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# On some families of subsemigroups of a numerical semigroup

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## Abstract

To a given numerical semigroup  $S$  we associate a family of subsemigroups  $\{\partial^n S\}$ ,  $n \in \mathbb{N}$ , that permits us to understand some of the structure of  $S$ . We characterize this family in case  $S$  is a supersymmetric numerical semigroup or  $S$  has maximal embedding dimension. We also prove some properties related to embedding dimension and certain symmetry of the minimal generating set of the members of this family.

**Keywords** Numerical semigroup · Supersymmetric · Maximal embedding dimension · Minimal generating set

## 1 Introduction

A *numerical semigroup* is a subset  $S$  of the set of natural numbers  $\mathbb{N}$  such that  $S$  is closed under the sum,  $0 \in S$ , and  $\mathbb{N} \setminus S$  is a finite set. If  $S$  is a numerical semigroup, then  $S$  is finitely generated, that is, there are  $a_1, a_2, \dots, a_r \in S$  such that every element in  $S$  can be written in the form  $\sum_{i=1}^r c_i a_i$  where  $c_i \in \mathbb{N}$ ,  $i = 1, 2, \dots, r$ . The subset  $S^* \setminus (S^* + S^*)$  of  $S$  has the properties that it is finite, generates  $S$  and every generating set of  $S$  contains it. We call the set  $S^* \setminus (S^* + S^*)$  the *minimal generating set* of  $S$ , and we will denote it by  $\beta(S)$ . The cardinality of  $\beta(S)$  is called the *embedding dimension* of  $S$  and it is denoted by  $e(S)$ ; the least positive integer belonging to  $S$  is called the *multiplicity* of

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$S$ , and it is denoted by  $m(S)$ . It is known that  $m(S) = \min \beta(S)$  and  $e(S) \leq m(S)$ . When  $e(S) = m(S)$ , we say that  $S$  has maximal embedding dimension. If  $S \neq \mathbb{N}$ , the maximum element in  $\mathbb{N} \setminus S$  is called the Frobenius number of  $S$ , and it is denoted by  $F(S)$ ; and the cardinality of  $\mathbb{N} \setminus S$  is the genus of  $S$ , which is denoted by  $g(S)$ . If  $n \in S \setminus \{0\}$ , the Apéry set of  $n$  in  $S$ , denoted by  $A(S; n)$ , is defined as follows

$$A(S;n) = \{s \in S : s - n \notin S\}.$$

The Apéry set of  $n$  in  $S$  has the following properties (see [1, 3]):

1.  $|A(S;n)| = n$ .
2. Every element in  $S$  can be written uniquely in the form  $an + w$ , where  $a \in \mathbb{N}$  and  $w \in A(S;n)$ . Thus, the set  $[A(S;n) \setminus \{0\}] \cup \{n\}$  generates  $S$ .

Now we introduce some terminology in order to understand the main results of this paper. For a numerical semigroup  $S$ , the elements of  $\beta(S)$  are not expressible as a sum of two nonzero elements of  $S$ , but any other nonzero element of  $S$  can be represented as a sum of at least two elements in  $\beta(S)$ . Note that the set  $S \setminus \beta(S)$  is a numerical semigroup contained in  $S$ . We denote this numerical semigroup by  $\partial S$ . We observe that the elements in  $\beta(\partial S)$  are precisely the nonzero elements in  $S$  that are expressible as a sum of at least two nonzero elements of  $S$ , but that are not a sum of two elements in  $\partial S$ . Note that the elements in  $\beta(\partial S)$  cannot be written as a sum of 4 nonzero elements of  $S$ , but they can be written as a sum of 2 or 3 nonzero elements of  $S$ . We can consider the numerical semigroup  $\partial^2 S := \partial S \setminus \beta(\partial S)$ , its minimal generating set  $\beta(\partial^2 S)$  and note that elements in  $\beta(\partial^2 S)$  cannot be expressed as a sum of 8 nonzero elements of  $S$  nor as a sum of less than 4 nonzero elements of  $S$ . Actually, we define recursively a family of numerical semigroups  $\{\partial^n S\}_{n \in \mathbb{N}^*}$  as follows:

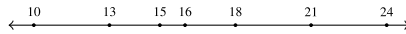
1.  $\partial^0 S = S$ , and
2.  $\partial^{n+1} S = \partial(\partial^n S)$ , for  $n \in \mathbb{N}$ .

This family of numerical semigroups  $\{\partial^n S\}_{n \in \mathbb{N}}$  and the family of minimal generating sets  $\{\beta(\partial^n S)\}_{n \in \mathbb{N}}$  can help us to understand some of the structure of the numerical semigroup  $S$ . We see that the set  $\beta(\partial^n S)$  is formed by those nonzero elements of  $S$  that are not expressible as a sum of fewer than  $2^n$  nonzero elements of  $S$  nor as a sum of at least  $2^{n+1}$  nonzero elements of  $S$ . However, the semigroup  $\partial^n S$  acquires properties that  $S$  may not have, as  $n$  increases. We explain precisely what this means. Let  $\mathcal{P}$  be a property of numerical semigroups. We will say that the property  $\mathcal{P}$  eventually appears in  $S$  if there exists  $n_0 \in \mathbb{N}$  such that  $\partial^n S$  has the property  $\mathcal{P}$ , for all  $n \geq n_0$ . In general, one would like to prove that for a given property  $\mathcal{P}$  of numerical semigroups and any numerical semigroup  $S$ , the property  $\mathcal{P}$  eventually appears in  $S$ , but this depends on the property  $\mathcal{P}$  and the numerical semigroup  $S$ , as we will see later.

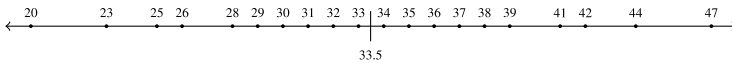
In many examples, the set  $\beta(\partial^n S)$  presents a nice symmetry property as  $n$  increases. For instance, consider the numerical semigroup  $S = \langle 5, 8 \rangle$ ; then we have

$$\beta(\partial S) = \{10, 13, 15, 16, 18, 21, 24\}.$$

We plot this numbers in the line as follows:



Next, we compute  $\beta(\partial^2 S) = \{20, 23, 25, 26, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 41, 42, 44, 47\}$  and we plot these numbers in the line:



We observe that the elements of  $\beta(\partial^2 S)$  are placed symmetrically with respect to  $(47 + 20)/2 = 33.5$ . This symmetry property of the set  $\beta(\partial^2 S)$  can be formulated as follows: for any pair of positive integers  $a$  and  $b$ , if  $a + b = \min \beta(\partial^2 S) + \max \beta(\partial^2 S)$ , then  $a \in \beta(\partial^2 S)$  if and only if  $b \in \beta(\partial^2 S)$ .

We call a numerical semigroup  $S$   $\beta$ -symmetric if it satisfies that for any pair of positive integers  $a$  and  $b$ , if  $a + b = \min \beta(S) + \max \beta(S)$ , then  $a \in \beta(S)$  if and only if  $b \in \beta(S)$ . This condition means precisely that when we put the elements of  $\beta(S)$  in the line, they are placed symmetrically with respect to  $(\min \beta(S) + \max \beta(S))/2$ .

A numerical semigroup  $S$  minimally generated by  $a_1, a_2, \dots, a_r$  is supersymmetric (see [2]) if and only if there are pairwise relatively prime numbers  $u_1, \dots, u_r$  such that

$$a_i = \prod_{k=1, k \neq i}^r u_k$$

for  $i = 1, 2, \dots, r$ . For instance, all numerical semigroups with embedding dimension 2 are supersymmetric.

Now we establish the main results of this paper. We prove that if  $S$ , minimally generated by  $a_1, a_2, \dots, a_r$ , is supersymmetric (assuming  $u_1 > u_j$  for  $j = 2, \dots, r$ ), then

$$\partial^n S = \left\{ \sum_{i=1}^r a_i x_i : x_i \in \mathbb{N}, \sum_{i=1}^r x_i \geq 2^n \right\} \cup \{0\}$$

and

$$\beta(\partial^n S) = \left\{ \sum_{i=1}^r a_i x_i : 2^n \leq \sum_{i=1}^r x_i < 2^{n+1}, 0 \leq x_i < u_i, i = 2, \dots, r \right\}$$

for all  $n \geq 0$ . We also prove that if  $S$  is supersymmetric, then the properties of having maximal embedding dimension and  $\beta$ -symmetry, eventually appear in  $S$ .

