text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f)$ and $d \mapsto \text{mdim}_{\mathbb{H}}(\mathbb{M}, d, f)$ are continuous functions.

The paper is organized as follows: in the next section, we will introduce the concepts of mean topological dimension, metric mean dimension and mean Hausdorff dimension. Furthermore, we will present some alternative formulas to calculate the Hausdorff dimension of any compact metric space, which are more aligned with the definition of mean Hausdorff dimension for dynamical systems (see Lemmas 2.2 and 2.3).

In Sect. 3, we will establish several properties of the mean Hausdorff dimension, inspired by properties already known for the metric mean dimension and based on the foundational concepts of the Hausdorff dimension. For instance, it is well known that, given two metric spaces (\mathbb{M}, d) and (\mathbb{E}, d') , we have that

$$\dim_{\mathbb{H}}(\mathbb{M} \times \mathbb{E}) \geq \dim_{\mathbb{H}}(\mathbb{M}) + \dim_{\mathbb{H}}(\mathbb{E})$$

(see [9], Chapter 7). In Proposition 3.4, we show that

$$\underline{\text{mdim}}_{\mathbb{H}}(\mathbb{M} \times \mathbb{E}, d \times d', f \times g) \geq \underline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}, d, f) + \underline{\text{mdim}}_{\mathbb{H}}(\mathbb{E}, d', g),$$

for any two maps $f : (\mathbb{M}, d) \rightarrow (\mathbb{M}, d)$ and $g : (\mathbb{E}, d') \rightarrow (\mathbb{E}, d')$. Furthermore, in Theorem 3.6, we prove that, for $\mathbb{K} = \mathbb{Z}$ or \mathbb{N} ,

$$\dim_{\mathbb{H}}(\mathbb{M}, d) \leq \underline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma),$$

where $\sigma : \mathbb{M}^{\mathbb{K}} \rightarrow \mathbb{M}^{\mathbb{K}}$ is the left shift map and \mathbf{d} is a specific metric on $\mathbb{M}^{\mathbb{K}}$ obtained from the metric d on \mathbb{M} (see (3.3)). In order to obtain this result, we use Lemma 3.5, in which we present an alternative formula to calculate $\text{mdim}_{\mathbb{H}}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma)$.

In Sect. 4, we will calculate the metric mean dimension of several continuous maps $f : \mathbb{M} \rightarrow \mathbb{M}$ changing the metric on \mathbb{M} , when \mathbb{M} is the interval $[0, 1]$ or the Cantor set.

In Sect. 5, we will prove that both the metric mean dimension and the mean Hausdorff dimension are not continuous with respect the metric.

In Sect. 6, we will consider certain classes of metrics and explore how the metric mean dimension behaves when these metrics vary within these classes. More specifically, we will generate metrics using composition of subadditive continuous maps with a fixed metric on \mathbb{M} .

We conclude this work by presenting some illustrative examples in Sect. 7.

2 Mean Dimension, Metric Mean Dimension and Mean Hausdorff Dimension

Throughout this work, we will fix a metrizable compact space \mathbb{M} and we will fix a metric d on \mathbb{M} , compatible with the topology on \mathbb{M} . In this section we will present the notions of mean topological dimension, metric mean dimension and mean Hausdorff dimension, introduced in [16, 18], respectively.

We briefly present the definition of mean topological dimension. Let $\alpha = \{A_i\}_i$ be an open cover of \mathbb{M} and define $\text{ord}(\alpha) = \sup_{x \in X} \sum_{A_i \in \alpha} 1_{A_i}(x) - 1$. A *refinement* of α is an open cover $\beta = \{B_j\}_j$ such that for any $B_j \in \beta$, there exists $A_i \in \alpha$, such that $B_j \subset A_i$. When β is a refinement of α , we write $\beta > \alpha$. Set $D(\alpha) = \min_{\beta > \alpha} \text{ord}(\beta)$, where α runs over all finite open covers of \mathbb{M} refining α . The *topological dimension* of \mathbb{M} is

$$\dim(\mathbb{M}) = \sup\{D(\alpha) : \alpha \text{ is a cover of } \mathbb{M}\}.$$

Consider any continuous function $f : \mathbb{M} \rightarrow \mathbb{M}$, the *mean topological dimension* is defined as follow

$$\text{mdim}(\mathbb{M}, f) = \sup_{\alpha} \lim_{n \rightarrow \infty} \frac{D(\alpha \vee f^{-1}(\alpha) \vee \dots \vee f^{-n+1}(\alpha))}{n},$$

where α runs over all finite open covers of \mathbb{M} . The sequence $\alpha \vee f^{-1}(\alpha) \vee \dots \vee f^{-n+1}(\alpha)$ is subadditive for $n \geq 1$, and the above limit exists.

Fix a continuous map $f : \mathbb{M} \rightarrow \mathbb{M}$ and a non-negative integer n . For any $x, y \in \mathbb{M}$, set

$$d_n(x, y) = \max \left\{ d(x, y), d(f(x), f(y)), \dots, d(f^{n-1}(x), f^{n-1}(y)) \right\}.$$

We say that $A \subset \mathbb{M}$ is an (n, f, ε) -separated subset if $d_n(x, y) > \varepsilon$, for any two distinct points $x, y \in A$. We denote by $\text{sep}(n, f, \varepsilon)$ the maximal cardinality of any (n, f, ε) -separated subset of \mathbb{M} . We say that $E \subset \mathbb{M}$ is an (n, f, ε) -spanning set for \mathbb{M} if for any $x \in \mathbb{M}$ there exists $y \in E$ such that $d_n(x, y) < \varepsilon$. Let $\text{span}(n, f, \varepsilon)$ be the minimum cardinality of any (n, f, ε) -spanning subset of \mathbb{M} . Given an open cover α of \mathbb{M} , we say that α is an (n, f, ε) -cover of \mathbb{M} if the d_n -diameter of any element of α is less than ε . Let $\text{cov}(n, f, \varepsilon)$ be the minimum number of elements in any (n, f, ε) -cover of \mathbb{M} . Set

- $\text{sep}(f, \varepsilon) = \limsup_{n \rightarrow \infty} \frac{1}{n} \log \text{sep}(n, f, \varepsilon)$;
- $\text{span}(f, \varepsilon) = \limsup_{n \rightarrow \infty} \frac{1}{n} \log \text{span}(n, f, \varepsilon)$;
- $\text{cov}(f, \varepsilon) = \limsup_{n \rightarrow \infty} \frac{1}{n} \log \text{cov}(n, f, \varepsilon)$.

Definition 2.1 We define the *lower metric mean dimension* of (\mathbb{M}, d, f) and the *upper metric mean dimension* of (\mathbb{M}, d, f) by

$$\underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f) = \liminf_{\varepsilon \rightarrow 0} \frac{\text{sep}(f, \varepsilon)}{|\log \varepsilon|} = \liminf_{\varepsilon \rightarrow 0} \frac{\text{span}(f, \varepsilon)}{|\log \varepsilon|} = \liminf_{\varepsilon \rightarrow 0} \frac{\text{cov}(f, \varepsilon)}{|\log \varepsilon|}$$

and

$$\overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f) = \limsup_{\varepsilon \rightarrow 0} \frac{\text{sep}(f, \varepsilon)}{|\log \varepsilon|} = \limsup_{\varepsilon \rightarrow 0} \frac{\text{span}(f, \varepsilon)}{|\log \varepsilon|} = \limsup_{\varepsilon \rightarrow 0} \frac{\text{cov}(f, \varepsilon)}{|\log \varepsilon|},$$

respectively (see [16], Section 4).

Now, we present the definition of the Hausdorff dimension given in [18]: for $s \geq 0$ and $\varepsilon > 0$, set

$$H_\varepsilon^s(\mathbb{M}, d) = \inf \left\{ \sum_{n=1}^\infty (\text{diam} E_n)^s : \mathbb{M} = \cup_{n=1}^\infty E_n \text{ with } \text{diam} E_n < \varepsilon \text{ for all } n \geq 1 \right\}. \tag{2.1}$$

By convention we consider $0^0 = 1$ and $\text{diam}(\emptyset)^s = 0$. Let $\Theta > 0$. Take

$$\text{dim}_H(\mathbb{M}, d, \varepsilon, \Theta) = \sup\{s \geq 0 : H_\varepsilon^s(\mathbb{M}, d) \geq \Theta\}.$$

The Hausdorff dimension of (\mathbb{M}, d) , presented in [18], is given by

$$\text{dim}_H(\mathbb{M}, d) := \lim_{\varepsilon \rightarrow 0} \text{dim}_H(\mathbb{M}, d, \varepsilon, 1).$$

By simplicity in the notation, if $\Theta = 1$, we will set

$$\text{dim}_H(\mathbb{M}, d, \varepsilon) := \text{dim}_H(\mathbb{M}, d, \varepsilon, 1).$$

The usual definition of the Hausdorff dimension in the literature it is as follows: let

$$H^s(\mathbb{M}, d) = \lim_{\varepsilon \rightarrow 0} H_\varepsilon^s(\mathbb{M}, d).$$

The Hausdorff dimension of (\mathbb{M}, d) , denoted by $\text{dim}_H^*(\mathbb{M}, d)$, is given by

$$\text{dim}_H^*(\mathbb{M}, d) = \sup\{s \geq 0 : H^s(\mathbb{M}, d) > 0\} = \sup\{s \geq 0 : H^s(\mathbb{M}, d) = \infty\}.$$

Lemma 2.2 For any $\Theta > 0$, we have that

$$\text{dim}_H^\Theta(\mathbb{M}, d) := \lim_{\varepsilon \rightarrow 0} \text{dim}_H(\mathbb{M}, d, \varepsilon, \Theta) = \text{dim}_H(\mathbb{M}, d) = \text{dim}_H^*(\mathbb{M}, d).$$

Proof First, notice that if $\varepsilon > 0$ in (2.1) decreases, the class of permissible covers of \mathbb{M} , with diameter less than ε , decreases. Therefore, for any $s \geq 0$, $H_\varepsilon^s(\mathbb{M}, d)$ increases as ε decreases. Hence,

$$H_\varepsilon^s(\mathbb{M}, d) \leq H^s(\mathbb{M}, d) \quad \text{for any } \varepsilon > 0.$$

Thus, if $s \geq 0$ is such that $H_\varepsilon^s(\mathbb{M}, d) \geq \Theta$, we have that $H^s(\mathbb{M}, d) > 0$. Consequently,

$$\begin{aligned} \dim_H(\mathbb{M}, d, \varepsilon, \Theta) &= \sup\{s \geq 0 : H_\varepsilon^s(\mathbb{M}, d) \geq \Theta\} \leq \sup\{s \geq 0 : H^s(\mathbb{M}, d) > 0\} \\ &= \dim_H^*(\mathbb{M}, d). \end{aligned}$$

Taking the limit as $\varepsilon \rightarrow 0$, we obtain that

$$\dim_H^\Theta(\mathbb{M}, d) \leq \dim_H^*(\mathbb{M}, d). \tag{2.2}$$

Next, notice that, if $\dim_H^*(\mathbb{M}, d) = 0$, then $\dim_H^\Theta(\mathbb{M}, d) = 0$. Suppose that $\dim_H^*(\mathbb{M}, d) > 0$. From the definition, for each $\delta > 0$ there exists $s_\delta > 0$ such that

$$\dim_H^*(\mathbb{M}, d) - \delta < s_\delta \leq \dim_H^*(\mathbb{M}, d) \quad \text{and} \quad H^{s_\delta}(\mathbb{M}, d) = \infty.$$

Thus, there exists $\varepsilon_0 > 0$ such that $H_\varepsilon^{s_\delta}(\mathbb{M}, d) \geq \Theta$, for every $0 < \varepsilon < \varepsilon_0$. Hence,

$$\dim_H(\mathbb{M}, d, \varepsilon, \Theta) \geq s_\delta > \dim_H^*(\mathbb{M}, d) - \delta.$$

Taking the limits as $\varepsilon \rightarrow 0$ and $\delta \rightarrow 0$, we conclude that

$$\dim_H^\Theta(\mathbb{M}, d) \geq \dim_H^*(\mathbb{M}, d). \tag{2.3}$$

From (2.2) and (2.3) we have that $\dim_H^\Theta(\mathbb{M}, d)$ is independent of $\Theta > 0$ and furthermore

$$\dim_H(\mathbb{M}, d) = \dim_H^\Theta(\mathbb{M}, d) = \dim_H^*(\mathbb{M}, d),$$

as we want to prove. □

Lemma 2.3 *Suppose that (\mathbb{M}, d) is a compact space. For $s \geq 0$ and $\varepsilon > 0$, set*

$$\begin{aligned} B_\varepsilon^s(\mathbb{M}, d) &= \inf \left\{ \sum_{n=1}^m (\text{diam}(B_n))^s : \{B_n\}_{n=1}^m \text{ is a cover of } \mathbb{M} \text{ by open balls with} \right. \\ &\quad \left. \text{diam}(B_n) \leq \varepsilon \right\}. \end{aligned}$$

Setting

$$\dim_H^*(\mathbb{M}, d, \varepsilon) = \sup\{s \geq 0 : B_\varepsilon^s(\mathbb{M}, d) \geq 1\},$$

we have that

$$\dim_H(\mathbb{M}, d) = \lim_{\varepsilon \rightarrow 0} \dim_H^*(\mathbb{M}, d, \varepsilon).$$

Proof We can prove that

$$H_\varepsilon^s(\mathbb{M}, d) \leq B_\varepsilon^s(\mathbb{M}, d) \leq 2^s H_{\varepsilon/2}^s(\mathbb{M}, d) \tag{2.4}$$