We prove that if  $S$  has maximal embedding dimension, then  $\partial S$  also has this property. We have conjectured that the property of having maximal embedding

dimension eventually appears in  $S$ . Evidence in examples suggests that if  $S$  has not maximal embedding dimension, then

$$e(\partial S) \geq 2e(S) + 1. \tag{1}$$

We prove that (1) is true if  $S$  is supersymmetric or  $S$  has embedding dimension 3.

## 2 General properties of the family $\{\partial^n S\}_n$

We can give a description of the sets  $\beta(\partial^n S)$  in terms of the length of representations of elements as sums of nonzero elements of  $S$ . For  $s \in S \setminus \{0\}$ , let  $l(s)$  and  $L(s)$  be the least and greatest number of summands among all representations of  $s$  as a sum of nonzero elements of  $S$ , respectively. Then, we have

$$\beta(\partial^n S) = \{s \in S \setminus \{0\} : 2^n \leq l(s), L(s) < 2^{n+1}\}.$$

But this description of  $\beta(\partial^n S)$  is not useful when we work with a specific semigroup  $S$ .

We prove now that the family of subsets of  $S$ ,  $\{\beta(\partial^n S)\}_{n \in \mathbb{N}}$ , is a partition of  $S \setminus \{0\}$ . We need the following lemma.

**Lemma 1** *If  $S$  is a numerical semigroup and  $n \geq 1$ , then*

$$\partial^n S = S \setminus \bigcup_{i=0}^{n-1} \beta(\partial^i S). \tag{2}$$

**Proof** By induction on  $n$ . By definition,  $\partial S = S \setminus \beta(S) = S \setminus \beta(\partial^0 S)$ . Assuming that  $\partial^n S = S \setminus \bigcup_{i=0}^{n-1} \beta(\partial^i S)$ , where  $n \geq 1$ , we have

$$\begin{aligned} \partial^{n+1} S &= \partial(\partial^n S) \\ &= \partial^n S \setminus \beta(\partial^n S) \\ &= \left[ S \setminus \bigcup_{i=0}^{n-1} \beta(\partial^i S) \right] \setminus \beta(\partial^n S) \\ &= S \setminus \bigcup_{i=0}^n \beta(\partial^i S). \end{aligned}$$

□

If  $S$  is a numerical semigroup and  $m(S)$  is its multiplicity, then  $m(\partial S) = 2m(S)$ , since the minimal nonzero element in  $S$  that does not belong to  $\beta(S)$  is  $2m(S)$ . So, by induction on  $n$ , we have

$$m(\partial^n S) = 2^n m(S) \tag{3}$$

for all  $n \in \mathbb{N}$ .

**Proposition 1** *Let  $S$  be a numerical semigroup. Then the sets  $\beta(\partial^n S)$ , for  $n \geq 0$ , form a partition of  $S \setminus \{0\}$ .*

**Proof** If  $m < n$ , where  $n, m \geq 0$ , then  $n \geq 1$  and by (2), we have  $\partial^n S = S \setminus \bigcup_{i=0}^{n-1} \beta(\partial^i S)$ , so that  $\beta(\partial^n S) \cap \beta(\partial^m S) = \emptyset$ . Now, in order to prove that  $S \setminus \{0\} = \bigcup_{n \in \mathbb{N}} \beta(\partial^n S)$ , note that by (3) there is an increasing sequence

$$m(S) < m(\partial S) < \dots < m(\partial^n S) < \dots$$

If  $s \in S \setminus \{0\}$ , then there exists  $n_0 \geq 1$  such that  $s < m(\partial^{n_0} S)$ , and this implies that  $s \notin \partial^{n_0} S$ . So, by (2),  $s \in \beta(\partial^i S)$  for some  $i < n_0$ . This ends the proof.  $\square$

**Proposition 2** *Let  $S$  be a numerical semigroup. Then*

- (1)  $F(\partial^n S) = \max\{F(S), \max \beta(\partial^{n-1} S)\}$ , for all  $n \geq 1$ .
- (2)  $g(\partial^n S) = g(S) + e(S) + e(\partial S) + \dots + e(\partial^{n-1} S)$ , for all  $n \geq 1$ .

**Proof**

- (1) If  $\max \beta(\partial^{n-1} S) \leq F(S)$ , the Frobenius number of  $\partial^n S$  is  $F(S)$ . If  $\max \beta(\partial^{n-1} S) > F(S)$ , then the Frobenius number of  $\partial^n S$  is  $\max \beta(\partial^{n-1} S)$ . In any case, we have  $F(\partial^n S) = \max\{F(S), \max \beta(\partial^{n-1} S)\}$ .
- (2) Using (2) we have

$$\begin{aligned} g(\partial^n S) &= |\mathbb{N} \setminus \partial^n S| \\ &= \left| \mathbb{N} \setminus \left( S \setminus \bigcup_{i=0}^{n-1} \beta(\partial^i S) \right) \right| \\ &= \left| (\mathbb{N} \setminus S) \cup \bigcup_{i=0}^{n-1} \beta(\partial^i S) \right| \\ &= |\mathbb{N} \setminus S| + \sum_{i=0}^{n-1} |\beta(\partial^i S)| \\ &= g(S) + \sum_{i=0}^{n-1} e(\partial^i S) \end{aligned}$$

$\square$

By part (1) of Proposition 2, for any numerical semigroup  $S$ , there is some  $n_0 \geq 1$  such that  $F(\partial^n S) = \max \beta(\partial^{n-1} S)$ , for all  $n \geq n_0$ . Thus, the property that  $F(\partial S) = \max \beta(S)$  eventually appears in  $S$ .

**Theorem 1** *Let  $S$  be a numerical semigroup with maximal embedding dimension. Then,  $\partial S$  also has maximal embedding dimension.*

**Proof** We must prove that  $e(\partial S) = 2m(S)$ . Let  $n = e(S)$ . Since  $S$  has maximal embedding dimension, we also have  $n = m(S)$ . As  $\beta(S)$  is a subset of  $[A(S;n)\setminus\{0\}] \cup \{n\}$  and  $|\beta(S)| = n = |[A(S;n)\setminus\{0\}] \cup \{n\}|$ , we have  $\beta(S) = [A(S;n)\setminus\{0\}] \cup \{n\}$ .

Now, every element of  $S$  can be written uniquely as  $an + w$ , where  $a \in \mathbb{N}$  and  $w \in A(S;n)$ . Nonzero elements in  $\partial S$  can be written in the form  $an + w$  where  $a \geq 2$  or,  $a = 1$  and  $w \neq 0$ . Thus, the set of elements of the form  $an + w$ , where  $a \in \{1, 2\}$  and  $w \in \beta(S)$ , generates  $\partial S$ .

We claim that  $\beta(\partial S) = \{an + w : a \in \{1, 2\}, w \in \beta(S)\}$ . It suffices to show that the sum of two elements in  $\{an + w : a \in \{1, 2\}, w \in \beta(S)\}$  does not lie in  $\{an + w : a \in \{1, 2\}, w \in \beta(S)\}$ . Now, if  $a_1n + w_1 = (a_2n + w_2) + (a_3n + w_3)$ , where  $a_i \in \{1, 2\}$  and  $w_i \in \beta(S)$ ,  $i = 1, 2, 3$ ; then  $w_1 = (a_2 + a_3 - a_1)n + w_2 + w_3$  (note that  $a_2 + a_3 - a_1 \geq 0$ ); but this means that  $w_1 \notin \beta(S)$ , a contradiction. This proves our claim.

Finally, there are  $2n$  elements of the form  $an + w$ , where  $a \in \{1, 2\}$  and  $w \in \beta(S)$ . This ends the proof. □

As we can see in the proof of Theorem 1, if  $S$  has maximal embedding dimension, then

$$\beta(\partial S) = \{am(S) + w : 1 \leq a \leq 2, w \in \beta(S)\}.$$

By induction, we get the following result.

**Proposition 3** *Let  $S$  be a numerical semigroup with maximal embedding dimension. If  $n \geq 0$ , then*

$$\beta(\partial^n S) = \{am(S) + w : 2^n - 1 \leq a \leq 2^{n+1} - 2, w \in \beta(S)\}.$$

Hence,  $\partial^n S = \{am(S) + w : 2^n - 1 \leq a, w \in \beta(S)\} \cup \{0\}$ .

Given a numerical semigroup  $S$ , we wish to prove that the property of having maximal embedding dimension eventually appears in  $S$ . In fact, all evidence suggests that this is true. We have the following conjecture.

**Conjecture 1** *For any numerical semigroup  $S$ , the property of having maximal embedding dimension eventually appears in  $S$ .*

Of course, if we are able to prove that there is some  $n_0 \in \mathbb{N}$  such that  $\partial^{n_0} S$  has maximal embedding dimension, then, by Theorem 1, the property of having maximal embedding dimension eventually appears in  $S$ . Evidence shows that  $e(\partial^n S)$  strictly increases with  $n$ , and that if  $S$  has not maximal embedding dimension, then  $e(\partial S) \geq 2e(S) + 1$ .

**Conjecture 2** *If  $S$  has not maximal embedding dimension, then*

$$e(\partial S) \geq 2e(S) + 1.$$



We will prove later that this conjecture is true in the cases of  $S$  supersymmetric or  $e(S) = 3$ .

**Proposition 4** *Let us assume that  $S$  has maximal embedding dimension. If  $S$  is  $\beta$ -symmetric, then  $\partial S$  is  $\beta$ -symmetric.*

**Proof** Let  $S$  be maximally generated by  $n = a_1 < a_2 < \dots < a_n$ . Being  $\beta$ -symmetric is equivalent to say that for any  $j \in \{1, 2, \dots, n\}$ ,  $a_1 + a_n - a_j = a_k$  for some  $k \in \{1, 2, \dots, n\}$ . Now, by Proposition 3,  $\beta(S) = \{ra_1 + a_j : r \in \{1, 2\}, 1 \leq j \leq n\}$ . Note that  $\max \beta(\partial S) = 2a_1 + a_n$  and  $\min \beta(\partial S) = 2a_1$ . Thus, to prove that  $\partial S$  is  $\beta$ -symmetric, we have to prove that  $(4a_1 + a_n) - (ra_1 + a_j) \in \beta(\partial S)$ , where  $r \in \{1, 2\}$  and  $1 \leq j \leq n$ . In fact, if  $r \in \{1, 2\}$  and  $1 \leq j \leq n$ , then  $(4a_1 + a_n) - (ra_1 + a_j) = (3 - r)a_1 + (a_1 + a_n - a_j) \in \beta(\partial S)$ , since  $3 - r \in \{1, 2\}$  and  $a_1 + a_n - a_j = a_k$  for some  $1 \leq k \leq n$ . This ends the proof.  $\square$

If  $0 < r \leq k$ , let  $S_{k,r} = \{0, k, k + r, \dots\}$ . Then,  $\partial^n S_{k,r} = S_{2^n k, r}$  for all  $n \geq 0$ . We have

$$\beta(S_{k,r}) = \{k\} \cup (\{k + r, k + r + 1, \dots, 2k + r - 1\} \setminus \{2k\}),$$

so we see that  $S_{k,r}$  is not  $\beta$ -symmetric for any  $k > 1$  (also,  $S_{k,r}$  has maximal embedding dimension). Thus, the property of being  $\beta$ -symmetric does not appear eventually in  $S_{k,r}$ .

### 3 Supersymmetric numerical semigroups

In this section,  $u_1, u_2, \dots, u_r$  are integers greater than 1 that are pairwise relatively prime and  $u_1 > u_j$  for  $j = 2, \dots, r$ . For  $i = 1, 2, \dots, r$ , let

$$a_i = \prod_{k=1, k \neq i}^r u_k.$$

**Lemma 2** *The integer solutions of the linear equation*

$$\sum_{i=1}^r a_i x_i = 0 \tag{4}$$

are of the form  $x_i = u_i \alpha_i$ , where  $\alpha_i$  is an integer for  $i = 1, 2, \dots, r$ , and  $\sum_{i=1}^r \alpha_i = 0$ .

**Proof** If  $x_1, x_2, \dots, x_r$  satisfy (4), then  $u_i = \gcd(a_1, \dots, a_{i-1}, a_{i+1}, \dots, a_r)$  divides  $x_i$ ; so  $x_i = u_i \alpha_i$  for some integer  $\alpha_i$ . Therefore, by replacing  $x_i$  into (4) we get

$$\begin{aligned} \sum_{i=1}^r a_i x_i &= \sum_{i=1}^r a_i (u_i \alpha_i) \\ &= \sum_{i=1}^r (u_1 u_2 \cdots u_r) \alpha_i. \end{aligned}$$

Thus,  $\sum_{i=1}^r \alpha_i = 0$ . The converse is easy to verify. □

**Lemma 3** *If  $\sum_{i=1}^r a_i x_i = \sum_{i=1}^r a_i x'_i$ , where  $x_i, x'_i \in \{0, 1, \dots, u_i - 1\}$  for  $i = 2, \dots, r$ , then  $x_i = x'_i$  for all  $i \in \{1, 2, \dots, r\}$ .*

**Proof** Assume that  $\sum_{i=1}^r a_i x_i = \sum_{i=1}^r a_i x'_i$ , where  $0 \leq x_i < u_i$  and  $0 \leq x'_i < u_i$  for  $i = 2, \dots, r$ . By Lemma 2, for  $i = 2, \dots, r$ , we have  $u_i \mid (x_i - x'_i)$ , and since  $0 \leq x_i < u_i$  and  $0 \leq x'_i < u_i$ , it follows that  $x_i = x'_i$ ,  $i = 2, \dots, r$ . Then  $a_1 x_1 + \sum_{i=2}^r a_i x_i = a_1 x'_1 + \sum_{i=2}^r a_i x_i$ , which implies that  $a_1 x_1 = a_1 x'_1$ , and therefore  $x_1 = x'_1$ . This ends the proof. □

Let  $S$  be the numerical semigroup generated by  $a_1, a_2, \dots, a_r$ . Of course, we have  $\beta(S) = \{a_1, a_2, \dots, a_r\}$ .

**Lemma 4** *Every element in  $S$  can be represented in a unique way in the form*

$$\sum_{i=1}^r a_i x_i,$$

where  $0 \leq x_i < u_i$ , for  $i = 2, 3, \dots, r$ .

**Proof** Every element in  $S$  is of the form  $\sum_{i=1}^r a_i y_i$  where  $y_i \geq 0$ ,  $i = 1, 2, \dots, r$ . For  $i = 2, \dots, r$ , there are non-negative integers  $q_i$  and  $x_i$  such that  $y_i = u_i q_i + x_i$  and  $0 \leq x_i < u_i$ . Then

$$\begin{aligned} \sum_{i=1}^r a_i y_i &= a_1 y_1 + \sum_{i=2}^r a_i (u_i q_i + x_i) \\ &= a_1 y_1 + \sum_{i=2}^r a_i u_i q_i + \sum_{i=2}^r a_i x_i \\ &= a_1 y_1 + \sum_{i=2}^r (u_1 u_2 \cdots u_r) q_i + \sum_{i=2}^r a_i x_i \\ &= a_1 \left[ y_1 + \sum_{i=2}^r u_i q_i \right] + \sum_{i=2}^r a_i x_i \\ &= \sum_{i=1}^r a_i x_i, \end{aligned}$$

where  $x_1 = y_1 + \sum_{i=2}^r u_1 q_i$ . This shows that every element in  $S$  can be expressed in the desired way. The uniqueness follows from Lemma 3.  $\square$

**Theorem 2** *Let  $S = \langle a_1, \dots, a_r \rangle$ . Then*

$$\partial^n S = \left\{ \sum_{i=1}^r a_i x_i : x_i \geq 0, \sum_{i=1}^r x_i \geq 2^n \right\} \cup \{0\}$$

and

$$\beta(\partial^n S) = \left\{ \sum_{i=1}^r a_i x_i : 2^n \leq \sum_{i=1}^r x_i < 2^{n+1}, 0 \leq x_i < u_i, i = 2, \dots, r \right\}$$

for all  $n \geq 0$ .

**Proof** For each  $n \geq 0$ , we define

$$S_n := \left\{ \sum_{i=1}^r a_i x_i : x_i \geq 0, \sum_{i=1}^r x_i \geq 2^n \right\} \cup \{0\}$$

and

$$T_n := \left\{ \sum_{i=1}^r a_i x_i : 2^n \leq \sum_{i=1}^r x_i < 2^{n+1}, 0 \leq x_i < u_i, i = 2, \dots, r \right\}.$$

It is clear that  $S_n$  is a numerical semigroup. We show, by induction on  $n$ , that  $\partial^n S = S_n$  and  $\beta(\partial^n S) = T_n$ , for all  $n \geq 0$ .