(see [9], Section 2.4). It follows from the first inequality in (2.4) that

$$\dim_H(\mathbb{M}, d, \varepsilon) \leq \dim_H^*(\mathbb{M}, d, \varepsilon). \tag{2.5}$$

Next, if t is such that $1 \leq B_\varepsilon^t(\mathbb{M}, d)$, then by (2.4) we have $\frac{1}{2^t} \leq H_{\varepsilon/2}^t(\mathbb{M}, d)$. Therefore,

$$\dim_H^*(\mathbb{M}, d, \varepsilon) \leq \dim_H(\mathbb{M}, d, \varepsilon/2, 1/2^t). \tag{2.6}$$

From (2.5), (2.6) and Lemma 2.2, we have that

$$\dim_H(\mathbb{M}, d) = \lim_{\varepsilon \rightarrow 0} \dim_H^*(\mathbb{M}, d, \varepsilon),$$

as we want to prove. □

Definition 2.4 The *upper mean Hausdorff dimension* and *lower mean Hausdorff dimension* of (\mathbb{M}, d, f) are defined respectively as

$$\begin{aligned} \overline{\text{mdim}}_H(\mathbb{M}, d, f) &= \lim_{\varepsilon \rightarrow 0} \left(\limsup_{n \rightarrow \infty} \frac{1}{n} \dim_H(\mathbb{M}, d_n, \varepsilon) \right) \\ &= \lim_{\varepsilon \rightarrow 0} \left(\limsup_{n \rightarrow \infty} \frac{1}{n} \dim_H^*(\mathbb{M}, d_n, \varepsilon) \right), \\ \underline{\text{mdim}}_H(\mathbb{M}, d, f) &= \lim_{\varepsilon \rightarrow 0} \left(\liminf_{n \rightarrow \infty} \frac{1}{n} \dim_H(\mathbb{M}, d_n, \varepsilon) \right) \\ &= \lim_{\varepsilon \rightarrow 0} \left(\liminf_{n \rightarrow \infty} \frac{1}{n} \dim_H^*(\mathbb{M}, d_n, \varepsilon) \right) \end{aligned}$$

(see [18], Section 3).

Remark 2.5 Denote by $\text{mdim}(\mathbb{M}, f)$ the mean dimension of a continuous map $f : \mathbb{M} \rightarrow \mathbb{M}$ (see [16]). The inequalities

$$\begin{aligned} \text{mdim}(\mathbb{M}, f) &\leq \underline{\text{mdim}}_H(\mathbb{M}, d, f) \leq \overline{\text{mdim}}_H(\mathbb{M}, d, f) \leq \underline{\text{mdim}}_M(\mathbb{M}, d, f) \\ &\leq \overline{\text{mdim}}_M(\mathbb{M}, d, f) \end{aligned}$$

always hold (see [18]).

Recently, in [17], the authors introduce the concepts of mean packing dimension and mean pseudo-packing dimension for dynamical systems. They proved that the mean Hausdorff dimension of a dynamical system is lower than its mean packing dimension and its mean pseudo-packing dimension. Hence, the mean Hausdorff dimension remains a more accurate approximation of the mean topological dimension.

3 Some Fundamental Properties of the Mean Hausdorff Dimension

Let $f : \mathbb{M} \rightarrow \mathbb{M}$ be a continuous map, and let $A \subset \mathbb{M}$ be a non-empty closed subset that is invariant under f . It is straightforward to observe that:

$$\overline{\text{mdim}}_{\mathbb{H}}(A, d, f|_A) \leq \overline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}, d, f) \quad \text{and} \quad \underline{\text{mdim}}_{\mathbb{H}}(A, d, f|_A) \leq \underline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}, d, f).$$

Next, it is well-known that for any $p \in \mathbb{N}$, we have

$$\text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f^p) \leq p \text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f).$$

In [1], Corollary 3.4 provides a formula for $\text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f^p)$ for a certain class of continuous maps on the interval (see Remark 4.3). For the mean Hausdorff dimension, similar relationships apply.

Proposition 3.1 *Let $f : \mathbb{M} \rightarrow \mathbb{M}$ be a continuous map. For any $p \in \mathbb{N}$, we have*

$$\frac{\underline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}, d, f^p)}{\text{mdim}_{\mathbb{H}}(\mathbb{M}, d, f^p)} \leq p \frac{\underline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}, d, f)}{\overline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}, d, f)} \quad \text{and} \quad \frac{\overline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}, d, f^p)}{\text{mdim}_{\mathbb{H}}(\mathbb{M}, d, f^p)} \leq p \frac{\overline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}, d, f)}{\underline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}, d, f)}.$$

Proof For any positive integer m , we know that

$$\max_{0 \leq j < m} d(f^{jp}(x), f^{jp}(y)) \leq \max_{0 \leq j < mp} d(f^j(x), f^j(y)).$$

Hence, for each $s \geq 0$ and $\varepsilon > 0$, we have

$$\begin{aligned} H_{\varepsilon}^s(\mathbb{M}, d_m, f^p) &= \inf \left\{ \sum_{n=1}^{\infty} (\text{diam}_{d_{m,f^p}} E_n)^s : \mathbb{M} = \bigcup_{n=1}^{\infty} E_n \text{ with } \text{diam}_{d_{m,f^p}} E_n < \varepsilon \text{ for all } n \geq 1 \right\} \\ &\leq \inf \left\{ \sum_{n=1}^{\infty} (\text{diam}_{d_{mp,f}} E_n)^s : \mathbb{M} = \bigcup_{n=1}^{\infty} E_n \text{ with } \text{diam}_{d_{mp,f}} E_n < \varepsilon \text{ for all } n \geq 1 \right\} \\ &= H_{\varepsilon}^s(\mathbb{M}, d_{mp}, f), \end{aligned}$$

where $\text{diam}_{d_{m,f}}$ represents the diameter with respect to the dynamic metric d_m associated to f . Therefore,

$$\dim_{\mathbb{H}}(\mathbb{M}, d_m, \varepsilon, f^p) \leq \dim_{\mathbb{H}}(\mathbb{M}, d_{mp}, \varepsilon, f)$$

and hence

$$\limsup_{m \rightarrow \infty} \frac{1}{m} \dim_{\mathbb{H}}(\mathbb{M}, d_m, \varepsilon, f^p) \leq p \limsup_{m \rightarrow \infty} \frac{1}{mp} \dim_{\mathbb{H}}(\mathbb{M}, d_{mp}, \varepsilon, f).$$

This fact proves the proposition. □

Next, consider two continuous maps $f : \mathbb{M} \rightarrow \mathbb{M}$ and $g : \mathbb{E} \rightarrow \mathbb{E}$, where (\mathbb{M}, d) and (\mathbb{E}, d') are compact metric spaces. We will endow the product space $\mathbb{M} \times \mathbb{E}$ with the metric

$$(d \times d')((x_1, y_1), (x_2, y_2)) = \max\{d(x_1, x_2), d'(y_1, y_2)\}, \tag{3.1}$$

for $x_1, x_2 \in \mathbb{M}$ and $y_1, y_2 \in \mathbb{E}$. This metric is uniformly equivalent to (see Remark 5.1) the both metrics

$$\begin{aligned} (d \times d')^*((x_1, y_1), (x_2, y_2)) &= d(x_1, x_2) + d'(y_1, y_2), \text{ for } x_1, x_2 \in \mathbb{M} \text{ and } y_1, y_2 \in \mathbb{E}. \\ \overline{(d \times d')}((x_1, y_1), (x_2, y_2)) &= \sqrt{d(x_1, x_2)^2 + d'(y_1, y_2)^2}, \text{ for } x_1, x_2 \in \mathbb{M} \text{ and } y_1, y_2 \in \mathbb{E}. \end{aligned}$$

It is well known that

$$\dim_{\mathbb{H}}(\mathbb{M} \times \mathbb{E}) \geq \dim_{\mathbb{H}}(\mathbb{M}) + \dim_{\mathbb{H}}(\mathbb{E})$$

(see [9], Chapter 7). In Proposition 3.4 we will prove the analog result for mean Hausdorff dimension. We will use the next lemmas.

Lemma 3.2 *Let (\mathbb{M}, d) be a compact metric space and $\varepsilon > 0$. Suppose there is a Borel measure μ on (\mathbb{M}, d) such that $\mu(\mathbb{M}) \geq 1$ and for any open ball E_i with $\text{diam}_d E_i \leq \varepsilon$, we have that*

$$\mu(E_i) \leq (\text{diam}_d(E_i))^s \text{ for any } i \geq 1.$$

Then,

$$\dim_{\mathbb{H}}^*(\mathbb{M}, d, \varepsilon) \geq s.$$

Proof Fix $\varepsilon > 0$ and take a finite cover $\{E_i\}_{i=1}^m$ of \mathbb{M} , by balls E_i with $\text{diam}_d(E_i) \leq \varepsilon$. We have that

$$\sum_{i=1}^m (\text{diam}_d(E_i))^s \geq \sum_{i=1}^m \mu(E_i) \geq \mu\left(\bigcup_{k=1}^m E_i\right) = \mu(\mathbb{M}) = 1. \tag{3.2}$$

Hence, $B_{\varepsilon}^s(\mathbb{M}, d) \geq 1$ and therefore $\dim_{\mathbb{H}}^*(\mathbb{M}, d, \varepsilon) \geq s$ (see Lemma 2.3). □

The Lemma 3.2 is an adaption of the *Mass Distribution Principle* (see [9], Chapter 4), which states that if there is a mass distribution μ on (\mathbb{M}, d) and for some s there are numbers $c > 0$ and $\varepsilon > 0$ such that $\mu(E_i) \leq c(\text{diam}_d(E_i))^s$ for any set E_i with $\text{diam}_d(E_i) \leq \varepsilon$, we have that

$$\dim_{\mathbb{H}}(\mathbb{M}, d) \geq s.$$

We choose the version in Lemma 3.2, because it is more compatible with the definition of mean Hausdorff dimension used in this work.

Lemma 3.3 *Let $c \in (0, 1)$. There exists $\varepsilon_0 = \varepsilon_0(c) \in (0, 1)$ depending only on c and such that: for any compact metric space (\mathbb{M}, d) and $0 < \varepsilon \leq \varepsilon_0$ there exists a Borel probability measure μ on (\mathbb{M}, d) such that*

$$\mu(E) \leq (\text{diam}_d(E))^{c \dim_H(\mathbb{M}, d, \varepsilon)}$$

for all $E \subset \mathbb{M}$ with $\text{diam}_d(E) < \frac{\varepsilon}{6}$.

Proof See [18], Lemma 4.5. □

Proposition 3.4 *Take two continuous maps $f : \mathbb{M} \rightarrow \mathbb{M}$ and $g : \mathbb{E} \rightarrow \mathbb{E}$. On $\mathbb{M} \times \mathbb{E}$ consider the metric given in (3.1). We have:*

$$\underline{\text{mdim}}_H(\mathbb{M} \times \mathbb{E}, d \times d', f \times g) \geq \underline{\text{mdim}}_H(\mathbb{M}, d, f) + \underline{\text{mdim}}_H(\mathbb{E}, d', g).$$

Proof First, we will prove for any $0 < c < 1$ there is $\delta_0 = \delta_0(c) \in (0, 1)$ such that, for all $\delta \in (0, \delta_0]$, we have

$$\dim_H(\mathbb{M} \times \mathbb{E}, d \times d', \delta/6) \geq c(\dim_H(\mathbb{M}, d, \delta) + \dim_H(\mathbb{E}, d', \delta)).$$

Fix $0 < c < 1$. It follows from Lemma 3.3 that there is $\delta_0 = \delta_0(c) \in (0, 1)$ such that for all $\delta \in (0, \delta_0]$ there are Borel probabilities measures μ and ν in (\mathbb{M}, d) and (\mathbb{E}, d') , respectively, satisfying

$$\mu(M) \leq (\text{diam}_d(M))^{c \dim_H(\mathbb{M}, d, \delta)} \quad \text{and} \quad \nu(E) \leq (\text{diam}_{d'}(E))^{c \dim_H(\mathbb{E}, d', \delta)}$$

for all $M \subset \mathbb{M}$ and $E \subset \mathbb{E}$ with $\text{diam}_d(M) < \frac{\delta}{6}$ and $\text{diam}_{d'}(E) < \frac{\delta}{6}$. Observe that

$$\text{diam}_{d \times d'}(M \times E) \geq \max(\text{diam}_d(M), \text{diam}_{d'}(E)).$$

If B is a ball in $\mathbb{M} \times \mathbb{E}$ with the metric (3.1), then $B = M \times E$, where $M \subseteq \mathbb{M}$ and $E \subseteq \mathbb{E}$. Next, for all $M \times E \subseteq \mathbb{M} \times \mathbb{E}$ such that $\text{diam}_{d \times d'}(M \times E) < \frac{\delta}{6}$, we have

$$\begin{aligned} (\mu \times \nu)(M \times E) &= \mu(M)\nu(E) \leq (\text{diam}_d(M))^{c \dim_H(\mathbb{M}, d, \delta)} (\text{diam}_{d'}(E))^{c \dim_H(\mathbb{E}, d', \delta)} \\ &\leq (\text{diam}_{d \times d'}(M \times E))^{c \dim_H(\mathbb{M}, d, \delta)} (\text{diam}_{d \times d'}(M \times E))^{c \dim_H(\mathbb{E}, d', \delta)} \\ &= (\text{diam}_{d \times d'}(M \times E))^{c(\dim_H(\mathbb{M}, d, \delta) + \dim_H(\mathbb{E}, d', \delta))}. \end{aligned}$$