First, we have  $S = \partial^0 S = S_0$ . Besides, the condition  $2^0 = 1 \leq \sum_{i=1}^r x_i < 2$  means that  $\sum_{i=1}^r x_i = 1$ , which is equivalent to say that one of the  $x_i$  is 1 and the other are 0. Thus,  $T_0 = \{a_1, a_2, \dots, a_r\} = \beta(S) = \beta(\partial^0 S)$ .

We assume that  $\partial^k S = S_k$  and  $\beta(\partial^k S) = T_k$  for  $k = 0, \dots, n$ . Now,

$$\partial^{n+1} S = S \setminus \bigcup_{k=0}^n \beta(\partial^k S) = S \setminus \bigcup_{k=0}^n T_k$$

and observe that

$$\bigcup_{k=0}^n T_k = \left\{ \sum_{i=1}^r a_i x_i : 0 \leq x_i < u_i, i = 2, \dots, r, \text{ and } 1 \leq \sum_{i=1}^r x_i < 2^{n+1} \right\}.$$

To show that  $S_{n+1} \subseteq \partial^{n+1} S$ , we take  $x \in S_{n+1}$  and write  $x = \sum_{i=1}^r a_i x_i$  where  $\sum_{i=1}^r x_i = 0$  or  $\sum_{i=1}^r x_i \geq 2^{n+1}$ . If  $\sum_{i=1}^r x_i = 0$ , then  $x = 0 \in \partial^{n+1} S$ . Now, we assume that  $\sum_{i=1}^r x_i \geq 2^{n+1}$ . We have to show that  $x \notin \bigcup_{k=0}^n T_k$ ; so, by contradiction, suppose that  $x \in \bigcup_{k=0}^n T_k$ . Then  $x = \sum_{i=1}^r a_i x'_i$  where  $0 \leq x'_i < u_i$  for  $i = 2, \dots, r$  and  $1 \leq \sum_{i=1}^r x'_i < 2^{n+1}$ . By Lemma 4, there are integers  $\alpha_i, i = 1, 2, \dots, r$  such that

$x_i = u_i\alpha_i + x'_i$  and  $\sum_{i=1}^r \alpha_i = 0$ . For  $i = 2, \dots, r$ , we have  $\alpha_i \geq 0$  because on the contrary it would be  $x_i = u_i\alpha_i + x'_i < 0$ . Then, we have

$$2^{n+1} \leq \sum_{i=1}^r x_i = \sum_{i=1}^r (u_i\alpha_i + x'_i) = \sum_{i=1}^r u_i\alpha_i + \sum_{i=1}^r x'_i < 2^{n+1} + \sum_{i=1}^r u_i\alpha_i,$$

that is,  $\sum_{i=1}^r u_i\alpha_i > 0$ . Now,  $\alpha_1 = -\sum_{i=2}^r \alpha_i$ , so  $\sum_{i=1}^r u_i\alpha_i = \sum_{i=2}^r (u_i - u_1)\alpha_i \leq 0$ , which is a contradiction.

To show that  $\partial^{n+1}S \subseteq S_{n+1}$ , let  $x \in \partial^{n+1}S = S \setminus \bigcup_{k=0}^n T_k$ . By Lemma 4,  $x$  can be represented in the form  $x = \sum_{i=1}^r a_i x_i$  where  $0 \leq x_i < u_i$ ,  $i = 2, \dots, r$ . If  $\sum_{i=1}^r x_i < 2^{n+1}$ , then  $x \in \bigcup_{k=0}^n T_k$ , which is absurd. Therefore  $\sum_{i=1}^r x_i \geq 2^{n+1}$ , and this shows that  $x \in S_{n+1}$ . Thus, we have shown that

$$\partial^{n+1}S = \left\{ \sum_{i=1}^r a_i x_i : x_i \geq 0, \sum_{i=1}^r x_i \geq 2^n \right\} \cup \{0\}.$$

Now we prove that  $\beta(S_{n+1}) = T_{n+1}$ . First, if  $x, y \in T_{n+1}$ , then  $x = \sum_{i=1}^r a_i x_i$  and  $y = \sum_{i=1}^r a_i y_i$  where  $0 \leq x_i < u_i, 0 \leq y_i < u_i$  for  $i = 2, \dots, r$ ,  $2^{n+1} \leq \sum_{i=1}^r x_i < 2^{n+2}$  and  $2^{n+1} \leq \sum_{i=1}^r y_i < 2^{n+2}$ . So,  $x + y = \sum_{i=1}^r a_i(x_i + y_i)$  and  $\sum_{i=1}^r (x_i + y_i) \geq 2^{n+2}$ . If  $x + y \in T_{n+1}$ , then  $x + y = \sum_{i=1}^r a_i x'_i$  where  $0 \leq x'_i < u_i$  for  $i = 2, \dots, r$  and  $\sum_{i=1}^r x'_i < 2^{n+2}$ . By Lemma 2, there are integers  $\alpha_i$ ,  $i = 1, 2, \dots, r$  such that  $x_i + y_i = u_i\alpha_i + x'_i$  and  $\sum_{i=1}^r \alpha_i = 0$ , where  $\alpha_i \geq 0$  for  $i = 2, \dots, r$ , and this yields to

$$2^{n+2} \leq \sum_{i=1}^r (x_i + y_i) = \sum_{i=1}^r u_i\alpha_i + \sum_{i=1}^r x'_i < 2^{n+2} + \sum_{i=1}^r u_i\alpha_i,$$

that is,  $\sum_{i=1}^r u_i\alpha_i > 0$ . Now, since  $\alpha_1 = -\sum_{i=2}^r \alpha_i$ , we have  $\sum_{i=1}^r u_i\alpha_i = \sum_{i=2}^r (u_i - u_1)\alpha_i \leq 0$ , which is a contradiction. Thus,  $x + y \notin T_{n+1}$ . This shows that  $\beta(\langle T_{n+1} \rangle) = T_{n+1}$ .

It remains to show that  $T_{n+1}$  generates  $S_{n+1}$ . Since  $T_{n+1} \subseteq S_{n+1}$ ,  $\langle T_{n+1} \rangle \subseteq S_{n+1}$ . To show that  $S_{n+1} \subseteq \langle T_{n+1} \rangle$ , let us take  $x \in S_{n+1}$ . By Lemma 4,  $x$  can be represented as  $x = \sum_{i=1}^r a_i x_i$ , where  $0 \leq x_i < u_i$  for  $i = 2, \dots, r$ . It cannot occur that  $\sum_{i=1}^r x_i < 2^{n+1}$  because  $x \in S_{n+1}$ . Thus, we have  $\sum_{i=1}^r x_i \geq 2^{n+1}$ .

Write  $\sum_{i=1}^r x_i = q2^{n+1} + s$ , where  $0 \leq s < 2^{n+1}$  and  $q \geq 1$ . For  $j = 1, 2, \dots, q + 1$  and  $i = 1, 2, \dots, r$  we can find non-negative integers  $y_{ij}$  such that

$$\sum_{i=1}^r y_{ij} = 2^{n+1}, j = 1, \dots, q; \sum_{i=1}^r y_{i(q+1)} = s \text{ and } \sum_{j=1}^{q+1} y_{ij} = x_i, i = 1, 2, \dots, r.$$

Thus, we have  $y_j := \sum_{i=1}^r a_i y_{ij} \in T_{n+1}$ , for  $j = 1, \dots, q - 1$  and  $y_q := \sum_{i=1}^r a_i (y_{iq} + y_{i(q+1)}) \in T_{n+1}$ . We see that  $x = \sum_{j=1}^q y_j$ , which shows that  $x \in \langle T_{n+1} \rangle$ . This ends the proof.  $\square$

For each  $(r - 1)$ -tuple of the form  $(x_2, x_3, \dots, x_r)$ , where  $0 \leq x_i < u_i$ ,  $i = 2, 3, \dots, r$ , let  $s = x_2 + \dots + x_r$  and let us define the following set

$$A(x_2, x_3, \dots, x_r) := \{(k - s)a_1 + x_2a_2 + \dots + x_ra_r : 2^n \leq k < 2^{n+1}\}.$$

If  $s > k$ , then  $(k - s)a_1 + x_2a_2 + \dots + x_ra_r \notin S$ . In fact, if

$$(k - s)a_1 + x_2a_2 + \dots + x_ra_r = y_1a_1 + y_2a_2 + \dots + y_ra_r,$$

where  $y_1 \geq 0$  and  $0 \leq y_i < u_i$ ,  $i = 2, \dots, r$ ; then, by Lemma 3, it follows that  $y_1 = k - s < 0$ , a contradiction.

The sets  $A(x_2, x_3, \dots, x_r)$  are pairwise disjoint (by Lemma 3) and each of them has  $2^n$  elements. Therefore,  $\bigcup A(x_2, x_3, \dots, x_r)$  (this union runs over all tuples of the form  $(x_2, x_3, \dots, x_r)$ , where  $0 \leq x_i < u_i$ ,  $i = 2, \dots, r$ ) has  $2^n u_2 \cdots u_r = 2^n a_1$  elements. Note that  $\beta(\partial^n S)$  is the set of elements in  $\bigcup A(x_2, x_3, \dots, x_r)$  of the form  $(k - s)a_1 + x_2a_2 + \dots + x_ra_r$  for which  $k \geq s$ , where  $s = x_2 + \dots + x_r$ .

For each tuple  $(x_2, x_3, \dots, x_r)$ , where  $0 \leq x_i < u_i$ ,  $i = 2, \dots, r$ , and  $n \geq 0$ , we define  $\alpha(x_2, x_3, \dots, x_r, n)$  to be the number of elements of the form  $(k - s)a_1 + x_2a_2 + \dots + x_ra_r$ , where  $s = x_2 + \dots + x_r$ , such that  $2^n \leq k < 2^{n+1}$  and  $k \geq s$ . Note that  $0 \leq \alpha(x_2, x_3, \dots, x_r, n) \leq 2^n$ . Thus,

$$e(\partial^n S) = \sum \alpha(x_2, x_3, \dots, x_r, n).$$

The last sum is taken over all tuples  $(x_2, x_3, \dots, x_r)$ , where  $0 \leq x_i < u_i$ ,  $i = 2, \dots, r$ . For instance, when  $r = 2$ , we have

$$\alpha(x_2, n) = \begin{cases} 2^n, & \text{if } x_2 \leq 2^n; \\ 2^{n+1} - x_2, & \text{if } 2^n < x_2 < 2^{n+1}; \\ 0, & \text{if } 2^{n+1} \leq x_2, \end{cases}$$

and

$$\begin{aligned} e(\partial^n S) &= \sum_{x_2=0}^{u_2-1} \alpha(x_2, n) \\ &= \begin{cases} 2^n u_2, & \text{if } u_2 - 1 \leq 2^n; \\ 2^{n+1} u_2 - 2^{2n-1} - 2^{n-1} - \frac{(u_2-1)u_2}{2}, & \text{if } 2^n < u_2 - 1 < 2^{n+1}; \\ 3 \cdot 2^{2n-1} + 2^{n-1}, & \text{if } 2^{n+1} \leq u_2 - 1. \end{cases} \end{aligned}$$

**Proposition 5** *Let  $S = \langle a_1, a_2, \dots, a_r \rangle$ . For  $n \geq 0$ ,  $\partial^n S$  has maximal embedding dimension if and only if  $u_2 + \dots + u_r \leq 2^n + r - 1$ .*

**Proof** The condition that  $\partial^n S$  has maximal embedding dimension is equivalent to the equality  $\alpha(x_2, x_3, \dots, x_r, n) = 2^n$  for all tuples  $(x_2, x_3, \dots, x_r)$ , where  $0 \leq x_i < u_i$ ,  $i = 2, \dots, r$ . This means that  $k \geq x_2 + x_3 + \dots + x_r$  for all  $2^n \leq k < 2^{n+1}$  and all tuples  $(x_2, x_3, \dots, x_r)$ , where  $0 \leq x_i < u_i$ ,  $i = 2, \dots, r$ . By taking  $x_i = u_i - 1$ ,  $i = 2, \dots, r$ , and  $k = 2^n$ , we obtain  $u_2 + \dots + u_r - r + 1 \leq 2^n$ . It is clear that if

$u_2 + \dots + u_r - r + 1 \leq 2^n$ , then  $k \geq x_2 + x_3 + \dots + x_r$  for all  $2^n \leq k < 2^{n+1}$  and all tuples  $(x_2, x_3, \dots, x_r)$ , where  $0 \leq x_i < u_i, i = 2, \dots, r$ . This ends the proof.  $\square$

**Proposition 6** *Let  $S = \langle a_1, a_2, \dots, a_r \rangle$ . If  $u_2 + \dots + u_r \leq 2^n + r - 1$ , then  $\partial^n S$  is  $\beta$ -symmetric.*

**Proof** Note that  $\max \beta(\partial^n S) = (2^{n+1} - 1)a_1$  and

$$\min \beta(\partial^n S) = \left( 2^n - \sum_{i=2}^r (u_i - 1) \right) a_1 + \sum_{i=2}^r (u_i - 1) a_i. \tag{5}$$

Note that the condition  $u_2 + \dots + u_r \leq 2^n + r - 1$  implies that the coefficient of  $a_1$  in the right hand side of (5) is a non-negative integer. Now, every element in  $\beta(\partial^n S)$  has the form  $(k - s)a_1 + a_2x_2 + \dots + a_r x_r$ , where  $0 \leq x_i < u_i, i = 2, \dots, r, s = x_2 + \dots + x_r, 2^n \leq k < 2^{n+1}$  and  $k \geq s$ . We must show that the element

$$t := \max \beta(\partial^n S) + \min \beta(\partial^n S) - [(k - s)a_1 + a_2x_2 + \dots + a_r x_r]$$

belongs to  $\beta(\partial^n S)$ . In fact, we see that

$$\begin{aligned} t &= \left( 2^{n+1} - 1 + 2^n - \sum_{i=2}^r (u_i - 1) - k + s \right) a_1 + \sum_{i=2}^r (u_i - 1 - x_i) a_i \\ &= \left( 3 \cdot 2^n - 1 - \sum_{i=2}^r (u_i - 1) - k + s \right) a_1 + \sum_{i=2}^r (u_i - 1 - x_i) a_i. \end{aligned}$$

Let  $s' = \sum_{i=2}^r (u_i - 1 - x_i)$  and  $k' = 3 \cdot 2^n - 1 - k$ . Observe that  $0 \leq u_i - 1 - x_i < u_i$  for  $i = 2, \dots, r$  and  $2^n \leq 3 \cdot 2^n - 1 - k < 2^{n+1}$ , that is  $2^n \leq k' < 2^{n+1}$ . Now,

$$k' - s' = (3 \cdot 2^n - 1 - k) - \sum_{i=2}^r (u_i - 1 - x_i) = 3 \cdot 2^n - 1 - \sum_{i=2}^r (u_i - 1) - k + s.$$

Therefore,  $t = (k' - s')a_1 + \sum_{i=2}^r (u_i - 1 - x_i)a_i$ . We also have  $k' = 3 \cdot 2^n - 1 - k \geq \sum_{i=2}^r (u_i - 1 - x_i) = s'$ , by hypothesis. This proves that  $t \in \beta(\partial^n S)$ .  $\square$

#### 4 The conjecture $e(\partial S) \geq 2e(S) + 1$

Conjecture 2 says that for all numerical semigroup  $S$  without maximal embedding dimension, the inequality  $e(\partial S) \geq 2e(S) + 1$  holds. Equality holds for some numerical semigroups. For instance, let  $a, b > 1$  be relatively prime,  $T = \langle a, b \rangle$  and assume that  $F(T) = (a - 1)(b - 1) - 1 > a, b$ . This implies that if  $S = \langle a, b, F(T) \rangle = T \cup \{F(T)\}$ , then  $\beta(S) = \{a, b, F(T)\}$ . Thus,  $e(S) = 3, \partial S = \partial T$  and  $e(\partial S) = e(\partial T) = 7$ .

In this section we prove that Conjecture 2 is true if  $S$  is supersymmetric or  $e(S) = 3$ . In fact, in the case  $S$  is supersymmetric, we have the following result.

**Theorem 3** *If  $S$  is a supersymmetric numerical semigroup that does not have maximal embedding dimension, then  $e(\partial S) \geq 2e(S) + 3$ . Equality holds if and only if  $e(S) = 2$ .*

**Proof** If  $S = \langle a_1, a_2, \dots, a_r \rangle$  is supersymmetric as in Theorem 2, then  $\beta(\partial S)$  is the set of all elements of the form  $(k - s)a_1 + x_2a_2 + \dots + x_ra_r$ , where  $0 \leq x_i < u_i$ ,  $i = 2, \dots, r$ ,  $s = x_2 + \dots + x_r$ ,  $k \in \{2, 3\}$  and  $k \geq s$ . By counting the number of elements in  $\beta(\partial S)$ , we find that  $e(\partial S) = 1 - r + 2r^2 + \binom{r-1}{2}$ . Now, if we assume that  $S$  has not maximal embedding dimension, then  $r \geq 2$ . Thus, we have

$$e(\partial S) = 1 - r + 2r^2 + \binom{r-1}{2} \geq 1 - r + 2r^2 \geq 2r + 3 = 2e(S) + 3$$

(in the second inequality we use that  $r \geq 2$ ). It is easy to see that equality  $e(\partial S) = 2e(S) + 3$  holds if and only if  $e(S) = 2$ . □

Before we start proving Conjecture 2 for the case of dimension 3, we prove the following lemma, that gives us a generating set of  $\partial S$  in general.