By Lemma 3.2, we get

$$\dim_H(\mathbb{M} \times \mathbb{E}, d \times d', \delta/6) \geq c(\dim_H(\mathbb{M}, d, \delta) + \dim_H(\mathbb{E}, d', \delta)).$$

Next, for each $k \geq 1$, take $c_k \in (0, 1)$ such that $c_k \rightarrow 1$ as $k \rightarrow \infty$. It follows from the above fact there is a $\delta_k(c_k) = \delta_k \in (0, 1)$ such that $\delta_k \rightarrow 0$ as $k \rightarrow \infty$ and

$$\dim_H(\mathbb{M} \times \mathbb{E}, (d \times d')_n, \delta_k/6) \geq c_k(\dim_H(\mathbb{M}, d_n, \delta_k) + \dim_H(\mathbb{E}, d'_n, \delta_k)),$$

for all $n, k \in \mathbb{N}$. Hence, for each k, n , we have

$$\frac{1}{n} \dim_{\mathbb{H}}(\mathbb{M} \times \mathbb{E}, (d \times d')_n, \delta_k/6) \geq \frac{c_k}{n} (\dim_{\mathbb{H}}(\mathbb{M}, d_n, \delta_k) + \dim_{\mathbb{H}}(\mathbb{E}, d'_n, \delta_k)).$$

Therefore, taking the limit infimum as $n \rightarrow \infty$ and the limit as $k \rightarrow \infty$, we have

$$\underline{\text{mdim}}_{\mathbb{H}}(\mathbb{M} \times \mathbb{E}, d \times d', f \times g) \geq \underline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}, d, f) + \underline{\text{mdim}}_{\mathbb{H}}(\mathbb{E}, d', g),$$

which proves the result. □

Let $\mathbb{K} = \mathbb{N}$ or \mathbb{Z} . For $\bar{x} = (x_k), \bar{y} = (y_k) \in \mathbb{M}^{\mathbb{K}}$, set

$$\mathbf{d}(\bar{x}, \bar{y}) = \sum_{j \in \mathbb{K}} \frac{1}{2^{|j|}} d(x_k, y_k). \tag{3.3}$$

Let $\sigma : \mathbb{M}^{\mathbb{K}} \rightarrow \mathbb{M}^{\mathbb{K}}$ be the left shift map. In [16], Theorem 3.1 proves that $\text{mdim}(\mathbb{M}^{\mathbb{K}}, \sigma) \leq \dim(\mathbb{M})$. This inequality can be strict (see [23]).

Furthermore, in [24] it is proved that

$$\underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma) = \underline{\text{dim}}_{\mathbb{B}}(\mathbb{M}, d) \quad \text{and} \quad \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma) = \overline{\text{dim}}_{\mathbb{B}}(\mathbb{M}, d).$$

We address these facts for the case of the mean Hausdorff dimension. We will need the following lemma:

Lemma 3.5 *Let $\sigma : \mathbb{M}^{\mathbb{K}} \rightarrow \mathbb{M}^{\mathbb{K}}$ be the left shift map, with $\mathbb{K} = \mathbb{N}$ or \mathbb{Z} . Let \mathcal{T} be the set consisting of all finite open cover $\{C_i\}_{i=1}^m$ of $\mathbb{M}^{\mathbb{K}}$, such that each C_i has the form $C_i = A_{i,1} \times A_{i,2} \times \dots \times A_{i,\beta} \times \mathbb{M} \times \mathbb{M} \times \dots$ and $A_{i,j}$ is an open subset of \mathbb{M} , for $i = 1, \dots, m, j = 1, \dots, \beta$. For every $s \geq 0$ and $\varepsilon > 0$, set*

$$P_{\varepsilon}^s(\mathbb{M}^{\mathbb{K}}, \mathbf{d}_n) = \inf_{\{C_i\}_{i=1}^m \in \mathcal{T}} \left\{ \sum_{i=1}^m (\text{diam}_{\mathbf{d}_n}(C_i))^s : \mathbb{M}^{\mathbb{K}} = \bigcup_{i=1}^m C_i \text{ with } \text{diam}_{\mathbf{d}_n}(C_i) < \varepsilon \right\}.$$

Let $\Theta > 0$ and set

$$\dim_{\mathbb{H}}^{\bullet}(\mathbb{M}, \mathbf{d}_n, \varepsilon, \Theta) = \sup \left\{ s \geq 0 : P_{\varepsilon}^s(\mathbb{M}^{\mathbb{K}}, \mathbf{d}_n) \geq \Theta \right\}.$$

We have that

$$\underline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma) = \lim_{\varepsilon \rightarrow 0} \left(\liminf_{n \rightarrow \infty} \frac{1}{n} \dim_{\mathbb{H}}^{\bullet}(\mathbb{M}, \mathbf{d}_n, \varepsilon, \Theta) \right) \tag{3.4}$$

and

$$\overline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma) = \lim_{\varepsilon \rightarrow 0} \left(\limsup_{n \rightarrow \infty} \frac{1}{n} \dim_{\mathbb{H}}^{\bullet}(\mathbb{M}, \mathbf{d}_n, \varepsilon, \Theta) \right). \tag{3.5}$$

Proof Clearly we have that

$$\underline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma) \geq \lim_{\varepsilon \rightarrow 0} \left(\liminf_{n \rightarrow \infty} \frac{1}{n} \dim_{\mathbb{H}}^{\bullet}(\mathbb{M}, \mathbf{d}_n, \varepsilon, \Theta) \right)$$

and

$$\overline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma) \geq \lim_{\varepsilon \rightarrow 0} \left(\limsup_{n \rightarrow \infty} \frac{1}{n} \dim_{\mathbb{H}}^{\bullet}(\mathbb{M}, \mathbf{d}_n, \varepsilon, \Theta) \right).$$

Next, we can prove that

$$B_{\varepsilon}^s(\mathbb{M}^{\mathbb{K}}, \mathbf{d}_n) \leq 2^s P_{\varepsilon/2}^s(\mathbb{M}^{\mathbb{K}}, \mathbf{d}_n)$$

(see [9], Section 2.4). From this fact (see (2.6)), we can show that there exists $\Theta > 0$ such that

$$\dim_{\mathbb{H}}^{\star}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}_n, \varepsilon) \leq \dim_{\mathbb{H}}^{\bullet}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}_n, \varepsilon/2, \Theta).$$

From the above results, we have that (3.4) and (3.5) are valid for any $\Theta > 0$. \square

Theorem 3.6 *Let $\sigma : \mathbb{M}^{\mathbb{K}} \rightarrow \mathbb{M}^{\mathbb{K}}$ be the left shift map, with $\mathbb{K} = \mathbb{N}$ or \mathbb{Z} . For any metric d on \mathbb{M} , we have that*

$$\dim_{\mathbb{H}}(\mathbb{M}, d) \leq \underline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma) \leq \overline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma) \leq \underline{\text{dim}}_{\mathbb{B}}(\mathbb{M}, d).$$

Proof The second inequality is immediate from the definition. Next, in [24] it is proved that $\underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma) = \underline{\text{dim}}_{\mathbb{B}}(\mathbb{M}, d)$. Hence, the third inequality from the theorem follows from the fact that $\underline{\text{mdim}}_{\mathbb{H}}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma) \leq \underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma)$ (see Remark 2.5).

We will prove the first inequality for $\mathbb{K} = \mathbb{N}$ (the case $\mathbb{K} = \mathbb{Z}$ can be proved analogously). For each $k \geq 1$, take $c_k \in (0, 1)$ such that $c_k \rightarrow 1$ as $k \rightarrow \infty$. It follows from Lemma 3.3 that, for each $k \geq 1$, there exists a $\delta_k = \delta_k(c_k) \in (0, 1)$, such that $\delta_k \rightarrow 0$ as $k \rightarrow \infty$, for which there is a Borel probability measure μ on (\mathbb{M}, d) such that

$$\mu(E) \leq (\text{diam}_d(E))^{c_k \dim_{\mathbb{H}}(\mathbb{M}, d, \delta_k)}$$

for all $E \subset \mathbb{M}$ with $\text{diam}_d(E) < \frac{\delta_k}{6}$.

Next, we will consider the Borel probability measure $\tilde{\mu} = \mu^{\mathbb{N}}$ on $\mathbb{M}^{\mathbb{N}}$. Let $\{C_i\}_{i=1}^m$ be a finite open cover of $\mathbb{M}^{\mathbb{N}}$ with the form $C_i = A_{i,1} \times A_{i,2} \times \dots \times A_{i,\beta} \times \mathbb{M} \times \mathbb{M} \times \dots$, where $A_{i,j}$ is an open subset of \mathbb{M} , for all $1 \leq j \leq \beta$. We will suppose that $\text{diam}_{\mathbf{d}_n}(C_i) < \frac{\delta_k}{6(2^{\beta})}$, for all $i = 1, \dots, \beta$. In this case, we must have that $\text{diam}_d(A_{i,j}) < \frac{\delta_k}{6}$, for $i = 1, \dots, m, j = 1, \dots, \beta$ and $\beta \gg n$. Therefore, for all C_i , we have that

$$\begin{aligned}
 \tilde{\mu}(C_i) &= \mu(A_{i,1})\mu(A_{i,2}) \cdots \mu(A_{i,\beta}) \\
 &\leq (\text{diam}_d(A_{i,1}))^{c_k \dim_H(\mathbb{M}, d, \delta_k)} \cdots (\text{diam}_d(A_{i,\beta}))^{c_k \dim_H(\mathbb{M}, d, \delta_k)} \\
 &\leq (\text{diam}_d(A_{i,1}))^{c_k \dim_H(\mathbb{M}, d, \delta_k)} \cdots (\text{diam}_d(A_{i,n}))^{c_k \dim_H(\mathbb{M}, d, \delta_k)} \\
 &\leq (\text{diam}_{\mathbf{d}_n}(C_i))^{c_k n \dim_H(\mathbb{M}, d, \delta_k)}.
 \end{aligned}$$

From this fact, we can to prove that

$$\frac{1}{n} \dim_{\mathbb{H}}^{\bullet}(\mathbb{M}^{\mathbb{N}}, \mathbf{d}_n, \delta_k/6(2^{\beta})) \geq c_k \dim_H(\mathbb{M}, d, \delta_k)$$

(see (3.2)), where $c_k \rightarrow 1$ and $\delta_k \rightarrow 0$ as $k \rightarrow \infty$. The theorem follows from Lemma 3.5. □

Conjecture. We conjecture that for any compact metric space \mathbb{M} we have that

$$\underline{\text{mdim}}_H(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma) = \dim_H(\mathbb{M}, d).$$

Next, for any continuous map $f: \mathbb{M} \rightarrow \mathbb{M}$, we have

$$\underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f) \leq \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f) \leq \underline{\text{dim}}_{\mathbb{B}}(\mathbb{M}, d)$$

(see [24]). Consequently, from Remark 2.5, we have

$$\underline{\text{mdim}}_H(\mathbb{M}, d, f) \leq \overline{\text{mdim}}_H(\mathbb{M}, d, f) \leq \underline{\text{dim}}_{\mathbb{B}}(\mathbb{M}, d).$$

The next corollary follows from Theorem 3.6.

Corollary 3.7 *Suppose that $\dim_H(\mathbb{M}, d) = \underline{\text{dim}}_{\mathbb{B}}(\mathbb{M}, d)$, then:*

- $\underline{\text{mdim}}_H(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma) = \overline{\text{mdim}}_H(\mathbb{M}^{\mathbb{K}}, \mathbf{d}, \sigma) = \dim_H(\mathbb{M}, d)$.
- For any $f \in C^0(\mathbb{M})$ we have $\underline{\text{mdim}}_H(\mathbb{M}, d, f) \leq \overline{\text{mdim}}_H(\mathbb{M}, d, f) \leq \dim_H(\mathbb{M}, d)$.

4 Some Examples Changing the Metric

In this section, we will calculate the metric mean dimension of several continuous maps changing the metric on \mathbb{M} . For any homeomorphism $h: \mathbb{M} \rightarrow \mathbb{M}$, take the metric $d_h \in \mathbb{M}(\tau)$ defined by

$$d_h(x, y) = d(h(x), h(y)) \quad \text{for all } x, y \in \mathbb{M}. \tag{4.1}$$

Next, take $g : \mathbb{M} \rightarrow \mathbb{M}$ given by $g(x) = h \circ f \circ h^{-1}(x)$, for all $x \in \mathbb{M}$, where $f : \mathbb{M} \rightarrow \mathbb{M}$ is a fixed continuous map. We have that the map $h : (\mathbb{M}, d_h) \rightarrow (\mathbb{M}, d)$ is an isometry. Therefore, for any homeomorphism $h : \mathbb{M} \rightarrow \mathbb{M}$ we have

$$\text{mdim}_{\mathbb{M}}(\mathbb{M}, d_h, f) = \text{mdim}_{\mathbb{M}}(\mathbb{M}, d, h \circ f \circ h^{-1}) = \text{mdim}_{\mathbb{M}}(\mathbb{M}, d, g)$$

and

$$\text{mdim}_{\mathbb{H}}(\mathbb{M}, d_h, f) = \text{mdim}_{\mathbb{H}}(\mathbb{M}, d, h \circ f \circ h^{-1}) = \text{mdim}_{\mathbb{H}}(\mathbb{M}, d, g).$$

Consequently,

$$\text{mdim}_{\mathbb{M}}(\mathbb{M}, d_h, f) \in [0, \dim_{\mathbb{B}}(\mathbb{M}, d)] \quad \text{and} \quad \text{mdim}_{\mathbb{H}}(\mathbb{M}, d_h, f) \in [0, \dim_{\mathbb{B}}(\mathbb{M}, d)].$$

Since the metric mean dimension depends on the metric, we can have two topologically conjugate dynamical systems with different metric mean dimension, as we will see in the next example (see [1, 14, 24]).