**Lemma 5** *Let  $S$  be minimally generated by  $a_1, a_2, \dots, a_r$ , where  $r = e(S)$ . Then, the elements of the form  $a_1x_1 + a_2x_2 + \dots + a_rx_r$ , where  $x_1 + x_2 + \dots + x_r \in \{2, 3\}$ , generate  $\partial S$ .*

**Proof** Every nonzero element in  $\partial S$  can be represented as a sum  $s_1 + s_2 + \dots + s_t$ , where  $s_i \in \beta(S) = \{a_1, a_2, \dots, a_r\}$ ,  $i = 1, 2, \dots, t$ , with  $t \geq 2$ . We have two cases depending on the parity of  $t$ . If  $t = 2q$  for some  $q \geq 1$ , then  $s_1 + s_2 + \dots + s_t = (s_1 + s_2) + (s_3 + s_4) + \dots + (s_{t-1} + s_t)$ . If  $t = 2q + 3$  for some  $q \geq 0$ , then  $s_1 + s_2 + \dots + s_t = (s_1 + s_2) + (s_3 + s_4) + \dots + (s_{t-4} + s_{t-3}) + (s_{t-2} + s_{t-1} + s_t)$ . In any case, the element  $s_1 + s_2 + \dots + s_t$  can be represented a sum of elements of the form  $a_1x_1 + a_2x_2 + \dots + a_rx_r$ , where  $x_1 + x_2 + \dots + x_r \in \{2, 3\}$ . □

For the rest of this section, let  $S = \langle a_1, a_2, a_3 \rangle$ , with  $\beta(S) = \{a_1, a_2, a_3\}$  and  $3 < a_1 < a_2 < a_3$ . Our purpose is to prove that  $\partial S$  has at least 7 minimal generators. By Lemma 5, the minimal generators of  $\partial S$  have the form  $xa_1 + ya_2 + za_3$ , where  $x + y + z \in \{2, 3\}$ . We set

$$G(S) = \{xa_1 + ya_2 + za_3 : x + y + z \in \{2, 3\}\}.$$

In general,  $G(S)$  has at most 16 elements, which implies that  $e(\partial S) \leq 16$ . The equality is achieved, for instance with  $S = \langle 14, 16, 19 \rangle$ , where  $\beta(\partial S) = \{28, 30, 32, 33, 35, 38, 42, 44, 46, 47, 48, 49, 51, 52, 54, 57\}$  has 16 elements.

Let  $a \in G(S)$  and assume that  $a \notin \beta(\partial S)$ . Then  $a$  can be expressed as a linear combination of the elements in  $G(S) \setminus \{a\}$ . This implies that  $a$  can be written in the form

$$a = c_1a_1 + c_2a_2 + c_3a_3, \tag{6}$$

where  $c_i \geq 0$ . We will call any representation of  $a$  as in (6) an  $L$ -representation of  $a$ . For instance, let us say that  $a \in G(S)$  can be represented as  $a = r(a_1 + a_2) + s(a_1 + a_3) + t(3a_2)$ , then  $a = (r + s)a_1 + (r + 3t)a_2 + sa_3$  is an  $L$ -representation of  $a$ . For simplicity, when we have an  $L$ -representation of  $a$ , say  $a = c_1a_1 + c_2a_2 + c_3a_3$ , we will simply say that we have an  $L$ -representation  $a = c_1a_1 + c_2a_2 + c_3a_3$ .

**Lemma 6** *Suppose that  $\{i, j, k\} = \{1, 2, 3\}$ . Let  $a \in G(S)$ . Assume that  $a \notin \beta(\partial S)$  and that  $a$  can be expressed as a linear combination of  $a_i$  and  $a_j$ . Then, in every  $L$ -representation of  $a$ , the coefficient of  $a_k$  is positive.*

**Proof** The submonoid  $\langle a_i, a_j \rangle$  of  $\mathbb{N}$  is isomorphic to the numerical semigroup  $\langle a_i/d, a_j/d \rangle$ , where  $d = \text{gcd}(a_i, a_j)$ . The numerical semigroup  $\langle a_i/d, a_j/d \rangle$  is supersymmetric, so

$$\beta(\langle a_i/d, a_j/d \rangle) = \{2a_i/d, 2a_j/d, a_i/d + a_j/d, 3a_i/d, 3a_j/d, 2a_i/d + a_j/d, a_i/d + 2a_j/d\}.$$

Therefore, the monoid  $\langle a_i, a_j \rangle \setminus \{a_i, a_j\}$  is minimally generated by  $\{2a_i, 2a_j, a_i + a_j, 3a_i, 3a_j, 2a_i + a_j, a_i + 2a_j\}$ .

Now, if  $a = c_1a_1 + c_2a_2 + c_3a_3$  is an  $L$ -representation of  $a$  and  $c_k = 0$ , then we have  $a \in \{2a_i, 2a_j, a_i + a_j, 3a_i, 3a_j, 2a_i + a_j, a_i + 2a_j\}$  and  $a$  can be represented as a linear combination of elements in  $\{2a_i, 2a_j, a_i + a_j, 3a_i, 3a_j, 2a_i + a_j, a_i + 2a_j\} \setminus \{a\}$ , which is absurd.  $\square$

**Lemma 7**  $2a_1, a_1 + a_2 \in \beta(\partial S)$ .

**Proof** Since  $2a_1 = \min(\partial S \setminus \{0\})$ , we have  $2a_1 \in \beta(\partial S)$ . Now,  $a_1 + a_2 = \min(\partial S \setminus \{0, 2a_1\})$ , so it is impossible to write  $a_1 + a_2$  as a sum of nonzero elements of  $\partial S$ , unless  $a_1 + a_2$  is a multiple of  $2a_1$ , which is not possible either.  $\square$

Note that the proof of Lemma 7 does not use the hypothesis that  $S$  has dimension 3. Therefore, in general, if  $S$  is minimally generated by  $a_1 < a_2 < \dots < a_r$ , where  $r \geq 2$ , then  $2a_1, a_1 + a_2 \in \beta(\partial S)$ .

**Lemma 8**  $3a_1 \in \beta(\partial S)$ .

**Proof** If  $3a_1 \notin \beta(\partial S)$ , then there is an  $L$ -representation  $3a_1 = c_1a_1 + c_2a_2 + c_3a_3$ . By Lemma 6,  $c_2 > 0$  and  $c_3 > 0$ . It follows that  $c_1 = 0, c_2 = 1$  and  $c_3 = 1$ , so  $3a_1 = a_2 + a_3$ . Taking an  $L$ -representation  $3a_1 = a_2 + a_3 = d_1a_1 + d_2a_2 + d_3a_3$ , by Lemma 6,  $d_1 > 0, d_2 > 0, d_3 > 0$ , which implies that  $d_1a_1 + d_2a_2 + d_3a_3 \geq a_1 + a_2 + a_3 > 3a_1$ . This is a contradiction.  $\square$



**Lemma 9**  $2a_1 + a_2 \in \beta(\partial S)$ .

*Proof* If  $2a_1 + a_2 \notin \beta(\partial S)$ , there is an  $L$ -representation  $2a_1 + a_2 = c_1a_1 + c_2a_2 + c_3a_3$ . By Lemma 6,  $c_3 > 0$ . We note that  $c_1 < 2$ . If  $c_1 = 1$ , then  $c_2 = 0$  (on the contrary,  $c_1a_1 + c_2a_2 + c_3a_3 \geq a_1 + a_2 + a_3 > 2a_1 + a_2$ ). Thus,  $2a_1 + a_2 = a_1 + c_3a_3$  and  $a_1 + a_2 = c_3a_3$ , which is impossible. Then, we have  $c_1 = 0$ . That is,  $2a_1 + a_2 = c_2a_2 + c_3a_3$ . If  $c_2 \geq 2$ , then  $c_2a_2 + c_3a_3 \geq 2a_2 + a_3 > 2a_1 + a_2$ , absurd. If  $c_2 = 1$ , then  $c_3 = 1$ ; so,  $2a_1 + a_2 = a_2 + a_3$ , absurd. If  $c_2 = 0$ , then  $2a_1 + a_2 = c_3a_3$  and  $c_3 = 2$ . Thus, we have  $2a_1 + a_2 = 2a_3$ . Now, there is an  $L$ -representation  $2a_1 + a_2 = 2a_3 = d_1a_1 + d_2a_2 + d_3a_3$ , where  $d_1 > 0, d_2 > 0$  and  $d_3 > 0$ . So, we have  $d_1a_1 + d_2a_2 + d_3a_3 \geq a_1 + a_2 + a_3 > 2a_1 + a_2$ , which is absurd.  $\square$