Example 4.1 For any closed interval J , let $T_J : J \rightarrow [0, 1]$ be the unique increasing affine map from J onto $[0, 1]$. Set $g(x) = |1 - |3x - 1||$ for any $x \in [0, 1]$. Fix $r \in (0, \infty)$ and $s \in \mathbb{N}$.

For any $n \geq 1$, set $a_0 = 0$, $a_n = \sum_{i=0}^{n-1} \frac{A}{3^{ir}}$ and take $I_n = [a_{n-1}, a_n]$, where $A = \frac{1}{\sum_{i=0}^{\infty} \frac{1}{3^{ir}}} = \frac{3^r - 1}{3^r}$. Next, take $\phi_{s,r} \in C^0([0, 1])$, given by $\phi_{s,r}|_{I_n} = T_{I_n}^{-1} \circ g^{sn} \circ T_{I_n}$ for any $n \geq 1$. We have (see [2, Example 2.5], [1, Example 3.1] and [24, Lemma 6])

$$\text{mdim}_{\mathbb{H}}([0, 1], |\cdot|, \phi_{s,r}) = \text{mdim}_{\mathbb{M}}([0, 1], |\cdot|, \phi_{s,r}) = \frac{s}{r + s}.$$

For a fixed s and any $r_1, r_2 \in (0, \infty)$, we have ϕ_{s,r_1} and ϕ_{s,r_2} are topologically conjugate by a conjugacy $h_{1,2} : [0, 1] \rightarrow [0, 1]$ (see [1], Remark 3.2), such that

$$\phi_{s,r_1} = h_{1,2} \circ \phi_{s,r_2} \circ h_{1,2}^{-1}.$$

Hence,

$$\text{mdim}_{\mathbb{M}}([0, 1], d_{h_{1,2}}, \phi_{s,r_2}) = \frac{s}{r_1 + s} \neq \frac{s}{r_2 + s} = \text{mdim}_{\mathbb{M}}([0, 1], |\cdot|, \phi_{s,r_2}),$$

where $d_{h_{1,2}}$ is defined in 4.1. The same fact holds for the mean Hausdorff dimension.

Next, for $n \geq 1$, set $J_n = [2^{-n}, 2^{-n+1}]$. Take $\varphi_s \in C^0([0, 1])$, given by $\varphi_s|_{J_n} = T_{J_n}^{-1} \circ g^{sn} \circ T_{J_n}$ for any $n \geq 1$. We can prove that

$$\text{mdim}_{\mathbb{H}}([0, 1], |\cdot|, \varphi_s) = \text{mdim}_{\mathbb{M}}([0, 1], |\cdot|, \varphi_s) = 0$$

(see [1, Theorem 3.3]). Note that, for any $s \in \mathbb{N}$ and $r \in (0, \infty)$, φ_s and $\phi_{r,s}$ are topologically conjugate by a topological conjugacy $h : [0, 1] \rightarrow [0, 1]$ such that $\varphi_s = h \circ \phi_{s,r} \circ h^{-1}$. Hence,

$$\text{mdim}_H([0, 1], d_h, \phi_{s,r}) = \text{mdim}_M([0, 1], d_h, \phi_{s,r}) = \text{mdim}_M([0, 1], |\cdot|, \varphi_s) = 0.$$

Finally, let $b_0 = 0$ and $b_n = \sum_{i=1}^n \frac{6}{\pi^2 i^2}$ for any $n \geq 1$. Take $K_n = [b_{n-1}, b_n]$. Let $\psi_s \in C^0([0, 1])$ be defined by $\psi_s|_{K_n} = T_{K_n}^{-1} \circ g^{s^n} \circ T_{K_n}$ for any $n \geq 1$. We have that (see [1, Example 3.5] and [2, Example 2.6])

$$\text{mdim}_H([0, 1], |\cdot|, \psi_s) = \text{mdim}_M([0, 1], |\cdot|, \psi_s) = 1.$$

Note that, for any $s \in \mathbb{N}$ and $r \in (0, \infty)$, ψ_s and $\phi_{r,s}$ are topologically conjugate by a topological conjugacy $j : [0, 1] \rightarrow [0, 1]$ such that $\psi_s = j \circ \phi_{s,r} \circ j^{-1}$. Hence,

$$\text{mdim}_H([0, 1], d_j, \phi_{s,r}) = \text{mdim}_M([0, 1], d_j, \phi_{s,r}) = \text{mdim}_M([0, 1], |\cdot|, \psi_s) = 1.$$

Remark 4.2 Let \mathcal{M} be the subset of $C^0([0, 1])$ consisting of each map f such that for some closed subinterval $K \subseteq [0, 1]$, $f|_K : K \rightarrow K$ is such that $f = T_K^{-1} \circ \psi \circ T_K$, where ψ is one of the maps defined in Example 4.1 (that is, $\phi_{s,r}$, or φ_s , or ψ_s), and $f|_{K^c} : K^c \rightarrow K^c$ is a piecewise C^1 -map. \mathcal{M} is dense in $C^0([0, 1])$ (see [1, 6]). Note that for each $f \in \mathcal{M}$ and $a \in [0, 1]$, based on Example 4.1, it is possible to construct an explicit metric d_a on $[0, 1]$ such that

$$\text{mdim}_H([0, 1], d_a, f) = \text{mdim}_M([0, 1], d_a, f) = a.$$

Remark 4.3 In Example 4.1, note that $\phi_{s,r} = \phi_{1,r}^s$ for any $s \in \mathbb{N}$ and $r \in (0, \infty)$. Hence,

$$\text{mdim}_M([0, 1], |\cdot|, \phi_{1,r}^s) = \frac{s \text{mdim}_M([0, 1], |\cdot|, \phi_{1,r})}{1 + (s - 1)\text{mdim}_M([0, 1], |\cdot|, \phi_{1,r})}.$$

The same fact holds for the mean Hausdorff dimension.

Let

$$C = \{(x_1, x_2, \dots) : x_n = 0, 2 \text{ for } n \in \mathbb{N}\} = \{0, 2\}^{\mathbb{N}}$$

be the Cantor set. For a fixed $\alpha \in (1, \infty)$, consider the metric

$$d_\alpha(\bar{x}, \bar{y}) = \sum_{n \in \mathbb{N}} \alpha^{-n} |x_n - y_n|, \quad \text{for any } \bar{x} = (x_n)_{n \in \mathbb{N}}, \bar{y} = (y_n)_{n \in \mathbb{N}} \in C.$$

We have that $\dim_B(C, d_\alpha) = \frac{\log 2}{\log \alpha}$ (see [10, Proposition III.1 or [9], page 31]). Therefore, for any $\varphi \in C^0(C)$, we have from Remark 2.5 that

$$\underline{\text{mdim}}_M(C, d_\alpha, \varphi) \leq \overline{\text{mdim}}_M(C, d_\alpha, \varphi) \leq \dim_B(C, d_\alpha) = \frac{\log 2}{\log \alpha}. \tag{4.2}$$

For any $k \geq 1$, set

$$C_k = \{(x_n)_{n=1}^\infty : x_i = 0 \text{ for } i \leq k - 1, x_k = 2 \text{ and } x_n \in \{0, 2\} \text{ for } n \geq k + 1\}.$$

Note that if $k \neq s$, then $C_k \cap C_s = \emptyset$ and $C \setminus \bigcup_{k=1}^\infty C_k = \{(0, 0, \dots)\}$. Furthermore, each C_k is a clopen subset homeomorphic to C via the homeomorphism

$$T_k : C_k \rightarrow C, \quad \left(\underbrace{0, \dots, 0}_{(k-1)\text{-times}}, 2, x_1, x_2, \dots \right) \mapsto (x_1, x_2, \dots),$$

which is Lipschitz.

Example 4.4 For $j \in \mathbb{N}$, consider $\psi_j : (C, \mathbf{d}_\alpha) \rightarrow (C, \mathbf{d}_\alpha)$ defined as $\psi_j(0, 0, \dots) = (0, 0, \dots)$ and $\psi_j|_{C_k} = T_k^{-1} \sigma^{jk} T_k$ for $k \geq 1$, where $\sigma : C \rightarrow C$ is the left shift map. In [1], Proposition 5.1, it is proven that if $\alpha = 3$, then

$$\text{mdim}_M(C, \mathbf{d}_3, \psi_j) = \frac{j \log 2}{(j + 1) \log 3}.$$

Following the same steps, we will prove that

$$\text{mdim}_M(C, \mathbf{d}_\alpha, \psi_j) = \frac{j \log 2}{(j + 1) \log \alpha} \quad \text{for any } \alpha > 1.$$

Take $\varepsilon > 0$. For any $k \geq 1$, set $\varepsilon_k = \alpha^{-k(j+1)}$. There exists $k \geq 1$ such that $\varepsilon \in [\varepsilon_{k+1}, \varepsilon_k]$. For $n \geq 1$ and $k \geq 1$, take $\bar{z}_1 = (z_1^1, \dots, z_{jk}^1), \dots, \bar{z}_n = (z_1^n, \dots, z_{jk}^n)$, with $z_i^s \in \{0, 2\}$, and set

$$\begin{aligned} A_{\bar{z}_1, \dots, \bar{z}_n}^k &= \left\{ \left(\underbrace{0, \dots, 0}_{(k-1)\text{-times}}, 2, z_1^1, \dots, z_{jk}^1, \dots, z_1^n, \dots, z_{jk}^n, x_1, \dots, x_s, \dots \right) : x_i \in \{0, 2\} \right\} \\ &\subseteq C_k. \end{aligned}$$

Note that if $A_{\bar{z}_1, \dots, \bar{z}_n}^k \neq A_{\bar{w}_1, \dots, \bar{w}_n}^k$ and $\bar{x} \in A_{\bar{z}_1, \dots, \bar{z}_n}^k, \bar{y} \in A_{\bar{w}_1, \dots, \bar{w}_n}^k$, then $(\mathbf{d}_\alpha)_{n+1}(\bar{x}, \bar{y}) > \frac{1}{\alpha^{k(j+1)}}$. Therefore, $\text{sep}(n + 1, \psi_j, \varepsilon_k) \geq 2^{jnk}$ and hence

$$\begin{aligned} \limsup_{n \rightarrow \infty} \frac{\log \text{sep}(n + 1, \psi_j, \varepsilon)}{n + 1} &\geq \limsup_{n \rightarrow \infty} \frac{\log \text{sep}(n + 1, \psi_j, \varepsilon_k)}{n + 1} \geq \lim_{n \rightarrow \infty} \frac{n \log(2^{jk})}{n + 1} \\ &= \log 2^{jk}. \end{aligned}$$

Thus,

$$\begin{aligned} \underline{\text{mdim}}_M(\mathbf{C}, \mathbf{d}_\alpha, \psi_j) &\geq \lim_{k \rightarrow \infty} \frac{\log \text{sep}(\psi_j, \varepsilon_k)}{-\log \varepsilon_{k+1}} \geq \lim_{k \rightarrow \infty} \frac{\log(2^{jk})}{\log(\alpha^{(k+1)(j+1)})} \\ &= \lim_{k \rightarrow \infty} \frac{kj \log 2}{(k+1)(j+1) \log \alpha} \\ &= \frac{j \log 2}{(j+1) \log \alpha}. \end{aligned}$$

Therefore,

$$\overline{\text{mdim}}_M(\mathbf{C}, \mathbf{d}_\alpha, \psi_j) \geq \underline{\text{mdim}}_M(\mathbf{C}, \mathbf{d}_\alpha, \psi_j) \geq \frac{j \log 2}{(j+1) \log \alpha}. \tag{4.3}$$

On the other hand, note that for each $l \in \{1, \dots, k\}$, the sets $A_{\bar{z}_1, \dots, \bar{z}_n}^l$ have $(\mathbf{d}_\alpha)_n$ -diameter less than ε_k . Furthermore, the sets $\{(0, 0, \dots)\}$ and $\bigcup_{s=k+1}^\infty \mathbf{C}_s$ has $(\mathbf{d}_\alpha)_n$ -diameter less than ε_k . Hence

$$\text{cov}(n, \psi_j, \varepsilon_k) \leq k2^{nj k} + 2 \leq 2k2^{nj k}$$

and therefore

$$\text{cov}(\psi_j, \varepsilon_k) \leq \lim_{n \rightarrow \infty} \frac{\log(2k2^{nj k})}{n} = \log 2^{jk}.$$

Hence

$$\begin{aligned} \overline{\text{mdim}}_M(\mathbf{C}, \mathbf{d}_\alpha, \psi_j) &= \limsup_{\varepsilon \rightarrow 0} \frac{\text{cov}(\psi_j, \varepsilon)}{-\log \varepsilon} \leq \limsup_{k \rightarrow \infty} \frac{\text{cov}(\psi_j, \varepsilon_{k+1})}{-\log \varepsilon_k} \\ &\leq \frac{j \log 2}{(j+1) \log \alpha}. \end{aligned} \tag{4.4}$$

It follows from (4.3) and (4.4) that

$$\text{mdim}_M(\mathbf{C}, \mathbf{d}_\alpha, \psi_j) = \frac{j \log 2}{(j+1) \log \alpha}.$$