**Lemma 10**  $2a_2 \in \beta(\partial S)$  or  $a_1 + a_3 \in \beta(\partial S)$ .

*Proof* Assume that  $2a_2, a_1 + a_3 \notin \beta(\partial S)$ . Then there is an  $L$ -representation  $2a_2 = c_1a_1 + c_2a_2 + c_3a_3$ , where  $c_1 > 0$  and  $c_3 > 0$ . Besides,  $c_3 < 2$ , so  $c_3 = 1$  and  $2a_2 = c_1a_1 + c_2a_2 + a_3$ . Note that  $c_2$  cannot be positive, so that  $2a_2 = c_1a_1 + a_3$ . On the other hand, there is an  $L$ -representation  $a_1 + a_3 = d_1a_1 + d_2a_2 + d_3a_3$ , where  $d_2 > 0$ . It must be  $d_1 = d_3 = 0$ , so  $a_1 + a_3 = d_2a_2$ , where  $d_2 \geq 2$ . This is incompatible with  $2a_2 = c_1a_1 + a_3$  unless  $c_1 = 1$  and  $d_2 = 2$ . Thus, we have  $2a_2 = a_1 + a_3$ .

Finally, if  $2a_2 = a_1 + a_3$  does not belong to  $\beta(\partial S)$ , then in all  $L$ -representations  $2a_2 = a_1 + a_3 = e_1a_1 + e_2a_2 + e_3a_3$ , we must have  $e_1 > 0, e_2 > 0$  and  $e_3 > 0$ , but this is impossible. This ends the proof.  $\square$

By straightforward calculations, as in the last three lemmas, we obtain the following result.

**Lemma 11**  $a_1 + 2a_2 \in \beta(\partial S)$  or  $2a_1 + a_3 \in \beta(\partial S)$ .

By combining Lemmas 10 and 11, we obtain the following.

**Proposition 7** *At least one of the following holds:*

1.  $2a_2 \in \beta(\partial S), a_1 + a_3 \in \beta(\partial S)$  and  $2a_2 \neq a_1 + a_3$ .
2.  $2a_2 \in \beta(\partial S)$  and  $a_1 + 2a_2 \in \beta(\partial S)$ .
3.  $a_1 + a_3 \in \beta(\partial S)$  and  $a_1 + 2a_2 \in \beta(\partial S)$ .

*Proof* If  $a_1 + 2a_2 \notin \beta(\partial S)$ , then  $2a_2 = 2a_1 + a_3 \in \beta(\partial S)$  by Lemma 11. If  $a_1 + a_3 \notin \beta(\partial S)$ , then, as in the proof of Lemma 10,  $a_1 + a_3 = d_2a_2$  where  $d_2 \geq 2$ , so  $2a_2 = a_1 + d_2a_2$ , which is absurd. So, if  $a_1 + 2a_2 \notin \beta(\partial S)$ , then  $2a_2 = 2a_1 + a_3, a_1 + a_3 \in \beta(\partial S)$  and  $2a_2 \neq a_1 + a_3$ . Finally, if  $a_1 + 2a_2 \in \beta(\partial S)$ , then Lemma 10 gives us the last two options.  $\square$

By Lemmas 7, 8 and 9, there are at least 4 minimal generators in  $\partial S$ , namely,  $2a_1, a_1 + a_2, 3a_1$  and  $a_1 + 2a_2$ . Each case in Proposition 7 gives us two different minimal generators of  $\partial S$ , but it is possible that  $3a_1 = 2a_2$ .

**Proposition 8** *If  $3a_1 = 2a_2$ , then  $\partial S$  has at least 7 minimal generators.*

**Proof** The condition  $3a_1 = 2a_2$  implies that there exists  $m > 1$  such that  $a_1 = 2m$ ,  $a_2 = 3m$  and  $\gcd(a_3, m) = 1$ . Now, we have already 4 minimal generators in  $\partial S$ :  $2a_1 = 4m, a_1 + a_2 = 5m, 3a_1 = 6m, 2a_1 + a_2 = 7m$ . Note that  $3a_2 = 9m$  and  $a_1 + 2a_2 = 8m$  are not minimal generators. The other possible minimal generators of  $\partial S$  have  $a_3$  as a summand. They are  $a_1 + a_3, a_2 + a_3, 2a_1 + a_3, 2a_3, 2a_2 + a_3, a_1 + 2a_3, a_2 + 2a_3, a_1 + a_2 + a_3$ . By the division algorithm,  $a_3 = sm + t$  where  $0 \leq t < m$  and  $\gcd(m, t) = 1$ . It must be  $s \geq 3$ . We note that  $a_1 + a_3 < a_2 + a_3$  and the other 6 possible minimal generators are greater than  $a_2 + a_3$ . Now,  $a_1 + a_3$  cannot be written as a linear combination of  $2a_1, a_1 + a_2, 3a_1$  and  $2a_1 + a_2$  because that would imply that  $a_3$  is multiple of  $m$ . Thus,  $a_1 + a_3 \in \beta(\partial S)$ . Now,  $a_2 + a_3$  cannot be a linear combination of  $2a_1, a_1 + a_2, 3a_1$  and  $2a_1 + a_2$  for similar reasons. So, if  $a_2 + a_3 \notin \beta(\partial S)$ , then  $a_2 + a_3$  can be represented as a linear combination of  $2a_1, a_1 + a_2, 3a_1, 2a_1 + a_2$  and  $a_1 + a_3$ , where the coefficient of  $a_1 + a_3$  is positive; but this would imply that  $a_2$  can be written as linear combination of  $a_1, a_2, a_3$  with positive coefficient in  $a_1$  and non-negative coefficients in  $a_2$  and  $a_3$ , which is absurd. This shows that  $a_2 + a_3 \in \beta(\partial S)$ . Now,  $2a_2 + a_3 = 3a_1 + a_3 = 2a_1 + (a_1 + a_3)$  does not belong to  $\beta(\partial S)$ . We prove now that  $2a_1 + a_3$  belongs to  $\beta(\partial S)$ . If  $2a_1 + a_3 \notin \beta(\partial S)$ , then there is an  $L$ -representation  $2a_1 + a_3 = c_1a_1 + c_2a_2 + c_3a_3$ , where  $c_2 > 0$ . If  $c_3 > 0$ , then  $c_2 + c_3 \leq 2$ , which implies that  $c_2 = c_3 = 1$ . Thus,  $2a_1 + a_3 = c_1a_1 + a_2 + a_3$  and  $2a_1 = c_1a_1 + a_2$ , but this is impossible. Therefore,  $c_3 = 0$ , which means that  $2a_1 + a_3$  is representable as a linear combination of  $2a_1, a_1 + a_2, 3a_1, 2a_1 + a_2$ , but this implies that  $a_3$  is a multiple of  $m$ , a contradiction. Thus, we have shown that there are at least 7 minimal generators in  $\partial S$ .  $\square$

By Proposition 8, if  $3a_1 = 2a_2$ , then  $\partial S$  has at least 7 minimal generators. Now, we can assume that  $3a_1 \neq 2a_2$ . In this case, by Proposition 7, we have at least 6 generators in  $\partial S$ . It only remains to show that there is at least one more minimal generator in  $\partial S$ . It is important to note that we have not used the condition of not having maximal embedding dimension yet. In order to finish, we need the following result.

**Lemma 12** *If  $a_1 = 4, a_2 = k + c$  and  $a_3 = 3k - c$ , where  $k \geq 3$  and  $c > 0$ , then  $\partial S$  has at least 7 minimal generators.*

**Proof** Note that  $k$  and  $c$  must have different parities. We claim that  $2a_2, a_1 + a_3, a_1 + 2a_2 \in \beta(\partial S)$ . In fact, if  $2a_2 \notin \beta(\partial S)$ , then  $2a_2 = d_1a_1 + a_3$  for some  $d_1 > 0$ . That is,  $2k + 2c = 4d_1 + 3k - c$ , so  $3c - k = 4d_1$ . Therefore,  $k$  and  $c$  have the same parity, which is absurd.