Example 4.5 Take $\varphi : (\mathbf{C}, \mathbf{d}_\alpha) \rightarrow (\mathbf{C}, \mathbf{d}_\alpha)$ the map defined as $\varphi(0, 0, \dots) = (0, 0, \dots)$ and $\varphi|_{\mathbf{C}_k} = T_k^{-1} \sigma^{k^2} T_k$ for $k \geq 1$, where $\sigma : \mathbf{C} \rightarrow \mathbf{C}$ is the left shift map. Note that φ is a continuous map. We prove that

$$\text{mdim}_M(\mathbf{C}, \mathbf{d}_\alpha, \varphi) = \dim_B(\mathbf{C}, \mathbf{d}_\alpha) = \frac{\log 2}{\log \alpha}.$$

Take $\varepsilon > 0$. For any $k \geq 1$, set $\varepsilon_k = \frac{1}{\alpha^{k^2+k}}$. There exists $k \geq 1$ such that $\varepsilon \in [\varepsilon_{k+1}, \varepsilon_k]$. For $n \geq 1$ and $k \geq 1$, take $\bar{z}_1 = (z_1^1, \dots, z_{k^2}^1), \dots, \bar{z}_n = (z_1^n, \dots, z_{k^2}^n)$, with $z_i^s \in \{0, 2\}$, and set

$$A_{\bar{z}_1, \dots, \bar{z}_n}^k = \left\{ \underbrace{(0, \dots, 0)}_{(k-1)\text{-times}}, 2, z_1^1, \dots, z_{k2}^1, \dots, z_1^n, \dots, z_{k2}^n, x_1, \dots, x_s, \dots \right. \\ \left. : x_i \in \{0, 2\} \right\} \subseteq C_k.$$

Note that if $A_{\bar{z}_1, \dots, \bar{z}_n}^k \neq A_{\bar{w}_1, \dots, \bar{w}_n}^k$ and $\bar{x} \in A_{\bar{z}_1, \dots, \bar{z}_n}^k, \bar{y} \in A_{\bar{w}_1, \dots, \bar{w}_n}^k$, then $(\mathbf{d}_\alpha)_{n+1}(\bar{x}, \bar{y}) > \frac{1}{\alpha^{k^2+k}}$. Therefore $\text{sep}(n+1, \varphi, \varepsilon_k) \geq (2^{k^2})^n$ and hence

$$\lim_{n \rightarrow \infty} \frac{\log \text{sep}(n+1, \varphi, \varepsilon)}{n+1} \geq \lim_{n \rightarrow \infty} \frac{\log \text{sep}(n+1, \varphi, \varepsilon_k)}{n+1} \geq \lim_{n \rightarrow \infty} \frac{n \log(2^{k^2})}{n+1} = \log 2^{k^2}.$$

Thus,

$$\overline{\text{mdim}}_{\mathbb{M}}(C, \mathbf{d}_\alpha, \varphi) \geq \liminf_{k \rightarrow \infty} \frac{\log \text{sep}(\varphi, \varepsilon_k)}{-\log \varepsilon_{k+1}} \geq \lim_{k \rightarrow \infty} \frac{\log(2^{k^2})}{\log(\alpha^{(k+1)^2+k+1})} \\ = \lim_{k \rightarrow \infty} \frac{k^2 \log 2}{((k+1)^2+k+1) \log \alpha} = \frac{\log 2}{\log \alpha}.$$

Therefore, by (4.2), we have that

$$\overline{\text{mdim}}_{\mathbb{M}}(C, \mathbf{d}_\alpha, \varphi) = \underline{\text{mdim}}_{\mathbb{M}}(C, \mathbf{d}_\alpha, \varphi) = \frac{\log 2}{\log \alpha}.$$

5 On the Continuity of Metric and Hausdorff Mean Dimension Maps

Throughout this section, we will work with a fixed metrizable compact topological space (\mathbb{M}, τ) . We use $\mathbb{M}(\tau)$ to denote the set of all metrics that induce the same topology τ on \mathbb{M} . Formally, this set is defined as:

$$\mathbb{M}(\tau) = \{d : d \text{ is a metric for } \mathbb{M} \text{ and } \tau_d = \tau\},$$

where τ_d is the topology induced by d on \mathbb{M} . We remember that two metrics on a space \mathbb{M} are equivalent if they induce the same topology on \mathbb{M} . Therefore, if d is a fixed metric on \mathbb{M} which induces the topology τ , then $\mathbb{M}(\tau)$ consists on all the metrics on \mathbb{M} which are equivalent to d .

From now on, we will fix a continuous map $f : \mathbb{M} \rightarrow \mathbb{M}$. Consider the functions

$$\text{mdim}_{\mathbb{H}}(\mathbb{M}, f) : \mathbb{M}(\tau) \rightarrow \mathbb{R} \cup \{\infty\} \\ d \mapsto \text{mdim}_{\mathbb{H}}(\mathbb{M}, d, f),$$

where $\mathbb{M}(\tau)$ is endowed with the metric

$$D(d_1, d_2) = \max_{x, y \in \mathbb{M}} \{|d_1(x, y) - d_2(x, y)| : \text{for } d_1, d_2 \in \mathbb{M}(\tau)\}$$

(see [22]). We will prove there exist continuous maps $f : \mathbb{M} \rightarrow \mathbb{M}$ such that $\text{mdim}_{\mathbb{M}}(\mathbb{M}, f)$ is not a continuous map.

Remark 5.1 Remember that two metrics d_1 and d_2 on \mathbb{M} are called **uniformly equivalent** if there are real constants $0 < a \leq b$ such that

$$ad_1(x, y) \leq d_2(x, y) \leq bd_1(x, y),$$

for all $x, y \in \mathbb{M}$. It is not difficult to see that, if d_1 and $d_2 \in \mathbb{M}(\tau)$ are two uniformly equivalent metrics on \mathbb{M} , then

$$\begin{aligned} \text{mdim}_{\mathbb{M}}(\mathbb{M}, d_1, f) &= \text{mdim}_{\mathbb{M}}(\mathbb{M}, d_2, f) \quad \text{and} \\ \text{mdim}_{\mathbb{H}}(\mathbb{M}, d_1, f) &= \text{mdim}_{\mathbb{H}}(\mathbb{M}, d_2, f). \end{aligned}$$

Remark 5.2 Note if $h_{\text{top}}(\mathbb{M}, f) < \infty$, then $\text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f) = 0$. Therefore, as the topological entropy does not depend on the metric, we have that $\text{mdim}_{\mathbb{M}}(\mathbb{M}, \tilde{d}, f) = 0$ for any $\tilde{d} \in \mathbb{M}(\tau)$. Analogously, we can prove that $\text{mdim}_{\mathbb{H}}(\mathbb{M}, \tilde{d}, f) = 0$ for any $\tilde{d} \in \mathbb{M}(\tau)$. Hence, if $h_{\text{top}}(\mathbb{M}, f) < \infty$, then

$$\text{mdim}_{\mathbb{M}}(\mathbb{M}, f) : \mathbb{M}(\tau) \rightarrow \mathbb{R} \quad \text{and} \quad \text{mdim}_{\mathbb{H}}(\mathbb{M}, f) : \mathbb{M}(\tau) \rightarrow \mathbb{R}$$

are the zero maps.

In the next example, we will exhibit a class of dynamical systems such that the metric and Hausdorff mean dimension maps are not continuous, with respect to the metric.

Example 5.3 Take $\mathbb{M} = [0, 1]$ endowed with the metric $|\cdot|$ induced by the absolute value. For fixed $s \in \mathbb{N}$ and $r \in (0, \infty)$, set $f = \phi_{s,r} : [0, 1] \rightarrow [0, 1]$ and $I_n = [a_{n-1}, a_n]$ defined in Example 4.1. Hence,

$$\text{mdim}_{\mathbb{H}}([0, 1], |\cdot|, f) = \text{mdim}_{\mathbb{M}}([0, 1], |\cdot|, f) = \frac{s}{r + s}.$$

Fix any metric d on \mathbb{M} equivalent to $|\cdot|$. We will find two metrics d_1 and d_2 on $[0, 1]$, arbitrarily close to d , such that

$$\text{mdim}_{\mathbb{M}}([0, 1], d_1, f) = 1 \quad \text{and} \quad \text{mdim}_{\mathbb{M}}([0, 1], d_2, f) = \frac{1}{2}.$$

Let $\varepsilon > 0$. There exists $N \in \mathbb{N}$ such that

$$\max\{\text{diam}_d(\cup_{n=N}^{\infty} I_n)\} < \frac{\varepsilon}{2}.$$

Set $b_N = a_N$ and $b_n = a_N + \sum_{j=1}^n \frac{6\varepsilon}{2\pi^2 j^2}$ for $n \geq N + 1$ and consider $J_n = [b_{n-1}, b_n]$ for any $n \geq N + 1$. Take the homeomorphism $h : [0, 1] \rightarrow [0, a_N + \frac{\varepsilon}{2}]$ defined by

$$h(x) = \begin{cases} x & \text{if } x \in [0, a_N] \\ a_N + \varepsilon/2 & \text{if } x = 1 \\ \left[\frac{b_{n+1}-b_n}{a_{n+1}-a_n} \right] (x - a_n) + b_n & \text{if } x \in I_n, \text{ for some } n \geq N + 1. \end{cases}$$

Consider the metric d_1 on $[0, 1]$ given by

$$d_1(x, y) = \begin{cases} d(x, y) & \text{if } x, y \in [0, a_N] \\ |h(x) - h(y)| & \text{if } x, y \in [a_N, 1] = \overline{\bigcup_{n=N+1}^\infty I_n} \\ |h(x) - a_N| + d(y, a_N) & \text{if } y \in [0, a_N], x \in [a_N, 1] \\ |h(y) - a_N| + d(x, a_N) & \text{if } x \in [0, a_N], y \in [a_N, 1]. \end{cases}$$

As d_1 depends of the metric d and of the homeomorphism h , we have that d_1 belongs to $\mathbb{M}(\tau)$. Furthermore,

$$\text{diam}_{d_1} \left(\bigcup_{j=N+1}^\infty I_j \right) = \text{diam}_{|\cdot|} \left(\bigcup_{j=N+1}^\infty J_j \right) = \sum_{j=N+1}^\infty |J_j| = \sum_{j=N+1}^\infty \frac{6\varepsilon}{2\pi^2 j^2} < \frac{\varepsilon}{2}.$$

We prove that $D(d_1, d) < \varepsilon$. If $x, y \in [0, a_N]$ or if $x, y \in [a_N, 1]$, then $|d(x, y) - d_1(x, y)| = 0$. Suppose that $x \in [0, a_N]$ and $y \in [a_N, 1]$. From definition of d_1 , we have that

$$d_1(x, y) = |h(y) - a_N| + d(x, a_N).$$

Since $d(x, y) \leq d(x, a_N) + d(a_N, y)$, it follows that

$$\begin{aligned} d(x, y) - d_1(x, y) &\leq d(x, a_N) + d(a_N, y) - d(x, a_N) - |a_N - h(y)| \\ &= d(a_N, y) - |a_N - h(y)| < \varepsilon \end{aligned}$$

and

$$\begin{aligned} d_1(x, y) - d(x, y) &= d(x, a_N) + |a_N - h(y)| - d(x, y) \\ &\leq d(x, y) + d(y, a_N) + |a_N - h(y)| - d(x, y) \\ &= d(y, a_N) + |a_N - h(y)| < \varepsilon. \end{aligned}$$

Hence, $D(d_1, d) < \varepsilon$.

Next, given that $h_{\text{top}}(f|_{[0, a_N]}) < \infty$, we have

$$\text{mdim}_{\mathbb{M}}([0, 1], d_1, f) = \text{mdim}_{\mathbb{M}}([0, 1], d_1, f|_{[a_N, a_N + \varepsilon/2]}).$$

By [1, Example 3.1] and [2, Example 2.6], it is possible to obtain that

$$\text{mdim}_{\mathbb{M}}([0, 1], d_1, f) = \text{mdim}_{\mathbb{M}}([a_N, a_N + \varepsilon/2], d_1, f|_{[a_N, a_N + \varepsilon/2]}).$$

The existence of d_2 can be shown analogously taking $r = s$, $c_N = a_N$ and $c_n = a_N + \sum_{j=1}^n \frac{A\varepsilon}{3^{js}}$ for $n \geq N + 1$, where $A = \frac{1}{\sum_{j=1}^{\infty} 3^{-js}}$, and considering $K_n = [c_{n-1}, c_n]$ for any $n \geq N + 1$. In consequence, $\text{mdim}_{\mathbb{M}}(\mathbb{M}, f)$ and $\text{mdim}_{\mathbb{H}}(\mathbb{M}, f)$ are not continuous on d .

In Example 5.3, we proved that there exists a dynamical system with metric mean dimension and mean Hausdorff dimension maps not continuous with respect to the metrics. In the following theorem, we will prove that this result is more general.

Theorem 5.4 *Set $Q = M$ or H . If there exists a continuous map $f : \mathbb{M} \rightarrow \mathbb{M}$ such that $\text{mdim}_Q(\mathbb{M}, d, f) > 0$, for some $d \in \mathbb{M}(\tau)$, then*

$$\begin{aligned} \text{mdim}_Q(\mathbb{M}, f) : \mathbb{M}(\tau) &\rightarrow \mathbb{R} \cup \{\infty\} \\ d &\mapsto \text{mdim}_Q(\mathbb{M}, d, f) \end{aligned}$$

is not continuous anywhere.

Proof Let (\mathbb{M}, d) be a compact metric space and $f : \mathbb{M} \rightarrow \mathbb{M}$ be a continuous map such that $\text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f) > 0$. Given any $\alpha, \varepsilon \in (0, 1)$, we define the metric

$$d_{\alpha, \varepsilon}(x, y) = \begin{cases} d(x, y), & \text{if } d(x, y) \geq \varepsilon, \\ \varepsilon^{1-\alpha} d(x, y)^\alpha, & \text{if } d(x, y) < \varepsilon. \end{cases}$$

Note that $d_{\alpha, \varepsilon} \in \mathbb{M}(\tau)$. Moreover, taking $x, y \in \mathbb{M}$ such that $d(x, y) \geq \varepsilon$, we have that $|d(x, y) - d_{\alpha, \varepsilon}(x, y)| = 0 < \varepsilon$. On the other hand, if we consider $x, y \in \mathbb{M}$ such that $d(x, y) < \varepsilon$, we have that

$$|d(x, y) - d_{\alpha, \varepsilon}(x, y)| = |d(x, y) - \varepsilon^{1-\alpha} d(x, y)^\alpha| \leq d(x, y) + \varepsilon^{1-\alpha} d(x, y)^\alpha < 2\varepsilon.$$

Hence, $D(d, d_{\alpha, \varepsilon}) < 2\varepsilon$. However, for $Q = M$ or H we prove

$$\text{mdim}_Q(\mathbb{M}, d_{\alpha, \varepsilon}, f) = \frac{\text{mdim}_Q(\mathbb{M}, d, f)}{\alpha}.$$

Firstly, we prove to claim for metric mean dimension. Consider any $\eta \in (0, \varepsilon)$. Let A an (n, f, η) -spanning set of (\mathbb{M}, d) . Then, for any $y \in \mathbb{M}$, there exists $x \in A$ such that $d_n(x, y) < \eta$. Hence,

$$(d_{\alpha, \varepsilon})_n(x, y) = \varepsilon^{1-\alpha} d_n(x, y)^\alpha < \varepsilon^{1-\alpha} \eta^\alpha.$$

Thus, A is an $(n, f, \varepsilon^{1-\alpha} \eta^\alpha)$ -spanning set of $(\mathbb{M}, d_{\alpha, \varepsilon})$. Therefore,

$$\text{span}_{d_{\alpha, \varepsilon}}(f, \varepsilon^{1-\alpha} \eta^\alpha) \leq \text{span}_d(f, \eta),$$

and consequently, we obtain that

$$\begin{aligned} \text{mdim}_M(\mathbb{M}, d_{\alpha,\varepsilon}, f) &= \lim_{\eta \rightarrow 0} \frac{\text{span}_{d_{\alpha,\varepsilon}}(f, \varepsilon^{1-\alpha}\eta^\alpha)}{|\log(\varepsilon^{1-\alpha}\eta^\alpha)|} \leq \lim_{\eta \rightarrow 0} \frac{\text{span}_d(f, \eta)}{\alpha |\log \eta|} \frac{|\log(\eta^\alpha)|}{|\log(\varepsilon^{1-\alpha}\eta^\alpha)|} \\ &= \frac{\text{mdim}_M(\mathbb{M}, d, f)}{\alpha}. \end{aligned} \tag{5.1}$$

On the other hand, notice that, for any $x, y \in \mathbb{M}$ such that $(d_{\alpha,\varepsilon})_n(x, y) < \varepsilon$, we have that $d_n(x, y) < \varepsilon$, because otherwise $(d_{\alpha,\varepsilon})_n(x, y) = d_n(x, y) \geq \varepsilon$. Let E be an (n, f, η) -spanning set of $(\mathbb{M}, d_{\alpha,\varepsilon})$, where $\eta \in (0, \varepsilon)$. Then, for any $y \in \mathbb{M}$, there exists $x \in E$ with $(d_{\alpha,\varepsilon})_n(x, y) < \eta$ and it follows that

$$(d_{\alpha,\varepsilon})_n(x, y) = \varepsilon^{1-\alpha} d_n(x, y)^\alpha < \eta < \varepsilon \Rightarrow d_n(x, y) < \varepsilon^{\frac{\alpha-1}{\alpha}} \eta^{\frac{1}{\alpha}}.$$

Thus, E is an $(n, f, \varepsilon^{\frac{\alpha-1}{\alpha}} \eta^{\frac{1}{\alpha}})$ -spanning set of (\mathbb{M}, d) and therefore

$$\text{span}_{d_{\alpha,\varepsilon}}(f, \eta) \geq \text{span}_d(f, \varepsilon^{\frac{\alpha-1}{\alpha}} \eta^{\frac{1}{\alpha}}).$$

Hence,

$$\begin{aligned} \text{mdim}_M(\mathbb{M}, f, d_{\alpha,\varepsilon}) &= \lim_{\eta \rightarrow 0} \frac{\text{span}_{d_{\alpha,\varepsilon}}(f, \eta)}{|\log(\eta)|} \geq \lim_{\eta \rightarrow 0} \frac{\text{span}_d(f, \varepsilon^{\frac{\alpha-1}{\alpha}} \eta^{\frac{1}{\alpha}})}{|\log(\varepsilon^{\frac{\alpha-1}{\alpha}} \eta^{\frac{1}{\alpha}})|} \frac{|\log(\varepsilon^{\frac{\alpha-1}{\alpha}} \eta^{\frac{1}{\alpha}})|}{|\log \eta|} \\ &= \lim_{\eta \rightarrow 0} \frac{\text{span}_d(f, \varepsilon^{\frac{\alpha-1}{\alpha}} \eta^{\frac{1}{\alpha}})}{|\log(\varepsilon^{\frac{\alpha-1}{\alpha}} \eta^{\frac{1}{\alpha}})|} \frac{|\log(\eta^{\frac{1}{\alpha}})|}{|\log \eta|} \\ &= \frac{\text{mdim}_M(\mathbb{M}, f, d)}{\alpha}. \end{aligned} \tag{5.2}$$

It follows from (5.1) and (5.2) that $\text{mdim}_M(\mathbb{M}, f, d_{\alpha,\varepsilon}) = \frac{\text{mdim}_M(\mathbb{M}, f, d)}{\alpha}$.

Next, we prove the theorem for mean Hausdorff dimension. We will need the relation

$$\text{mdim}_H(\mathbb{M}, f, d^\alpha) = \frac{\text{mdim}_H(\mathbb{M}, f, d)}{\alpha}, \quad \text{for any } \alpha \in (0, 1),$$

which will be shown in Example 7.1. Fix $\eta \in (0, \varepsilon)$. For every $x, y \in \mathbb{M}$ with $d_n(x, y) < \eta$, we have that $(d_{\alpha,\varepsilon})_n(x, y) = \varepsilon^{1-\alpha} d_n(x, y)^\alpha$. Thus, for all $E \subset M$ such that $\text{diam}_{d_n^\alpha}(E) < \eta$, we have that $\text{diam}_{(d_{\alpha,\varepsilon})_n}(E) < \varepsilon^{1-\alpha} \eta$. Therefore

$$H_{\varepsilon^{1-\alpha}\eta}^s(\mathbb{M}, (d_{\alpha,\varepsilon})_n) \leq H_\eta^s(\mathbb{M}, d_n^\alpha), \quad \text{for every } 0 < \eta < \varepsilon.$$

Thus,

$$\text{mdim}_H(\mathbb{M}, d_{\alpha,\varepsilon}, f) \leq \text{mdim}_H(\mathbb{M}, d^\alpha, f) = \frac{\text{mdim}_H(\mathbb{M}, d, f)}{\alpha}. \tag{5.3}$$

On the other hand, given $\eta \in (0, \varepsilon)$, we have for every $x, y \in \mathbb{M}$, with $d_n(x, y) < \eta$, that

$$(d_{\alpha,\varepsilon})_n(x, y) = \varepsilon^{1-\alpha} d_n(x, y)^\alpha > \eta^{1-\alpha} d_n(x, y)^\alpha.$$

Thus, for all $E \subset \mathbb{M}$ with $\text{diam}_{(d_{\alpha,\varepsilon})_n}(E) < \eta$, it follows that $\text{diam}_{d_n^\alpha}(E) < \eta^\alpha$. Therefore, we obtain that

$$H_\eta^s(\mathbb{M}, (d_{\alpha,\varepsilon})_n) \geq H_{\eta^\alpha}^s(\mathbb{M}, d_n^\alpha).$$

Consequently,

$$\text{mdim}_H(\mathbb{M}, d_{\alpha,\varepsilon}, f) \geq \text{mdim}_H(\mathbb{M}, d^\alpha, f) = \frac{\text{mdim}_H(\mathbb{M}, d, f)}{\alpha}. \tag{5.4}$$

It follows from (5.3) and (5.4) that $\text{mdim}_H(\mathbb{M}, d_{\alpha,\varepsilon}, f) = \frac{\text{mdim}_H(\mathbb{M}, d, f)}{\alpha}$.

Next, given that

$$\begin{aligned} \text{mdim}_M(\mathbb{M}, d_{\alpha,\varepsilon}, f) &= \frac{\text{mdim}_M(\mathbb{M}, d, f)}{\alpha} \quad \text{and} \\ \text{mdim}_H(\mathbb{M}, d_{\alpha,\varepsilon}, f) &= \frac{\text{mdim}_H(\mathbb{M}, d, f)}{\alpha}, \end{aligned}$$

and $D(d_{\alpha,\varepsilon}, d) < 2\varepsilon$, for any $\varepsilon > 0$, we can conclude that $\text{mdim}_M(\mathbb{M}, d, f)$ and $\text{mdim}_H(\mathbb{M}, d, f)$ are not continuous with respect to the metric. \square

6 Composing Metrics with Subadditive Continuous Maps

In this section, we will consider metrics in the set

$$\mathcal{A}_d(\mathbb{M}) = \{g_d : g_d(x, y) = g(d(x, y)) \text{ for all } x, y \in \mathbb{M}, \text{ and } g \in \mathcal{A}[0, \rho]\},$$

where ρ is the diameter of \mathbb{M} and

$$\begin{aligned} \mathcal{A}(0, \rho) = \Big\{ g : [0, \rho] \rightarrow [0, \infty) : g \text{ is continuous, increasing,} \\ \text{subadditive and } g^{-1}(0) = \{0\} \Big\}. \end{aligned}$$

Remember that $g : [0, \infty) \rightarrow [0, \infty)$ is called **subadditive** if $g(x + y) \leq g(x) + g(y)$ for all x, y . For instance, if g is **concave** (that is, if $g(tx + (1 - t)y) \geq tg(x) + (1 - t)g(y)$, for any $t \in [0, 1]$ and $x, y \in [0, \rho]$) and $g(0) \geq 0$, then g is subadditive. In fact, if $g : [0, \infty) \rightarrow [0, \infty)$ is concave and $g(0) = 0$, then $tg(x) \leq g(tx)$ for any $t \in [0, 1]$ and $x \in [0, \infty)$. Hence, for any $x, y \in [0, \infty)$, taking $t = \frac{x}{x+y} \in [0, 1]$, we have

$$g(x) = g(tx + y) \geq tg(x + y) \quad \text{and} \quad g(y) = g((1-t)(x + y)) \geq (1-t)g(x + y).$$

Therefore, $g(x) + g(y) \geq g(x + y)$.

Lemma 6.1 *For any $g \in \mathcal{A}[0, \rho]$, we have that:*

- (i) g_d is a metric on \mathbb{M} .
- (ii) $g_d \in \mathbb{M}(\tau)$. Consequently, $\mathcal{A}_d(\mathbb{M}) \subseteq \mathbb{M}(\tau)$.
- (iii) If $f : \mathbb{M} \rightarrow \mathbb{M}$ is a continuous map, then, for any $n \in \mathbb{N}$ and $x, y \in \mathbb{M}$, we have $(g_d)_n(x, y) = g(d_n(x, y))$.

Proof i) Clearly $g_d(x, y) \geq 0$ and $g_d(x, y) = g_d(y, x)$ hold. Furthermore, since $g^{-1}\{0\} = \{0\}$, we have

$$g_d(x, y) = 0 \Leftrightarrow g(d(x, y)) = 0 \Leftrightarrow d(x, y) = 0 \Leftrightarrow x = y.$$

Next, since g is increasing, then, for $x, y, z \in \mathbb{M}$, it follows that

$$\begin{aligned} g_d(x, z) &= g(d(x, z)) \leq g(d(x, y) + d(y, z)) \leq g(d(x, y)) + g(d(y, z)) \\ &= g_d(x, y) + g_d(y, z). \end{aligned}$$

Hence, g_d is a metric on \mathbb{M} .

ii) We prove that, given any $x \in \mathbb{M}$, then for any $\varepsilon > 0$ there is $\delta > 0$ such that $B_d(x, \delta) \subset B_{g_d}(x, \varepsilon)$, where $B_{d'}(x, \varepsilon)$ denotes the open ball with center x and radius $\varepsilon > 0$ with respect a metric d' . Indeed, since g is continuous at 0 and $g^{-1}\{0\} = \{0\}$, for all $\varepsilon > 0$, there is $\delta > 0$ such that if $0 \leq a < \delta$, then $0 \leq g(a) < \varepsilon$. Thus, for any $y \in \mathbb{M}$ such that $d(x, y) < \delta$, we have $g(d(x, y)) < \varepsilon$, that is, $g_d(x, y) < \varepsilon$. Therefore, $B_d(x, \delta) \subset B_{g_d}(x, \varepsilon)$.