Now, if  $a_1 + a_3 \notin \beta(\partial S)$ , then  $a_1 + a_3 = d_2 a_2$  for some  $d_2 \geq 2$ ; so,  $4 + 3k - c = d_2 k + d_2 c$ . Thus,  $4 + (3 - d_2)k = (d_2 + 1)c \geq 3$ , from which  $(3 - d_2)k \geq -1$ . But,  $(3 - d_2)k = -1$  is impossible, so we must have  $d_2 \leq 3$ . Thus, we have two cases:

1. If  $d_2 = 2$ , then  $4 + 3k - c = 2k + 2c$ . So,  $4 + k = 3c$ , which implies that  $k$  and  $c$  have the same parity, absurd.
2. If  $d_2 = 3$ , then  $a_1 + a_3 = 3a_2$ . This implies that  $a_1 + a_3 \in \beta(\partial S)$  (see the last paragraph of the proof of Lemma 10), which is absurd.

If  $a_1 + 2a_2 \notin \beta(\partial S)$ , then  $a_1 + 2a_2 = d_1 a_1 + d_2 a_2 + d_3 a_3$  where  $d_3 > 0$ . Note that  $d_2 < 2$ . We have two cases:

1. If  $d_2 = 0$ , then  $a_1 + 2a_2 = d_1 a_1 + d_3 a_3$ . If  $d_1 > 0$ , then  $2a_2 = (d_1 - 1)a_1 + d_3 a_3$ . It follows that  $d_3 = 1$ . That is,  $2a_2 = (d_1 - 1)a_1 + a_3$ . In terms of  $k$  and  $c$ , we obtain  $3c = 4(d_1 - 1) + k$ . The last equation implies that  $k$  and  $c$  have the same parity, which is absurd. This shows that  $d_1 = 0$ . Therefore,  $a_1 + 2a_2 = d_3 a_3$ . It must be  $d_3 = 2$ , so  $a_1 + 2a_2 = 2a_3$ , which implies that  $a_1 + 2a_2 = 2a_3 \in \beta(\partial S)$ , a contradiction.
2. If  $d_2 = 1$ , then  $a_1 + 2a_2 = d_1 a_1 + a_2 + d_3 a_3$ ,  $a_1 + a_2 = d_1 a_1 + d_3 a_3$ ; it must be  $d_1 = 0$ . Then,  $a_1 + a_2 = d_3 a_3$ , and this is impossible.

Finally, we have at least 7 minimal generators in  $\partial S$ , namely,  $2a_1, a_1 + a_2, 3a_1, 2a_1 + a_2, 2a_2, a_1 + a_3, a_1 + 2a_2$ , unless  $2a_2 = a_1 + a_3$ . This condition implies that  $3c = 4 + k$ , which implies that  $k$  and  $c$  have the same parity, a contradiction.  $\square$

**Theorem 4** *If  $S$  is a numerical semigroup with  $e(S) = 3$  and  $S$  does not have maximal embedding dimension, then  $e(\partial S) \geq 7$ .*

**Proof** By Lemmas 7, 8 and 9 and Proposition 7 along with the condition  $3a_1 \neq 2a_2$ , we have at least 6 different minimal generators in  $\partial S$ . Our seventh candidate is  $3a_2$ .

If  $3a_2 \notin \beta(\partial S)$ , then there is an  $L$ -representation  $3a_2 = c_1 a_1 + c_2 a_2 + c_3 a_3$ , where  $c_1 > 0$  and  $c_3 > 0$ . It must be  $c_2 < 2$ . We have the following cases.

1. If  $c_2 = 1$ , then  $3a_2 = c_1 a_1 + a_2 + c_3 a_3$ . It follows that  $c_3 = 1$ , that is,  $3a_2 = c_1 a_1 + a_2 + a_3$ . Now, suppose that  $a_1 + a_2 + a_3 \notin \beta(\partial S)$ . Then, there is an  $L$ -representation  $a_1 + a_2 + a_3 = d_1 a_1 + d_2 a_2 + d_3 a_3$ , and we deduce that two of the  $d_i$ 's are zero and the other one is positive. This gives rise to three cases depending on which  $d_i$  is positive; but it is easy reach a contradiction in any case. For instance, in the case  $d_1 > 0$  we have  $a_1 + a_2 + a_3 = d_1 a_1$ . It follows that  $3a_2 = (c_1 + d_1 - 1)a_1$ . As  $a_1$  and  $a_2$  are relatively prime (for the relation  $3a_2 = c_1 a_1 + a_2 + a_3$ ), it must be  $a_1 = 3$ , a contradiction because  $e(S) = 3$  and  $S$  has not maximal embedding dimension. This proves that  $3a_2 \in \beta(\partial S)$  or  $a_1 + a_2 + a_3 \in \beta(\partial S)$ .

Now, in the three cases of Proposition 7 we have 6 different minimal generators for  $\partial S$ . Those cases combined with the two cases depending whether

$3a_2 \in \beta(\partial S)$  or  $a_1 + a_2 + a_3 \in \beta(\partial S)$  give rise to 6 cases. In any of these 6 cases we obtain 7 minimal generators for  $\partial S$ , unless  $3a_2 = a_1 + a_3$ . Now, we have to prove that in case  $3a_2 = a_1 + a_3$ , we can find at least 7 minimal generators in  $\partial S$ . In fact, consider  $a_2 + a_3$ . If we show that  $a_2 + a_3 \in \beta(\partial S)$ , we are done. Suppose  $a_2 + a_3 \notin \beta(\partial S)$ . Then, there is an  $L$ -representation  $a_2 + a_3 = d_1a_1 + d_2a_2 + d_3a_3$ , where  $d_1 > 0$ . We see that it must be  $d_2 = d_3 = 0$ ; so  $a_2 + a_3 = d_1a_1$ , and it is clear that  $d_1 \geq 3$ . By using that  $3a_2 = a_1 + a_3$ , we obtain  $4a_2 = (d_1 + 1)a_1$ . Now, the relation  $3a_2 = a_1 + a_3$  implies that  $a_1$  and  $a_2$  are relatively prime. Since  $a_1 > 3$ , we have  $a_1 = 4$ ,  $a_2 = d_1 + 1$  and  $a_3 = 3a_2 - a_1 = 3d_1 - 1$ . Thus, by Lemma 12,  $\partial S$  has at least 7 minimal generators.

2. If  $c_2 = 0$ , then  $3a_2 = c_1a_1 + c_3a_3$ . Note that it must be  $c_3 < 3$ , so  $1 \leq c_3 < 3$ .

Now, if  $a_2 + a_3 \notin \beta(\partial S)$ , then  $a_2 + a_3 = ka_1$  for some  $k \geq 3$ . Then  $3ka_1 = 3a_2 + 3a_3 = c_1a_1 + (c_3 + 3)a_3$ , which reduces to  $(3k - c_1)a_1 = (c_3 + 3)a_3$ . Since  $a_1$  and  $a_3$  are relatively prime,  $a_1$  divides  $c_3 + 3$ . But,  $4 \leq c_3 + 3 < 6$  and  $a_1 > 3$ , so  $a_1 = c_3 + 3$ . Thus,  $a_3 = 3k - c_1$ . We have two cases.

- (a) If  $c_3 = 1$ , then  $a_1 = 4$ ,  $a_3 = 3k - c_1$  and  $a_2 = ka_1 - a_3 = k + c_1$ . By Lemma 12,  $\partial S$  has at least 7 minimal generators.
- (b) If  $c_3 = 2$ , then  $3a_2 = c_1a_1 + 2a_3$ . If  $a_1 + 2a_3 \notin \beta(\partial S)$ , then  $a_1 + 2a_3 = d_1a_1 + d_2a_2 + d_3a_3$ , where  $d_2 > 0$ . It must be  $d_2 + d_3 \leq 2$ . This gives us three cases; but it is easy to see that in each case we reach a contradiction. This shows that  $3a_2 \in \beta(\partial S)$  or  $a_1 + 2a_3 \in \beta(\partial S)$ . These two cases combined with the three cases of Proposition 7 give rise to 6 cases. In all these cases, we obtain at least 7 minimal generators for  $\partial S$ , unless  $3a_2 = a_1 + a_3$ . But, we showed that under this condition,  $\partial S$  has at least 7 minimal generators.

□

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