Next, we prove for all $x \in \mathbb{M}$ and each $\varepsilon > 0$, there is $\delta > 0$ such that $B_{g_d}(x, \delta) \subset B_d(x, \varepsilon)$. We show that if $a, b \geq 0$ and $g(b) < \frac{g(a)}{2}$, then $b < \frac{a}{2}$. Indeed, if $a \leq 2b$, since g is increasing and subadditive, then we have

$$g(a) \leq g(2b) \leq 2g(b).$$

From this fact, setting $\delta = \frac{g(\varepsilon)}{2}$, if $g_d(x, y) < \delta$, we have

$$g(d(x, y)) < \frac{g(\varepsilon)}{2} \Rightarrow d(x, y) < \frac{\varepsilon}{2} < \varepsilon.$$

Therefore $B_{g_d}(x, \delta) \subset B_d(x, \varepsilon)$. It follows from the above facts that $g_d \in \mathbb{M}(\tau)$.

iii) Fix a continuous map $f : \mathbb{M} \rightarrow \mathbb{M}$. Since g is increasing, we have that

$$g(d(f^m(x), f^m(y))) = \max\{g(d(x, y)), g(d(f(x), f(y))) \dots, g(d(f^{n-1}(x), f^{n-1}(y)))\}$$

if and only if

$$d(f^m(x), f^m(y)) = \max\{d(x, y), d(f(x), f(y)) \dots, d(f^{n-1}(x), f^{n-1}(y))\}.$$

Hence, given $n \in \mathbb{N}$, we have for any $x, y \in \mathbb{M}$ that

$$\begin{aligned} (g_d)_n(x, y) &= \max\{g_d(x, y), g_d(f(x), f(y)) \dots, g_d(f^{n-1}(x), f^{n-1}(y))\} \\ &= \max\{g(d(x, y)), g(d(f(x), f(y))) \dots, g(d(f^{n-1}(x), f^{n-1}(y)))\} \\ &= g\left(\max\{d(x, y), d(f(x), f(y)) \dots, d(f^{n-1}(x), f^{n-1}(y))\}\right) \\ &= g(d_n(x, y)), \end{aligned}$$

which proves iii). □

Next, we will consider the metric mean dimension with metrics on $\mathcal{A}_d(\mathbb{M})$. For any continuous map $g \in \mathcal{A}[0, \rho]$, we will take

$$k_m(g) = \liminf_{\varepsilon \rightarrow 0^+} \frac{\log(g(\varepsilon))}{\log(\varepsilon)} \quad \text{and} \quad k_M(g) = \limsup_{\varepsilon \rightarrow 0^+} \frac{\log(g(\varepsilon))}{\log(\varepsilon)}.$$

Lemma 6.2 *For any $g \in \mathcal{A}[0, \rho]$, we have that $k_m(g) \leq k_M(g) \leq 1$.*

Proof Without loss of generality, we can assume that $\rho \in (0, 1)$. We prove that there exists $m \in (0, \infty)$ such that $mx \leq g(x)$ for any $x \in [0, \rho]$. Since g is subadditive, we have that

$$g(\rho) \leq 2g\left(\frac{\rho}{2}\right) \leq \dots \leq 2^n g\left(\frac{\rho}{2^n}\right) \Rightarrow \frac{g(\rho)}{\rho} \leq \frac{g\left(\frac{\rho}{2}\right)}{\frac{\rho}{2}} \leq \dots \leq \frac{g\left(\frac{\rho}{2^n}\right)}{\frac{\rho}{2^n}},$$

for any $n \in \mathbb{N}$. If $0 < y \leq \rho$, there exists $n \geq 0$ such that $\frac{\rho}{2^{n+1}} \leq y \leq \frac{\rho}{2^n}$, and hence $\frac{2^n}{\rho} \leq \frac{1}{y} \leq \frac{2^{n+1}}{\rho}$. Thus,

$$\frac{g(\rho)}{\rho} \leq \frac{g\left(\frac{\rho}{2^{n+1}}\right)}{\frac{\rho}{2^{n+1}}} \leq \frac{g(y)}{\frac{\rho}{2^{n+1}}} = 2 \frac{g(y)}{\frac{\rho}{2^n}} \leq 2 \frac{g(y)}{y}.$$

Therefore, taking $m = \frac{g(\rho)}{2\rho}$, we have that $my \leq g(y)$ for any $y \in [0, \rho]$. Thus, for any $x \in (0, \rho]$, we have that

$$\log mx \leq \log g(x) \Rightarrow -\log g(x) \leq -\log mx \Rightarrow \frac{\log g(x)}{\log x} \leq \frac{\log mx}{\log x}.$$

Given that $\frac{\log mx}{\log x} \rightarrow 1$, as $x \rightarrow 0$, we have that $k_m(g) \leq k_M(g) \leq 1$. □

From now on, we will suppose that $k_m(g), k_M(g) > 0$. For instance, if there exists $n \in \mathbb{N}$ and $\delta \in (0, 1)$ such that with $g(x) \leq x^{\frac{1}{n}}$, for any $x \in (0, \delta]$, we have that

$$\log g(x) \leq \frac{1}{n} \log x \Rightarrow -\frac{1}{n} \log x \leq -\log g(x) \Rightarrow \frac{1}{n} \leq \frac{\log g(x)}{\log x}.$$

We remark that there exists maps $g \in \mathcal{A}[0, \rho]$ such that $k_m(g) = k_M(g) = 0$. Indeed, if g is defined as $g(x) = \frac{1}{\sqrt{\log(\frac{1}{x})}}$ for $x > 0$ and $g(0) = 0$, we can prove that $k_M(g) = 0$ ($g(x)$ is the inverse map of the function $f : [0, \infty) \mapsto \mathbb{R}$ defined as $f(x) = e^{-\frac{1}{x^2}}$ for $x > 0$ and $f(0) = 0$).

Remember that for any two sequences of non-negative real numbers $(a_n)_{n \in \mathbb{N}}$ and $(b_n)_{n \in \mathbb{N}}$, we always have:

$$\limsup_{n \rightarrow \infty} a_n b_n \leq \limsup_{n \rightarrow \infty} a_n \limsup_{n \rightarrow \infty} b_n \tag{6.1}$$

$$\liminf_{n \rightarrow \infty} a_n b_n \geq \liminf_{n \rightarrow \infty} a_n \liminf_{n \rightarrow \infty} b_n, \tag{6.2}$$

whenever the right-hand side is not of the form $0 \cdot \infty$. The equalities hold if $\lim_{n \rightarrow \infty} a_n$ exists. These facts will be useful for the next proposition.

Proposition 6.3 *Take $g \in \mathcal{A}[0, \rho]$, such that $k_m(g), k_M(g) > 0$. Set $g_d(x, y) = g \circ d(x, y)$ for all $x, y \in \mathbb{M}$. For any continuous map $f : \mathbb{M} \rightarrow \mathbb{M}$, we have*

- (i) $\underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f) \geq k_m(g) \underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, g_d, f)$.
- (ii) $\underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f) \leq k_M(g) \underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, g_d, f)$.

Proof Given that $k_m(g), k_M(g) \in (0, 1]$, we can use the properties given in (6.1) and (6.2).

- (i) Fix $\varepsilon > 0$. If $d_n(x, y) < \varepsilon$, then $(g_d)_n(x, y) = g(d_n(x, y)) \leq g(\varepsilon)$, because g is increasing. Thus, any (n, f, ε) -spanning subset with respect to d is an $(n, f, g(\varepsilon))$ -spanning subset with respect to g_d . Hence,

$$\text{span}_d(n, f, \varepsilon) \geq \text{span}_{g_d}(n, f, g(\varepsilon)). \tag{6.3}$$

Furthermore, since g is continuous and $g(0) = 0$, we have $\lim_{\varepsilon \rightarrow 0} g(\varepsilon) = 0$. Therefore,

$$\begin{aligned} \underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f) &= \liminf_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\log \text{span}_d(n, f, \varepsilon)}{n |\log(\varepsilon)|} \\ &= \liminf_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\log \text{span}_d(n, f, \varepsilon) + |\log(g(\varepsilon))|}{n |\log(\varepsilon)| + |\log(g(\varepsilon))|} \\ \text{(from (6.3))} \quad &\geq \liminf_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\log \text{span}_{g_d}(n, f, g(\varepsilon)) + |\log(g(\varepsilon))|}{n |\log(g(\varepsilon))| + |\log(\varepsilon)|} \end{aligned}$$

$$\begin{aligned}
 \text{(from (6.2))} \quad &\geq k_m(g) \liminf_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\log \text{span}_{g_d}(n, f, g(\varepsilon))}{n |\log(g(\varepsilon))|} \\
 &= k_m(g) \underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, g_d, f).
 \end{aligned}$$

(ii) Fix $n \in \mathbb{N}$ and $\varepsilon > 0$. Let A be an (n, f, ε) -separated subset with respect to d . Hence, for any $x, y \in A$ with $x \neq y$, we have $d_n(x, y) = \max_{0 \leq j < n} \{d(f^j(x), f^j(y))\} > \varepsilon$, and, therefore, there exists $j_0 \in \{0, \dots, n - 1\}$ such that $d(f^{j_0}(x), f^{j_0}(y)) > \varepsilon$. Since g is increasing, it follows that $g(d(f^{j_0}(x), f^{j_0}(y))) \geq g(\varepsilon)$. Therefore,

$$(g_d)_n(x, y) = \max_{0 \leq j < n} \left\{ g \left(d(f^j(x), f^j(y)) \right) \right\} \geq g(\varepsilon).$$

Hence, A is an $(n, f, g(\varepsilon))$ -separated subset with respect to g_d . Thus,

$$\text{sep}_d(n, f, \varepsilon) \leq \text{sep}_{g_d}(n, f, g(\varepsilon)). \tag{6.4}$$

Therefore,

$$\begin{aligned}
 \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f) &= \limsup_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\text{sep}_d(n, f, \varepsilon)}{n |\log(\varepsilon)|} \\
 &= \limsup_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\text{sep}_d(n, f, \varepsilon) |\log(g(\varepsilon))|}{n |\log(\varepsilon)| |\log(g(\varepsilon))|} \\
 \text{(from (6.4))} \quad &\leq \limsup_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\text{sep}_{g_d}(n, f, g(\varepsilon)) |\log(g(\varepsilon))|}{n |\log(g(\varepsilon))| |\log(\varepsilon)|} \\
 \text{(from (6.1))} \quad &\leq k_M(g) \limsup_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\text{sep}_{g_d}(n, f, g(\varepsilon))}{n |\log(g(\varepsilon))|} \\
 &= k_M(g) \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, g_d, f).
 \end{aligned}$$

Hence, $\overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f) \leq k_M(g) \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, g_d, f)$. □

Lemma 6.4 For any $g \in \mathcal{A}[0, \rho]$ such that $k(g) = k_m(g) = k_M(g) > 0$, we have that

$$\overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f) = k(g) \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, g_d, f)$$

and

$$\underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f) = k(g) \underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, g_d, f).$$

Proof From (6.3), we have that

$$\begin{aligned} \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f) &= \limsup_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\log \text{span}_d(n, f, \varepsilon)}{n |\log(\varepsilon)|} \\ &\geq \limsup_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\log \text{span}_{g_d}(n, f, g(\varepsilon))}{n |\log(g(\varepsilon))|} \frac{|\log(g(\varepsilon))|}{|\log(\varepsilon)|} \\ &= k(g) \limsup_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\log \text{span}_{g_d}(n, f, g(\varepsilon))}{n |\log(g(\varepsilon))|} \\ &= k(g) \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, g_d, f). \end{aligned}$$

It follows from Proposition 6.3, item ii, that $\overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f) = k(g) \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, g_d, f)$.

Analogously, using (6.4) and Proposition 6.3, item i, we can prove the second part of the lemma. $\underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f) = k(g) \underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, g_d, f)$. □

From now on, we will assume that $\rho = \text{diam}_d(\mathbb{M}) < 1$. Next, set

$$\mathcal{A}^+[0, \rho] := \{g \in \mathcal{A}[0, \rho] : k_m(g) = k_M(g) > 0\}.$$

We will choose a suitable topology for $\mathcal{A}^+[0, \rho]$. Fix $g \in \mathcal{A}^+[0, \rho]$. Since any $h \in \mathcal{A}^+[0, \rho]$ satisfies $h(0) = 0$, then we must have $d(g(x), h(x)) \rightarrow 0$, as $x \rightarrow 0$. For a fixed $\varepsilon > 0$, set

$$\begin{aligned} \tilde{B}(g, \varepsilon) &= \left\{ h \in \mathcal{A}^+[0, \rho] : g(x)(x^\varepsilon - 1) < h(x) - g(x) < g(x) \frac{(1 - x^\varepsilon)}{x^\varepsilon}, \right. \\ &\quad \left. \& \text{ for } x \in (0, \rho) \right\}. \end{aligned} \tag{6.5}$$

Given that we are assuming that $\rho < 1$, notice that $g \in \tilde{B}(g, \varepsilon)$, because

$$g(x)(x^\varepsilon - 1) < 0 < g(x) \frac{(1 - x^\varepsilon)}{x^\varepsilon} \quad \text{for any } x \in (0, \rho].$$

Furthermore, if $h \in \tilde{B}(g, \varepsilon)$, then for any $x \in (0, \rho]$, we have that

$$g(x)(x^\varepsilon - 1) < h(x) - g(x) < g(x) \frac{(1 - x^\varepsilon)}{x^\varepsilon} \iff x^\varepsilon g(x) < h(x) < \frac{g(x)}{x^\varepsilon}$$

(see Fig. 1). Let \mathcal{T} be the topology induced by the sets $\tilde{B}(g, \varepsilon)$, that is, these sets form a subbase for \mathcal{T} .

Lemma 6.5 *The map*

$$\begin{aligned} \mathcal{Z} : (\mathcal{A}^+[0, \rho], \mathcal{T}) &\rightarrow (0, 1] \\ g &\mapsto k(g) := k_m(g) \end{aligned}$$

is continuous.

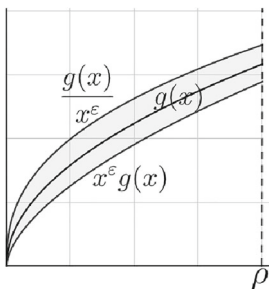


Fig. 1 $\tilde{B}(g, \varepsilon)$

Proof For any $g \in \mathcal{A}^+[0, \rho]$, define

$$\tilde{g}(x) = \begin{cases} \frac{\log g(x)}{\log x}, & \text{if } x \in (0, \rho] \\ k(g), & \text{if } x = 0. \end{cases}$$

Note that $\tilde{g} : [0, \rho] \rightarrow \mathbb{R}$ is a continuous map. Specifically, \tilde{g} is continuous at 0, because

$$\tilde{g}(0) = k(g) = \lim_{x \rightarrow 0} \tilde{g}(x).$$

Next, fix $h \in \tilde{B}(g, \varepsilon)$. Given that $\rho < 1$, then for any $x \in (0, \rho]$ we have that

$$\begin{aligned} x^\varepsilon g(x) < h(x) < \frac{g(x)}{x^\varepsilon} &\iff x^\varepsilon < \frac{h(x)}{g(x)} < \frac{1}{x^\varepsilon} \\ &\iff \varepsilon \log x < \log h(x) - \log g(x) < -\varepsilon \log x. \end{aligned}$$

Therefore, $-\varepsilon < \tilde{g}(x) - \tilde{h}(x) < \varepsilon$ for any $x \in (0, \rho]$. Thus, $|k(g) - k(h)| = |\tilde{g}(0) - \tilde{h}(0)| \leq \varepsilon$, by the continuity of both \tilde{g} and \tilde{h} . This fact proves that $g \mapsto k(g)$ is a continuous map. \square

For the next results, we will consider the set

$$\mathcal{A}_d^+(\mathbb{M}) = \{g \circ d \in \mathcal{A}_d(\mathbb{M}) : g \in \mathcal{A}^+[0, \rho]\}.$$

Notice that $\mathcal{A}_d^+(\mathbb{M}) \neq \emptyset$, because the function $g(x) = x^a$, for a fixed $a \in (0, 1]$, belongs to $\mathcal{A}^+[0, \rho]$ (see Example 7.1). In particular, $d \in \mathcal{A}_d^+(\mathbb{M})$.

Lemma 6.6 *Let \mathbb{M} be a compact space such that the metric map $d : \mathbb{M} \times \mathbb{M} \rightarrow [0, \rho]$ is surjective. Then*

$$\begin{aligned} \mathcal{Z} : \mathcal{A}^+[0, \rho] &\rightarrow \mathcal{A}_d^+(\mathbb{M}) \\ g &\mapsto g \circ d \end{aligned}$$

is a bijective map.

Proof Clearly \mathcal{Z} is surjective. Next, we prove that for any $\tilde{d} \in \mathcal{A}_d^+(\mathbb{M})$, there exists a unique $g_{\tilde{d}} \in \mathcal{A}^+[0, \rho]$ such that $\tilde{d} = g \circ d$. Suppose that $g_1, g_2 \in \mathcal{A}^+[0, \rho]$ and $\tilde{d} = g_1 \circ d = g_2 \circ d$. Since d is surjective, for any $t \in [0, \rho]$, there exist $x, y \in \mathbb{M}$ such that $t = d(x, y)$. Therefore, $g_1(t) = g_2(t)$, as we want to prove. \square

Suppose that $d : \mathbb{M} \times \mathbb{M} \rightarrow [0, \rho]$ is surjective. We will equip $\mathcal{A}_d^+(\mathbb{M})$ with the topology \mathcal{W} which becomes the map

$$\begin{aligned} \mathcal{Z} : (\mathcal{A}^+[0, \rho], \mathcal{T}) &\rightarrow (\mathcal{A}_d^+(\mathbb{M}), \mathcal{W}) \\ g &\mapsto d \end{aligned}$$

a homeomorphism.

Theorem 6.7 *Let \mathbb{M} be a compact space such that the metric map $d : \mathbb{M} \times \mathbb{M} \rightarrow [0, \rho]$ is surjective. Suppose that $\text{mdim}_{\mathbb{M}}(\mathbb{M}, f, d) < \infty$. The maps*

$$\begin{aligned} \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, f) : (\mathcal{A}_d^+(\mathbb{M}), \mathcal{W}) &\rightarrow \mathbb{R} \\ g_d &\mapsto \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, g_d, f) \end{aligned}$$

and

$$\begin{aligned} \underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, f) : (\mathcal{A}_d^+(\mathbb{M}), \mathcal{W}) &\rightarrow \mathbb{R} \\ g_d &\mapsto \underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, g_d, f) \end{aligned}$$

are continuous.

Proof We prove the case $\overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, f) : \mathcal{A}_d^+(\mathbb{M}) \rightarrow \mathbb{R}$, since the proof of the theorem is analogous for the case $\underline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, f) : \mathcal{A}_d^+(\mathbb{M}) \rightarrow \mathbb{R}$. If $\overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, f, d) = 0$, it follows from Lemma 6.4 that $\overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, f) : \mathcal{A}_d^+(\mathbb{M}) \rightarrow \mathbb{R}$ is the zero map.

We will suppose that $0 < \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, f, d) < \infty$. Take \tilde{d} in $\mathcal{A}_d^+(\mathbb{M})$ and let $g_{\tilde{d}}$ be the unique map in $\mathcal{A}^+[0, \rho]$ such that $\tilde{d} = g_{\tilde{d}} \circ d$. From Lemma 6.4, we have that

$$\overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, f)(\tilde{d}) = \overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, f)(g_{\tilde{d}} \circ d) = \frac{\overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, d, f)}{k(g_{\tilde{d}})}.$$

Hence, the continuity of $\overline{\text{mdim}}_{\mathbb{M}}(\mathbb{M}, f) : \mathcal{A}_d^+(\mathbb{M}) \rightarrow \mathbb{R}$ follows from Lemma 6.5 and given that $k(g) > 0$ for any $g \in \mathcal{A}^+[0, \rho]$. \square

7 Additional Examples

In this section we will present some examples of maps $g \in \mathcal{A}^+[0, \rho]$ and the respective expressions for $\text{mdim}_{\mathbb{M}}(\mathbb{M}, g_d, f)$.

Example 7.1 Fix any $a \in (0, 1]$. Consider the function $g(x) = x^a$ defined for all $x \in [0, \infty)$. Notice that $g(x + y) \leq g(x) + g(y)$ for any $x, y \geq 0$. Next, by defining $g_d(x, y) = d(x, y)^a$, we find that $k(g) = a$, and therefore

$$\text{mdim}_{\mathbb{M}}(\mathbb{M}, g_d, f) = \frac{\text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f)}{a}. \tag{7.1}$$

For instance, we have that

$$\text{mdim}_{\mathbb{M}}(\left([0, 1]^n\right)^{\mathbb{Z}}, h_{\mathbf{d}}, \sigma) = \frac{n}{a}, \tag{7.2}$$

where $h_{\mathbf{d}}$ is the metric defined in Theorem 3.6 and $\sigma : \left([0, 1]^n\right)^{\mathbb{Z}} \rightarrow \left([0, 1]^n\right)^{\mathbb{Z}}$ is the left shift.

Example 7.2 Fix any $a \in (0, 1]$. Consider the function $g(x) = x^a$ defined for all $x \in [0, \infty)$. We will prove that

$$\text{mdim}_{\mathbb{H}}(\mathbb{M}, g_d, f) = \frac{1}{a} \text{mdim}_{\mathbb{H}}(\mathbb{M}, d, f). \tag{7.3}$$

In fact, consider a fixed $a \in (0, 1]$. In fact, consider any $a \in (0, 1]$ fixed. Given any $\eta > 0$, we have that $d(x, y) \leq \eta$ if and only if $d(x, y)^a \leq \eta^a$. Hence, it follows that

$$\begin{aligned} H_{\eta^a}^s(\mathbb{M}, (g_d)_n) &= \inf \left\{ \sum_{k=1}^{\infty} (\text{diam}_{d_n^a}(E_k))^s : \mathbb{M} = \bigcup_{k=1}^{\infty} E_k \text{ with } \text{diam}_{d_n^a}(E_k) < \eta^a \text{ for all } k \geq 1 \right\} \\ &= \inf \left\{ \sum_{k=1}^{\infty} (\text{diam}_{d_n}(E_k))^s : \mathbb{M} = \bigcup_{k=1}^{\infty} E_k \text{ with } \text{diam}_{d_n}(E_k) < \eta \text{ for all } k \geq 1 \right\} \\ &= \inf \left\{ \sum_{k=1}^{\infty} (\text{diam}_{d_n}(E_k))^{as} : \mathbb{M} = \bigcup_{k=1}^{\infty} E_k \text{ with } \text{diam}_{d_n}(E_k) < \eta \text{ for all } k \geq 1 \right\} \\ &= H_{\eta}^{as}(\mathbb{M}, d_n). \end{aligned}$$

Hence,

$$\begin{aligned} \dim_{\mathbb{H}}(\mathbb{M}, (g_d)_n, \eta^a) &= \sup\{s \geq 0 : H_{\eta^a}^s(\mathbb{M}, (g_d)_n) \geq 1\} = \sup\{s \geq 0 : H_{\eta}^{as}(\mathbb{M}, d_n) \geq 1\} \\ &= \frac{1}{a} \sup\{as \geq 0 : H_{\eta}^{as}(\mathbb{M}, d_n) \geq 1\} = \frac{1}{a} \dim_{\mathbb{H}}(\mathbb{M}, d_n, \eta). \end{aligned}$$

This fact proves (7.3).

Let $f : \mathbb{M} \rightarrow \mathbb{M}$ be a continuous map such that $\text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f) > 0$. It follows from Example 7.1 that the image of the map $\text{mdim}_{\mathbb{M}}(\mathbb{M}, f) : \mathcal{A}_d^+(\mathbb{M}) \rightarrow \mathbb{R} \cup \{\infty\}$ contains the interval $[\text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f), \infty)$. Hence,

$$\sup_{d' \in \mathbb{M}(\tau)} \text{mdim}_{\mathbb{M}}(\mathbb{M}, d', f) = \infty.$$

Similar fact holds for the mean Hausdorff dimension.

Example 7.3 Consider $g(x) = \log(1 + x)$. Since $1 + x + y \leq 1 + x + y + xy$, we have

$$g(x + y) = \log(1 + x + y) \leq \log((1 + x)(1 + y)) = \log(1 + x) + \log(1 + y) = g(x) + g(y).$$

Hence, g is subadditive. Note that if g_1 and $g_2 \in \mathcal{A}^+[0, \infty)$, then $g_1 \circ g_2 \in \mathcal{A}^+[0, \infty)$. Consider $g_1(x) = x^a$, for $a \in (0, 1)$, and $g_2(x) = \log(1 + x)$. The composition $h(x) = g_2 \circ g_1(x) = \log(1 + x^a)$ belongs to $\mathcal{A}^+[0, \infty)$. We can prove that $k(h) = a$. Hence

$$\text{mdim}_{\mathbb{M}}(\mathbb{M}, h_d, f) = \frac{\text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f)}{a}.$$

Example 7.4 Suppose that $h : \mathbb{M} \rightarrow \mathbb{M}$ is α -Hölder for some $\alpha \in (0, 1)$, that is, there exists $K > 0$ such that

$$d(h(x), h(y)) \leq Kd(x, y)^\alpha \quad \text{for all } x, y \in \mathbb{M}.$$

Setting $d_h(x, y) = d(h(x), h(y))$ for all $x, y \in \mathbb{M}$, we have respectively from Examples 7.1 and 7.2 that

$$\text{mdim}_{\mathbb{M}}(\mathbb{M}, d_h, f) \leq \text{mdim}_{\mathbb{M}}(\mathbb{M}, d^\alpha, f) = \frac{\text{mdim}_{\mathbb{M}}(\mathbb{M}, d, f)}{\alpha}$$

and

$$\text{mdim}_{\mathbb{H}}(\mathbb{M}, d_h, f) \leq \text{mdim}_{\mathbb{H}}(\mathbb{M}, d^\alpha, f) = \frac{\text{mdim}_{\mathbb{H}}(\mathbb{M}, d, f)}{\alpha}.$$

If \mathbb{M} is a compact Riemannian manifold with $\dim(\mathbb{M}) \geq 2$, then the set \mathcal{G} consisting of homeomorphisms with positive metric mean dimension is residual in $\text{Hom}(\mathbb{M})$ (see [6]). Therefore, for any $f \in \mathcal{G}$, we have

$$0 = \text{mdim}(\mathbb{M}, f) < \sup_{d' \in \mathbb{M}(\tau)} \text{mdim}_{\mathbb{M}}(\mathbb{M}, d', f) = \sup_{d' \in \mathbb{M}(\tau)} \dim_{\mathbb{B}}(\mathbb{M}, d') = \infty,$$

where the first equality is because \mathbb{M} is finite dimensional (see [16], page 6). Similar result holds for the case of mean Hausdorff dimension, following the facts proved in [2].

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Declarations

Conflict of interest The authors declare no competing interests.

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